



**BOARD OF REGENTS  
Video Conference  
University System of Maryland**

**November 13, 2020**

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**AGENDA FOR PUBLIC SESSION**

**8:30 A.M.**

Call to Order

Chair Gooden

**Education Forum:** *USM Civic Engagement*

Dr. Nancy Shapiro  
Associate Vice Chancellor for Education  
and Outreach

Chancellor's Report

Chancellor Perman

I. Report of Councils

- a. University System of Maryland Student Council
- b. Council of University System Faculty
- c. Council of University System Staff
- d. Council of University System Presidents

Ms. Rappeport  
Dr. Brunn  
Dr. Shishineh  
Dr. Breaux

**PUBLIC COMMENT**

2. Consent Agenda

Chair Gooden

- a. Committee of the Whole
  - i. Approval of meeting minutes' revision from June 19, 2020 Closed Session (action)
  - ii. Approval of meeting minutes from September 18, 2020 Public and Closed Sessions (action)
  - iii. Approval of meeting minutes from Special Board Meetings Public and Closed Sessions (action)
    - 1. September 27, 2020
    - 2. October 19, 2020
- b. Committee on Governance and Compensation
  - i. Review of Committee Charters (action)
- c. Committee on Finance
  - i. Approval of meeting minutes from September 10, 2020 Public and Closed Sessions (action)
  - ii. University of Maryland, Baltimore: Renovation of Building I for School of Nursing Expansion at The Universities at Shady Grove (action)

- iii. [Towson University: Increase Authorization for New Science Complex \(action\)](#)
- iv. [University of Maryland, Baltimore County: Real Property Transfer \(information\)](#)
- d. Committee on Advancement
  - i. [Approval of meeting minutes from October 28, 2020 Public and Closed Sessions \(action\)](#)
  - ii. [Approval to the Revised USM BOR VI-4.00 – Policy on the Naming of Facilities and Programs \(action\)](#)
  - iii. [Quasi-Endowment Grant Approval \(action\)](#)
  - iv. [Approval of Committee Charter \(action\)](#)
- e. Committee on Audit
  - i. [Approval of meeting minutes from October 30, 2020 Public and Closed Sessions \(action\)](#)
  - ii. [Proposed Revisions to the Audit Committee Charter \(action\)](#)
- f. Committee on Economic Development and Technology Commercialization
  - i. [Approval of meeting minutes from November 5, 2020 Public Session \(action\)](#)
- 3. Review of Items Removed from Consent Agenda
- 4. Committee Reports
  - a. Committee on Audit Update (information) Regent Fish
    - i. [Review of Financial Disclosures of the Regents, Chancellor, and Presidents](#)
  - b. Committee on Finance Regent Attman
    - i. [University System of Maryland: Amendment to the Forty-Second Bond Resolution—Auxiliary Facility and Tuition Revenue Bonds and Associated Conversion of Cash-Funded Projects to Revenue Bond Funding \(action\)](#)
    - ii. [Fall 2020 Enrollment Update and FY 2021 FTE Estimate \(information\)](#)
  - c. Committee of the Whole Chair Gooden  
Regent Gossett  
Regent Malhotra
    - i. [Establish a new Standing Committee on Intercollegiate Athletics and Student-Athlete Health and Welfare \(action\)](#)
    - ii. [UMGC President Search Update \(information\)](#)
- 5. [Reconvene to Closed Session \(action\)](#) Chair Gooden



**Friday, November 13, 2020**  
**Report to the USM Board of Regents**  
**Chancellor Jay Perman**  
**AS DRAFTED**

Thank you, Chair Gooden. And let me once again commend you and the regents for your hard work under these very difficult circumstances. As the academic year progresses, and COVID-19 escalates, we face grave challenges. This board has remained deeply engaged as we work together to meet those challenges. I thank you.

Let me also thank Dr. Nancy Shapiro, associate vice chancellor for education and outreach, and our student leaders for their presentation—and their impressive work—in civic engagement. It’s been gratifying to see so many students Systemwide so deeply invested in our democratic process.

As Chair Gooden mentioned, this year was indeed historic in terms of voter turnout—especially among our young people—and, of course, it was historic, as well, for the election of Kamala Harris as vice president. I do hope now is the time we might all work together to advance the causes we care about as Americans.

I echo Chair Gooden’s comments on Regent Bobby Neall, who has announced his retirement as Maryland Secretary of Health, effective next month. Regent Neall has been an invaluable asset to the state and to the University System, and I’m delighted that his service on this board will continue.

