



## BOARD OF REGENTS

### SUMMARY OF ITEM FOR ACTION, INFORMATION OR DISCUSSION

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**TOPIC:** Review of BOR Policies

**COMMITTEE:** Organization and Compensation Committee

**DATE OF COMMITTEE MEETING:** September 8, 2015

**SUMMARY:** The USM BOR has bylaws and policies that provide guidance for BOR, USMO staff and institutional operations. The bylaws address overall operations of the BOR including the charges for each standing committee. The policies provide broad policy guidance to the USMO staff and the USM institutions and also cover technical and operational requirements. There are a total of 234 BOR policies as follows:

- I. System-wide Councils and Institutional Boards - 9
- II. Faculty – 29
- III. Academic Affairs – 38
- IV. Research – 7
- V. Student Affairs – 11
- VI. General Administration – 24
- VII. Personnel/Human Resources – 60
- VIII. Fiscal and Business Affairs – 47
- IX. External Relations – 7
- X. Information Technology- 2

Updates are made to BOR policies to address needed changes or new areas as part of normal, ongoing operations. However, USMO staff has begun a comprehensive review of all of the policies to make sure they are up-to-date and reflect current operational requirements. Based on this review, a number of policy updates have been brought to the BOR; some included substantive changes while others were purely technical in nature. This review is still underway. Most recently, all of the human resource policies included in Section VII have been reviewed and will be taken to the BOR for update at their October 9, 2015 meeting (There is a separate summary item for the H.R. policies included in your materials). Following the update of the H.R. policies, a majority of the BOR policies will have been reviewed in the last 5 years; details are provided in the attached chart.

The attached grid lists each policy along with the most relevant BOR committee(s) and the date the policy was last updated. It has been suggested that BOR committees periodically review these policies along with their committee charge. The O & C Committee will discuss the appropriate process for the policy review.

**ALTERNATIVE(S):** None

**FISCAL IMPACT:** None

**CHANCELLOR'S RECOMMENDATION:** Information Item

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COMMITTEE ACTION:

DATE: September 8, 2015

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BOARD ACTION:

DATE:

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SUBMITTED BY: Janice Doyle, 301-445-1906

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**USM BOR Policy Review**

**Status by Date of Update**

<b><u>Year of Update</u></b>	<b><u>Number</u></b>	<b><u>Percent of Total</u></b>
2010 - 2015	121	51.7
2005 - 2009	19	8.1
2000 - 2004	25	10.7
1995 - 1999	7	3.0
1990 - 1994	52	22.2
Prior to 1990	<u>10</u>	<u>4.3</u>
Total	234	100.0

## USM BOR Policy Review

**Advancement (ADV)**

**Audit (AUD)**

**Economic Development & Technology Commercialization (ED&TC)**

**Education Policy & Student Life (EPSL)**

**Finance (FIN)**

**Intercollegiate Athletics (ICA)**

### I: SYSTEMWIDE COUNCILS AND INSTITUTIONAL BOARDS

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
I-1.00	Policy on Council Of University System Presidents	BOR		10.24.2014	
I-2.00	Policy on Constitution For The Faculty Council Of The University Of Maryland System	EPSL		07.10.2002	
I-3.00	Policy on University System Student Council	EPSL		04.13.2012	
I-3.01	Policy on Constitution Of The University System Student Council	EPSL		04.13.2012	
I-3.50	Policy on Constitution For The Council Of University System Staff	BOR		02.8.2005	
I-4.00	Policy Concerning The Establishment Of Institutional Boards	BOR		06.17.2011	
I-6.00	Policy on Shared Governance In The University System Of Maryland	BOR	EPSL/FIN	08.25.2000	
I-7.00	Policy on Public Ethics Of Members Of The Board Of Regents	OAG	AUD	08.19.1999	
I-7.01	Policy On The Role Of The University System Of Maryland As A Public Corporations	ED&TC	FIN	12.2.2011	