While it’s been only a few weeks since we last met, there’s been no shortage of notable developments across the System.

The **University System of Maryland at Southern Maryland (USMSM)**, the state’s oldest—and the System’s newest—regional higher education center, will soon welcome its inaugural executive director. Dr. Eileen Abel, most recently vice president of academic affairs at the College of Southern Maryland, will assume leadership of the USMSM on December 1. She brings the ideal skillset to this post; she knows the area, the student population, and the needs—and assets—of the region. I look forward to the impact of her leadership. I thank USM Senior Vice Chancellor for Academic and Student Affairs Jo Boughman for leading the search committee, and I extend my deepest gratitude to USMSM Chief Academic Officer Ben Latigo, who has been serving as interim executive director.

This is, of course, the second recent announcement of a new regional center director. Dr. Anne Khademian is attending her first board meeting since taking over as executive director of the **Universities at Shady Grove (USG)**. She began her tenure on October 19, after a 17-year career at Virginia Tech. Dr. Khademian has already launched a weekly video podcast, “This is USG,” featuring students, alumni, faculty, friends, and university and community leaders. I was delighted to be a guest on this week’s episode, and I hope you’ll tune in for all the great things happening at USG.

Today is also the first board meeting for Dr. Larry Leak as interim president of the **University of Maryland Global Campus (UMGC)**. In a distinguished career spanning five decades, Dr. Leak has been a mainstay of Maryland education, serving as a high school teacher and principal, university professor and administrator, assistant state superintendent of schools, college trustee, and gubernatorial appointee. As you know, after successfully leading UMGC for the past eight years, Javier Miyares has transitioned into an advisory capacity, focusing on Systemwide data analytics and how to better position the USM for distance education during and after the pandemic. I know Larry's transparent and collaborative leadership style will serve UMGC well, as Regent Sam Malhotra chairs the search committee for the university's next president.

There's no other way to start the body of my remarks today than with the devastating news of COVID's spike in Maryland and across the nation. We're all acutely aware of the deteriorating numbers in terms of infections, hospitalizations, ICU admissions, and—yes—deaths. Maryland has seen several consecutive days with more than 1,000 new cases reported, and our positivity rate has crossed the 5 percent mark for the first time since June.

We're heeding the governor's warnings about the dangers of this virus and its quick spread—now taking a grave toll in rural areas that were once spared the brunt of the disease. We're conscious, too, of COVID fatigue, which baits us into lowering our guard and relaxing the very practices that have kept so many of us safe thus far.

In view of this escalation, it turns out we were prescient in our semester planning. Virtually all of our institutions had always planned to end their semester by Thanksgiving or to use the holiday as the transition point to online-only instruction.

And we're almost there. But just in case, in a phone call this week with the presidents, center directors, and their executive teams, I stressed that we must be ready—fully ready—for a possible pivot to earlier online-only instruction and student move-outs. We would need to accomplish this transition, should it come, quickly and safely. And as we prepare for this possibility, we have several things working in our favor, not the least of which is the fact that we have far fewer students on campus to return home in the first place.

For instance, with cases climbing in Allegany County, **Frostburg State University (FSU)** announced on Wednesday that all classes would move online immediately. **Bowie State University (BSU)** also announced an immediate move to online instruction, and the university is allowing students to begin scheduling their move-outs. And the **University of Maryland, College Park (UMCP)**—pivoting to online course delivery after Thanksgiving—has told students traveling for the holiday to stay home for the remainder of the semester.

Certainly, for these universities—for any university—an accelerated pivot to online-only education is disappointing. But we knew all along that it was a possibility. We planned for it. We understood from the outset that our campuses wouldn't be COVID-free—and, of course, they're not.

But in committing to some on-campus instruction this semester, we got a lot of things right. Cases were managed effectively. Positivity rates were mostly down. Regular reporting kept students, faculty, staff, and neighbors apprised of disease prevalence and risk. Students who wanted to maintain the privilege of an on-campus experience did, by and large, follow our rules for doing so. Our institutions were able to resume robust research operations and make material contributions to combatting COVID



itself. I'm so impressed with the engagement and accomplishment of our research faculty. And, through it all, state and local health departments were our constant collaborators and guides.