## USM BOR Policy Review

### SECTION II: FACULTY

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
II-1.00	University System Policy on Appointment, Rank, and Tenure of Faculty	EPSL	ED&TC	04.13.2012	
II-1.02	Policy on Faculty Academic Credentials	EPSL		12.12.2014	
II-1.03	Policy on Concurrent Faculty and Administrative Appointments	EPSL		07.07.2000	
II-1.04	Policy on Procedures for Appeals to the University System of Maryland (USM) Board of Regents of Decisions to Terminate Tenured or Tenure-Track Faculty Members	EPSL		12.12.2014	
II-1.05	Policy on The Employment Of Full-Time, Non-Tenure Track Instructional Faculty In The University System Of Maryland	EPSL		04.13.2007	
II-1.06	Policy on The Employment Of Part-Time, Non-Tenure Track Instructional Faculty In The University System Of Maryland	EPSL		12.03.2010	
II-1.07	Policy On The Employment Of Adjunct Faculty In The University System Of Maryland	EPSL		06.22.2012	
II-1.10	Policy on Part-Time Tenure-Track and Part-Time Tenured Faculty	EPSL		04.26.1990	
II-1.11	Policy on Part-Time Faculty Members Engaged Exclusively or Primarily in Library Services	EPSL		04.07.2000	
II-1.19	Policy on the Comprehensive Review of Tenured Faculty	EPSL		07.12.1996	

## USM BOR Policy Review

<b>Policy Number</b>	<b>Policy Name</b>	<b>BOR Committee Primary</b>	<b>BOR Committee Secondary</b>	<b>Last Updated</b>	
II-1.20	Policy on Evaluation of Performance of Faculty	EPSL		10.22.1991	
II-1.21	Policy on Compensation for Faculty	EPSL		12.12.2014	
II-1.22	Policy on Faculty Appointment Letters or Contracts	EPSL		07.07.2000	
II-1.25	Policy on Faculty Workload and Responsibilities	EPSL		07.09.1999	
II-1.30	Policy on the Regents Professorship	EPSL		02.28.1992	
II-2.00	Policy on Sabbatical Leave for Faculty	EPSL	ED&TC	04.13.2012	
II-2.01	Policy on Professional And/Or Research Leave For Faculty Members Engaged Exclusively Or Primarily In Library Services	EPSL		04.07.2000	
II-2.10	Policy on Terminal Leave for Faculty	EPSL		06.27.2014	
II-2.20	Policy on Leave Without Pay for Faculty	EPSL		11.30.1989	
II-2.25	Policy on Parental Leave	EPSL		06.22.2012	
II-2.30	Policy on Accident Leave and Creditable and Non-Creditable Sick Leave for Faculty Members	EPSL		02.12.2014	
II-2.31	Policy on Family and Medical Leave for Faculty	EPSL		11.06.1995	
II-2.40	Policy on Annual Leave for Faculty	EPSL		02.09.2001	
II-2.50	Policy on Jury Service for Faculty Member	EPSL		05.07.1993	
II-3.00	Policy on the Role of the Faculty in the Development of Academic Policy	EPSL		11.30.1989	
II-3.10	Policy on Professional Commitment of Faculty	EPSL	ED&TC	06.27.2003	
II-3.20	Policy on Teaching Outside the Home Institution by Full-Time Faculty	EPSL		11.30.1989	
II-4.00	Policy on Faculty Grievance	EPSL		11.30.1989	

## USM BOR Policy Review

<b>Policy Number</b>	<b>Policy Name</b>	<b>BOR Committee Primary</b>	<b>BOR Committee Secondary</b>	<b>Last Updated</b>	
II-8.00	Policy on Faculty Retrenchment	EPSL		11.29.1990	

### SECTION III: ACADEMIC AFFAIRS

<b>Policy</b>	<b>Policy Name</b>	<b>BOR Committee Primary</b>	<b>BOR Committee Secondary</b>	<b>Last Updated</b>	
III-1.00	Policy on Faculty, Student and Institutional Rights and Responsibilities for Academic Integrity	EPSL		12.12.2014	
III-1.10	Policy on Misconduct in Scholarly Work	EPSL		12.12.2014	
III-1.11	Policy on Conflicts of Interest in Research and Development	EPSL	ED&TC	10.24.2014	
III-1.20	Policy for Review of Alleged Arbitrary and Capricious Grading	EPSL		01.11.1990	
III-1.30	Policy on Academic Clemency	EPSL		02.22.1990	
III-1.41	Policy on Credit by Examination and Portfolio Assessment	EPSL		09.19.2014	
III-2.00	Policy on Continuing Education	EPSL		11.30.1989	
III-2.10	Policy on Summer and Special Sessions	EPSL		01.11.1990	
III-2.20	Policy on Combined Bachelor's/Master's Programs	EPSL		01.11.1990	
III-2.30	Policy on Eligibility to Register	EPSL		02.22.1990	
III-2.40	Policy on Undergraduate Student Concurrent Inter-	EPSL		12.12.2014	

## USM BOR Policy Review

Policy	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
	Institutional Registration				
III-2.41	Policy on Graduate Student Inter-Institutional Registration	EPSL		12.12.2014	
III-2.50	Policy on Academic Advising	EPSL		06.21.1990	
III-3.00	Policy on Awarding of Honorary Degrees	EPSL		07.13.2001	
III-4.00	Policy on Undergraduate Admissions	EPSL		06.17.2011	
III-4.10	Policy on Enrollment	FIN	EPSL	12.12.2014	
III-5.00	Policy on Academic Calendar	EPSL		04.06.2001	
III-5.10	Policy Concerning the Scheduling of Academic Assignments on Dates of Religious Observance	EPSL		01.11.1990	
III-6.00	Policy on Academic Transcripts and Financial Aid Records	EPSL		12.12.2014	
III-6.10	Policy for the Numbering of Academic Courses	EPSL		12.12.2014	
III-6.20	Policy on Grading Symbol Identification	EPSL		12.11.1990	
III-6.30	Policy on Confidentiality and Disclosure of Student Records	EPSL		12.11.1990	
III-6.40	Policy on Classification of Undergraduate Students	EPSL		04.26.1990	
III-7.00	Policy on Curricular and Degree Requirements	EPSL		04.26.1990	
III-7.01	Policy on the Review and Approval of New Academic Programs That Do Not Require New Resources	EPSL		04.07.2000	
III-7.02	Policy on the Review and Abolition of Existing Academic Programs	EPSL		10.27.2000	
III-7.03	Policy on the Resolution Regarding Action by the Board of Regents to Delegate to the Chancellor of	EPSL		06.18.2003	



## USM BOR Policy Review

Policy	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
	The University System of Maryland the Authority to Approve Certain Program Actions				
III-7.04	Policy on the Resolution Regarding Action By The Board of Regents to Delegate to the Chancellor of The University System of Maryland the Authority to Approve Periodic Reviews of Existing Programs, and to Approve Annual Institutional Submissions to MHEC Regarding Low- Productivity Programs	EPSL		06.18.2003	
III-7.05	Policy on the Creation/Development By University System of Maryland Institutions of Schools or Colleges	EPSL		02.10.2006	
III-7.10	Policy on Graduate Education	EPSL		06.21.1990	
III-7.11	Policy on Graduate Assistantships	EPSL		06.22.2012	
III-7.20	Policy on Undergraduate General Education Transferability Between UMS Institutions	EPSL		12.12.2014	
III-7.30	Policy On The Award Of Posthumous Degrees	EPSL		06.19.2015	
III-8.00	Policy on The Admission Of First-Time Freshmen In The Spring Admits Semester	EPSL		02.18.2005	
III-8.01	Policy on Alternative Means Of Earning Academic Degree Credit	EPSL		02.18.2005	
III-8.02	Policy on Standard Credit Requirements For Baccalaureate Degree Programs	EPSL		02.18.2005	
III-9.00	Policy on Guidelines On Non-USM Institutions Offering Programs at USM Regional Centers	EPSL		06.22.2005	