Of course, we hope for a spring semester starting January 25 that looks very much like our fall—some classes online-only, some in-person, and some hybrid, with face-to-face instruction supplementing online work. Campus de-densification—together with a regimen of COVID testing, symptom monitoring, and disease prevention protocols—will continue to be central to our ability to control virus spread.

Our main advantage this spring is knowledge, experience, battle scars. Just as epidemiologists now know more about the virus itself and how to contain it; just as medical professionals now have better diagnostics and treatments available to patients, those of us in higher education have our lessons learned.

I've mentioned to you before our intention to take time, as a System, to reflect on what we've learned, and what lessons we can apply to our immediate and long-term efforts. We're compiling those lessons from the universities—in teaching and learning, in campus life and student services, facilities management, communication, IT, athletics.

There are major issues, like the fact that students very much want synchronous learning vs. asynchronous—meaning they want to be with their professors and classmates in real time; they want to interact. So we need to expand access to those platforms. We heard that promoting student compliance with health protocols is more likely achieved through an educational model vs. a punitive one.

I think every single institution said they needed more communication with students and employees and more ways to deliver information—on the platforms that students actually use. There are lessons in facilities capacity, ventilation systems, and water service. There are innumerable logistics issues—how to accomplish a streamlined move-in; where signage is most effective; how to get hot meals to students in isolation and quarantine. We have hundreds of lessons to sort through.

Which speaks to the complexity of what our institutions are managing, and who needs to be at the table when decisions are made. Never has shared governance been so important to the University System. I've been deeply engaged over the last several months with our shared governance bodies—our student, faculty, and staff councils—securing their advice on how to proceed with our work, and their feedback on how we're doing.

I'm thrilled that I've also begun monthly get-togethers with students across the System. This was something I used to do at UMB—host Sunday brunches at my house for a dozen or so students. The sessions are virtual for now, but I look forward to a time when we can be together again in person. These chats help me connect with students—better understand their experiences, their challenges, what they want from their education and whether they're getting it. Last month, I talked with a group of students leaders responsible for our huge Get Out the Vote effort, and later this month, I'll be meeting with some of our international students.

Of course, I continue to meet regularly with the presidents, center directors, and their cabinets. These meetings are the root of our collaboration, our solidarity, as a System. They help us understand the daily conditions and issues on each campus, and the remarkable things our students, faculty, and staff are achieving despite them.

And while it is undeniable that the challenges associated with the COVID pandemic have occupied a significant portion of our time and attention, I would be remiss if I did not take a few moments to highlight some of the remarkable activity that has taken place throughout the USM since we last met. I begin with something that is especially significant.

Bowie and UMCP have launched a partnership promoting racial and social justice, and honoring 1st Lt. Richard W. Collins III, who was killed on the College Park campus in 2017. UMCP President Darryll Pines and BSU President Aminta Breau were joined via video by Lt. Collins' parents as they announced the BSU-UMD Social Justice Alliance. The alliance will host public programming to stimulate conversations about race and racism and steer action for change and will embed social justice education in the curricula and experiential activities of BSU and UMCP students.

As we all know, advancing racial equity and social justice is a genuine priority throughout the USM. Just last month, the Social Justice and Civic Engagement Committee at the **University of Maryland Eastern Shore (UMES)** sponsored a virtual symposium, "Protesting Injustice: The UMES Experience." **University of Maryland, Baltimore County (UMBC)** President Freeman Hrabowski, his senior advisor, Dr. Peter Henderson, and Dr. Kate Tracy, professor at the **University of Maryland, Baltimore's (UMB)** School of Medicine, coauthored a must-read piece in *The Atlantic* on the academy's obligation to lead the dismantling of structural racism. And both UMB's School of Nursing and **Towson University (TU)** were honored by *Insight into Diversity* magazine with a Higher Education Excellence in Diversity (HEED) Award. The HEED Award recognizes colleges and universities that demonstrate an outstanding commitment to diversity and inclusion.

Also, at Towson, Dr. John Sivey, associate professor of chemistry, was one of just eight faculty members nationwide recognized as a 2020 Henry Dreyfus Teacher-Scholar. This recognition, which comes with an unrestricted research grant of \$75,000, is awarded to young faculty in the chemical sciences who have created an outstanding body of scholarship and who are deeply committed to undergraduate research and education.