## USM BOR Policy Review

Policy	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
III-10.00	Policy on Textbook Affordability Measures	EPSL		02.13.2009	

### SECTION IV: RESEARCH

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
IV-1.00	Policy for the Establishment and Review of Centers and Institutes in the University of Maryland System	EPSL	ED&TC	12.12.2014	
IV-2.00	Policy on Solicitation and Acceptance of Sponsored Projects	ED&TC	EPSL	02.21.2003	
IV-2.10	Policy on Human Subjects of Research	OAG	FIN/EPSL/ ED&TC	04.12.2013	
IV-2.20	Policy on Classified and Proprietary Work	OAG	FIN/EPSL/ ED&TC	04.25.1991	
IV-3.00	Policy on Patents	ED&TC	EPSL	12.12.2014	
IV-3.10	Policy on Copyrights	ED&TC	EPSL	02.08.2002	
IV-3.20	Policy on Intellectual Property	ED&TC	EPSL	02.13.2009	

## USM BOR Policy Review

### SECTION V: STUDENT AFFAIRS

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
V-1.00	Policy on Student Affairs	EPSL		01.11.1990	
V-1.20	Policy on Student Social Media	EPSL		09.19.2014	
V-1.10	Policy on Student Publications	EPSL		01.11.1990	
V-2.00	Policy on Student Athletics	ICA	EPSL	01.11.1990	
V-2.10	Policy on Reports on Intercollegiate Athletics	ICA	EPSL	09.28.2012	
V-2.20	Policy on Academic Achievement in Intercollegiate Athletics	EPSL		10.24.2014	
V-4.00	Policy on Student Employment	EPSL		01.11.1990	
V-5.00	Policy on Student Housing	EPSL		12.12.2014	
V-6.00	Policy on Community Service	EPSL		12.10.1991	
V-7.00	Policy On Students Who Are Called To Active Military Duty During A National Or International Crisis Or Conflict	EPSL		10.05.2001	
V-8.00	Policy on Resolution On Event-Related Student Misconduct	EPSL		02.10.2006	

## USM BOR Policy Review

### SECTION VI: GENERAL ADMINISTRATION

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
VI-1.00	Policy on Affirmative Action and Equal Opportunity	BOR		10.19.1989	
VI-1.05	Policy of Non-Discrimination on the Basis of Sexual Orientation	BOR	EPSL	06.22.2012	
VI-1.10	Policy on Acts of Violence and Extremism	BOR	EPSL	01.11.1990	
VI-1.40	Policy on the Implementation and Monitoring of Recommendations of the Cult Task Force	EPSL		12.12.2014	
VI-1.50	Policy on the Reporting of Suspected Child Abuse and Neglect	BOR	EPSL	12.09.2011	
VI-1.60	Policy on Sexual Misconduct	EPSL		06.19.2015	
VI-2.00	Policy on Recommendations to Change the Name or Status of an Institution	EPSL		01.11.1990	
VI-3.00	Policy on Advertising	BOR	FIN/EPSL	01.11.1990	
VI-4.00	Policy on the Naming of Buildings and Academic Programs	ADV	FIN/EPSL	12.12.2014	
VI-4.10	Policy on the Use of the Physical Facilities of the University System for Public Meetings	BOR		01.11.1990	
VI-4.20	Guidelines Regarding the Effect of Donor Funding and Other External Funding on the Prioritization of State-Funded Capital Projects	FIN		12.09.2005	
VI-5.00	Policy on Inspection of Public Records	BOR		06.19.2015	
VI-5.10	Policy on Preservation of Items of Historical Interest	BOR	EPSL	10.24.2014	
VI-6.00	Policy on Requests for Advice of Counsel and	BOR		12.24.2014	