In other honors, UMB boasted three recipients of the *Baltimore Business Journal's* first-ever Leaders in Health Care Awards. Dr. Jane Kirschling, dean of the University of Maryland School of Nursing, Dr. Natalie Eddington, dean of the University of Maryland School of Pharmacy, and Dr. Leah Sera, associate professor in the School of Pharmacy, will be honored at a virtual awards celebration next month.

Janel Harris, a **Coppin State University (CSU)** admissions counselor, was named the Bernard Wynder College Representative of the Year for 2020 by the Baltimore CollegeBound Foundation. In addition, CSU's Student-Athlete Advisory Committee received a John Lewis HBCU Grant to support voter registration, education, and mobilization. The committee sponsored a massive Get Out the Vote effort that registered most of CSU's student-athletes. That effort—critical this election year—reflects long-term civic engagement and leadership among CSU's students.

Two USM professors—Dr. Thomas Longden from UMB and Dr. Colenso Speer from UMCP—have received the NIH Director's New Innovator Award. One of the organization's most competitive grants, the award is part of the National Institutes of Health's High-Risk, High-Reward Research Program, designed to support "exceptionally creative" early career investigators who propose high-impact projects in the biomedical, behavioral, or social sciences. Dr. Longden received \$2.3 million, and Dr. Speer received \$1.5 million.

In other grant news, UMBC received a three-year, \$1.3 million grant from the U.S. Department of Commerce to create the Maryland New Venture Fellowship for Cybersecurity. The fellowship will support the development of cybersecurity companies in Maryland, and will increase connections among technologists, mentors, and faculty at institutions across the state. In addition, NASA awarded a UMBC team \$1.4 million to develop artificial intelligence that improves how computers process climate data from satellites. And UMBC researchers have received a nearly \$150,000 planning grant from the National Science Foundation (NSF) to study how telemedicine can be scaled more effectively, including to meet the complex training needs of medical professionals.

The **University of Baltimore's (UB)** Center for Drug Policy and Prevention has received \$2 million in federal funding from the Office of National Drug Control Policy. The university will direct that funding into innovative incubator projects designed to reduce drug overdose across the country.

UMB has received \$2.3 million in awards to fund four endowed research professorships through the Maryland E-Nnovation Initiative Fund, a state program created to stimulate basic and applied research in scientific and technical fields at Maryland universities. UMB raised private funds for each professorship, with the state providing matching grants.

The **University of Maryland Center for Environmental Science (UMCES)** received a \$1.4 million NSF grant to help policymakers better understand changes to environmental quality in the Chesapeake Bay, how those changes affect policy decisions, and how those decisions affect quality of life throughout the region. The collaboration between UMCES and the University of Maryland's National Center for Smart Growth Research and Education will focus on driving more effective policies in water quality and estuary resilience.

**Salisbury University (SU)** received a four-year, \$2 million grant from the Health Resources and Services Administration to establish the SU Eastern Shore Opioid-Impacted Family Support Program, expanding efforts to train community health workers to assist families affected by opioid use disorders. Salisbury has also announced a new integrated science major, the first in the USM. The major accommodates students who wish to develop programs of study in two or more STEM disciplines or create a major in a STEM discipline not offered by any department at SU.

Frostburg is also expanding academic offerings with a new bachelor's degree in Life-Cycle Facilities Management, which trains students to apply environmental, societal, and long-term sustainability goals to the construction and management of buildings, and to manufacturing processes and products.

The UMES graduate program in rehabilitation counseling is getting an infusion of \$1 million from the U.S. Department of Education. The funding will support 14 graduate students studying vocational, medical, social, and psychological rehabilitation for people with disabilities, and will help close counseling access gaps for underserved populations.

Bowie has been selected by Google as one of the first four historically Black institutions participating in the Grow with Google HBCU Career Readiness Program. Google has committed more than \$1 million to HBCU career service centers nationwide to help students and recent graduates develop the digital skills they need to secure internships and jobs.

Our institutions have also been engaged in impressive fundraising activities.

Towson University has received \$1 million from former physics professor and department chair Dr. Eddie Loh, who retired from TU in 2010 after more than 40 years of teaching. This is the largest planned faculty gift in the university's history and will fund scholarships for TU physics students.

During an informal—and socially distanced—reception at the UMES Engineering and Aviation Science Complex, President Heidi Anderson accepted a \$10,000 gift from ASRC Federal to support the engineering and aviation sciences program. ASRC Federal is a prime contractor at NASA's Wallops Flight Facility, where UMES students get hands-on experience and job opportunities.