## USM BOR Policy Review

<b>Policy Number</b>	<b>Policy Name</b>	<b>BOR Committee Primary</b>	<b>BOR Committee Secondary</b>	<b>Last Updated</b>	
	Official Opinions from the Attorney General				
VI-6.10	Policy on Records Management	BOR		01.06.1992	
VI-7.00	Policy on Chaplains	BOR		01.11.1990	
VI-8.00	Policy on the Use of Alcoholic Beverages at University System Institutions and Facilities	EPSL		01.11.1990	
VI-8.10	Policy On Smoking At USM Institutions	BOR	FIN/EPSL	06.22.2012	
VI-9.00	Policy on Establishment of Institutional Traffic Regulations	BOR		01.11.1990	
VI-9.10	Policy on Reporting of Campus Crime Statistics	EPSL		12.12.2014	
VI-10.00	Policy on the Filing of Institutional Policy Manuals with the Chancellor	BOR		10.24.2014	
VI-11.00	University of Maryland System Policy on AIDS	EPSL		06.21.1990	
VI-12.00	Policy on Emergency Conditions: Cancellation of Classes and Release of Employees	BOR	FIN/EPSL	05.01.1992	
VI-13.00	Policy on Campus Emergency Planning, Preparedness, and Response	BOR		09.05.2008	

## USM BOR Policy Review

### SECTION VII: PERSONNEL/HUMAN RESOURCES (NO PRIMARY RESPONSIBILITY FOR SECTION VII POLICIES ASSIGNED TO AASL)

**NOTE: \* UPDATES TO HR POLICIES IN SECTION VII WILL GO TO THE BOR AT THE OCTOBER 9, 2015 MEETING**

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
VII - i	Clarification Notice	FIN		*Recommended for deletion	
VII-P1.00	Policy on Establishment and Assignment of Job Groups	FIN		*10.09.2015	
VII-1.01	Policy on Recruitment and Selection	FIN		*10.09.2015	
VII-1.10	University of Maryland System Policy on a Drug-Free Work Place	FIN		*10.09.2015	
VII-1.21	Policy on Probation for Nonexempt Employees	FIN		*10.09.2015	
VII-1.22	Policy on Separation for Regular Exempt Employees	FIN		*10.09.2015	
VII-1.24	Policy on Termination with Prejudice	FIN		02.14.2014	
VII-1.30	Policy on Layoff for Unclassified and Classified Personnel	FIN		*10.09.2015	
VII-1.31	Policy on Reemployment	FIN		*10.09.2015	
VII-1.32	Policy on Layoff and Recall of Regular Exempt Employees	FIN		*10.09.2015	
VII-1.40	Policy on Contingent Employment for Nonexempt and Exempt Employees	FIN		*10.09.2015	
VII-2.10	Policy on Employment of Members of the Same Family	FIN		*10.09.2015	
VII-2.20	Policy on Soliciting Personnel During Working Hours	FIN		*10.09.2015	
VII-2.30	Policy on Employee and Applicant Disclosure of Misconduct	FIN		*10.09.2015	
VII-4.10	Policy on Tuition Remission for Faculty and Staff	FIN		*10.09.2015	
VII-4.20	Policy on Tuition Remission for Spouse and Dependents	FIN		09.19.2014	

## USM BOR Policy Review

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
VII-4.30	Policy on Salary Advances	FIN		*10.09.2015	
VII-4.40	Policy on Payment of Moving Expenses	FIN		*10.09.2015	
VII-4.60	Policy on Shift Differential for Classified Employees	FIN		*10.09.2015	
VII-4.62	Policy on On-Call and Call-Back for Classified Employees	FIN		*10.09.2015	
VII-5.00	Policy on Performance Evaluation of the Chancellor and the Institution Presidents/Center Directors of the University of Maryland System	O&C		*10.09.2015	
VII-5.01	Policy on the Five-Year Review of USM Presidents	O&C		*10.09.2015	
VII-5.10	Policy on Associate of the Chancellor/President	O&C		*10.09.2015	
VII-5.20	Policy on Performance Evaluation Program	FIN		*10.09.2015	
VII-6.00	Interim Policy on Human Resources Management	FIN		*10.09.2015	
VII-6.02	Policy on Human Resource Files for Nonexempt and Exempt Staff Employees	FIN		*10.09.2015	
VII-6.10	Policy on Work Schedules for Regular Exempt Employees	FIN		*10.09.2015	
VII-7.00	Policy on Annual Leave for Regular Nonexempt and Exempt Staff Employees	FIN		06.27.2014	
VII-7.10	Policy on Personal Leave for Regular Exempt Employees	FIN		*10.09.2015	
VII-7.11	Policy on Leave Reserve Fund for Administration and Classified Employees	FIN		*10.09.2015	
VII-7.12	Policy on Leave of Absence Without Pay	FIN		*10.09.2015	

## USM BOR Policy Review

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
VII-7.20	Policy on Administrative Leave for Administrative and Classified Personnel	FIN		*10.09.2015	
VII-7.21	Policy on Jury Service for Administrative and Classified Personnel	FIN		*10.09.2015	
VII-7.22	Policy on Leave for Legal Actions for Administrative and Classified Personnel	FIN		*10.09.2015	
VII-7.23	Policy on Military Leave with Pay for Administrative and Classified Personnel	FIN		*10.09.2015	
VII-7.24	Policy on Call-Up to Active Military Duty During a National or International Crisis or Conflict for USM Exempt and Nonexempt Staff Employees on Regular Status	FIN		*10.09.2015	
VII-7.25	Policy on Leave For Service As Election Judge For Exempt And Nonexempt Staff Employees On Regular Status	FIN		*10.09.2015	
VII-7.26	Policy on Leave for Disaster Service	FIN		*10.09.2015	
VII-7.30	Policy on Holiday Leave for Regular Exempt Employees	FIN		*10.09.2015	
VII-7.40	Policy on Accident Leave for Exempt and Nonexempt Personnel	FIN		*10.09.2015	
VII-7.41	Policy on Modified Duty	FIN		*10.09.2015	
VII-7.45	Policy on Sick Leave for Exempt and Nonexempt Staff Employees	FIN		02.14.2014	
VII-7.49	Policy on Parental Leave	FIN		*10.09.2015	
VII-7.50	Policy on Family and Medical Leave	FIN		*10.09.2015	
VII-8.00	Policy On Grievances For Exempt And Nonexempt Staff Employees	FIN		*10.09.2015	
VII-8.10	Policy on Special Action Appeals for Classified Employees	FIN		*10.09.2015	
VII-9.00	Policy on Implementation of Phase I Non-Exempt of the UMS Pay Program	FIN		*10.09.2015	
VII-9.01	Policy on Implementation of Phase II Exempt Employees of	FIN		*10.09.2015	



## USM BOR Policy Review

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
	the UMS Pay Program				
VII-9.10	Policy on Adjustment of Salary Structures, Assignment of Job Classes to Salary Ranges, Administration of Salaries, Impact of Adjustment of Salaries, and the Development and Maintenance of Job Evaluation Programs	FIN		*10.09.2015	
VII-9.11	Policy on Pay Administration for Exempt Positions	FIN		*10.09.2015	
VII-9.20	Policy on Salary Increases and Bonuses	FIN		*10.09.2015	
VII-9.30	Policy on Salary Upon Entry into UMS Service	FIN		*10.09.2015	
VII-9.40	Policy on Salary Upon Transfer or Reclass	FIN		*10.09.2015	
VII-9.50	Policy on Temporary Assignments and Acting Appointments	FIN		*10.09.2015	
VII-9.51	Policy on Reassignment for Regular Exempt Employees	FIN		*10.09.2015	
VII-9.60	Policy on Salary Upon Reinstatement	FIN		*10.09.2015	
VII-9.61	Policy on Reinstatement for Regular Exempt Employees	FIN		*10.09.2015	
VII-9.70	Policy on Request for Job Reclass Review	FIN		*10.09.2015	
VII-9.80	Policy on Impact of Changes in the Minimum Qualifications of Job Class Specifications	FIN		*10.09.2015	
VII-10.0	Policy on Board of Regents Review of Certain Contracts and Employment Agreements	O&C		*10.09.2015	

**New Policies to be added:** VII – 3.00 - USM Policy on Wellness Programs

VII- 3.10 - Policy on Employee Development for Nonexempt and Exempt Staff Employees

VII- 8.05 - Policy on Professional Conduct of Nonexempt and Exempt Staff Employees

VII – 1.23 - Policy on Separation of a Nonexempt Staff Employees

## USM BOR Policy Review

### SECTION VIII: FISCAL AND BUSINESS AFFAIRS

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
VIII-1.00	Resolution Authorizing the Assistant Vice Chancellor for Financial Affairs to Approve Changes of Signatures on Bank Accounts	FIN		09.28.1988	
VIII-1.10	Policy for Capitalization and Inventory Control	FIN		06.19.2014	
VIII-1.20	Policy on Disposal of Surplus Personal Property	FIN		06.21.1990	
VIII-1.21	Policy on Lost or Abandoned Currency or Tangible Personal Property on University System Premises	FIN		07.26.1990	
VIII-1.30	Policy on Withdrawal of Funds from Bank Accounts	FIN		12.2.2011	
VIII-1.40	Forms Management	FIN		10.08.1990	
VIII-2.01	Policy on Tuition	FIN	EPSL	06.22.2005	
VIII-2.20	Policy on Payment of Tuition and Fees	FIN	EPSL	03.1.1989	
VIII-2.30	Policy on Waiver of Tuition and Granting of Other Privileges for Senior Citizens of the State of Maryland	EPSL	FIN	11.30.1989	
VIII-2.31	Policy on Tuition Waiver for Certain Members of the Maryland National Guard	EPSL	FIN	04.09.1999	
VIII-2.41	Policy on Institutional Financial Aid for Undergraduate Students	EPSL		02.10.1995	
VIII-2.50	Policy on Student Tuition, Fees, and Charges	FIN	EPSL	06.22.2012	
VIII-2.60	Policy on Tuition Fellowships for Graduate Students	EPSL		07.26.1990	
VIII-2.61	Policy on Off-Campus Programs	EPSL	FIN	12.12.2014	
VIII-2.70	Policy on Student Classification for Admission and	EPSL	FIN	06.21.2013	

## USM BOR Policy Review

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
	Tuition Purposes (effective until June 30, 2015)				
VIII-2.70(R)	Policy on Student Classification for Admission and Tuition Purposes (effective July 1,2015 and thereafter)	EPSL	FIN	04.10.2015	
VIII-2.80	Policy on Waiver of Application Fees	EPSL		12.12.2014	
VIII-3.00	UMS Procurement Policies and Procedures	FIN		12.03.1999	
VIII-3.10	Policy on Approval of Procurement Contracts	FIN		03.11.2009	
VIII-3.20	Procedures Relating to Review by Attorney General's Office of Contractual Agreements of the University System and Involvement in Financing, Real Estate, and Related Capital Projects	FIN		07.16.1990	
VIII-3.30	Policy on Use of Commercial Contractors for Employment	FIN		05.01.1992	
VIII-4.00	Policy on Acquisition and Disposition of Real Property	FIN		02.09.2001	
VIII-4.01	Procedures for the Acquisition and Disposition of Real Property	FIN		02.09.2001	
VIII-4.02	Procedures for Leasing of Real Property	FIN		02.09.2001	
VIII-5.20	Policy on Voting of Proxies in Investment Securities	FIN		06.21.1990	
VIII-5.30	Policy on Endowment Fund Spending Rule	FIN		12.07.2012	
VIII-5.40	Policy on Management of the Endowment Fund	FIN		06.21.1990	
VIII-5.50	Policy on Endowment Funds Investment Objectives	FIN		09.09.2011	
VIII-6.00	Policy on Business Activities	FIN		03.01.1989	
VIII-7.00	Policy on Financial Management	FIN		06.21.1990	

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<b>Policy Number</b>	<b>Policy Name</b>	<b>BOR Committee Primary</b>	<b>BOR Committee Secondary</b>	<b>Last Updated</b>	
VIII-7.10	Policy on Reporting Suspected or Known Fiscal Irregularities	AUDIT	FIN	06.21.1990	
VIII-7.11	Policy on the Communication of Suspected Fraud, Unethical and Illegal Business Activity	AUD		04.16.2010	
VIII-7.20	Policy on External Audits	AUD		07.26.1990	
VIII-7.30	Policy on Responses to Legislative Audits	AUD		07.26.1990	
VIII-7.50	USM Internal Audit Office Charter	AUD		11.15.2007	
VIII-9.00	Policy on the Operating Budget	FIN		07.26.1990	
VIII-10.00	Policy on Facilities Master Plans	FIN		09.27.1990	
VIII-10.10	Policy on Facilities Renewal for Auxiliary and Non-Auxiliary Capital Assets	FIN		12.09.2005	
VIII-10.20	Policy on the Capital Budget of the UMS	FIN		02.132.2010	
VIII-10.30	Policy on Authority Concerning Certain Public Improvement Projects	FIN		02.04.2000	
VIII-10.40	Policy On Community Notification Of Capital Projects	FIN		06.18.2010	
VIII-11.00	Policy on University System Travel	FIN		02.28.1992	
VIII-11.10	Schedule of Reimbursement Rates	FIN		12.18.2014	
VIII-12.00	Policy on Debt Capacity	FIN		02.15.2008	
VIII-13.00	Policy on Business Entities	ED&TC	FIN	07.13.2001	
VIII-14.00	Policy on Investments and Loans to Maryland-based Businesses That License University Intellectual Property	ED&TC		02.14.2014	

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### SECTION IX: EXTERNAL RELATIONS (NO PRIMARY RESPONSIBILITY FOR SECTION IX ASSIGNED TO AASL)

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary	Last Updated	
IX-1.00	Policy of the Board of Regents on Governmental Relations	BOR		12.09.2005	
IX-2.00	Policy on Affiliated Foundations	ADV	ED&TC	10.01.1999	
IX-2.01	Recognition of Affiliated Foundations	ADV		12.03.2010	
IX-3.00	Policy on Private Fund Raising and Stewardship	ADV		06.21.1990	
IX-4.00	Policy on Alumni Associations	ADV		08.27.1993	
IX-5.00	Policy of the Board of Regents on Ethical Practices in Charitable Giving	ADV		10.07.1994	
IX-6.00	Policy On Use Of Foundation And Alumni Association Funds To Provide Economic Benefits To USM Employees	ADV	FIN	07.11.2002	

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### SECTION X: INFORMATION TECHNOLOGY (NO PRIMARY RESPONSIBILITY FOR SECTION X ASSIGNED TO AASL)

Policy Number	Policy Name	BOR Committee Primary	BOR Committee Secondary		
X-1.00	Policy On USM Institutional Information Technology Policies (Including Functional Compatibility With The State Information Technology Plan)	FIN	08.24.2001		
X-2.00	Policy on Compliance with USM Policies through Technology	BOR	10.17.2008		