The **University System of Maryland at Hagerstown's (USMH)** second annual Spirit Run—held virtually due to the pandemic—attracted 128 participants from across the country who ran (or walked) and raised nearly \$10,000 for scholarships.

It's gratifying for me to see that—especially in these stressful and difficult times—our universities and centers continue to engage in the communities around them. For example, UMB has entered into a partnership with Comcast to sponsor one year of internet connectivity for up to 1,000 families with children in 14 West Baltimore schools. UB is joining the Virtual Maryland College Application Campaign to increase the number of first-generation, low-income, and other underrepresented students in higher education. And UMBC re-enrolled 123 “near-completers” through its Finish Line initiative this semester. The students are taking advantage of the increased availability of online courses to finish their degrees—including some students returning after a decade away from college. In addition, UMBC—in partnership with the Digital Harbor Foundation—has been awarded a \$1.5 million grant to transform selected rec centers into tech hubs and makerspaces for Baltimore City youth.

And just as our institutions have worked hard to remain engaged with their communities, I've worked to remain engaged with our institutions. Over the summer and into the fall, I've gotten out for some campus visits and seen firsthand how our institutions are teaching, discovering, innovating, and serving—all in the midst of a pandemic that's put obstacles in the way of each of these missions.

I have a short slideshow of these visits—over the summer to the USM at Southern Maryland, and this fall, to Bowie State, UMBC, Coppin, and College Park. I was supposed to visit Towson University just yesterday, but Tropical Storm Eta foiled those plans. I look forward to rescheduling that visit soon.

Of course, my aim is to get to every campus and regional center and to make regular visits a priority in my schedule. COVID has obviously thwarted those plans somewhat. But as soon as it's safe to, I'll be visiting the campuses as often as I can, and meeting with students, faculty, and staff. Because this University System—as deeply affected as it's been by the COVID crisis—is not defined by it. And I look forward to talking not only about how we're weathering this pandemic but about our vision for the USM long after it ends.

Before I play the slideshow, I want to give you just a little context.

At USMSM, Dr. Latigo and I toured the new academic building that's going up. I visited the UAS test site, where they're innovating drone technology, and the TechPort incubator, which has been a hub for COVID solutions.

At UMBC, President Hrabowski showed me the new Interdisciplinary Life Sciences Building. We met with research staff and talked with students. I also threw in a couple of photos from UMBC's COVID

testing pilot this summer. It was the first time we attempted to collect baseline data on COVID prevalence.

At College Park, President Pines took me on a tour of campus dorms and dining halls so I could see firsthand how the university is keeping students and employees safe. We dropped in on some classes, too, and it was great to see everyone distanced, masked, and learning.

At Coppin, President Jenkins showed me the university's incredible Science and Technology Center. And I was glued to a nursing class, where they were doing some state-of-the-art simulations. It made me feel like I was back at UMB.

At Bowie, I got to meet with a great group of student-athletes, who have a unique perspective on this pandemic—and unique challenges in dealing with it. When you see them in the photos, remember they're all on teams together; that's why they're not distanced. President Breaux and I also talked about the Entrepreneurship Living-Learning Community that's opening next year.

Let's play the slideshow. It's just 90 seconds; don't worry.

As you're looking at the photos, I do want to mention that you'll see a picture in here of President Pines and me talking to a student in a corridor of the Edward St. John Teaching and Learning Center. We asked her what she was working on—what class she was heading to, or coming from. She lives at home. She told us she didn't actually have any in-person classes this semester. They're all online.

But she came to campus every day, to this quiet space, where she could concentrate on her virtual courses—where she had the silence and calm and safety she needed to put in her best work. This is what keeping our campuses open has allowed.

I've said many times that this virus doesn't grant anyone a "mission accomplished" moment. I can't see the future, and I don't know whether our best efforts in this fight against COVID will be enough.

But I'm glad we've tried to fulfill our missions in education, research, and service. I'm glad we've tried to support the students who need what our campuses provide, and to keep them safe when the very concept of safety is threatened.

I'm glad I put my faith in the System's faculty and staff, who've worked so hard to provide an education worthy of the USM's name. And I'm glad for the trust of our students, who remind us every day why we took on this work in the first place.

Thank you, Madam Chair. This concludes my report.

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## **USM Student Council Report for the USM Board of Regents**

November 13, 2020

The USM Student Council (USMSC) has continued to have impressive levels of engagement and growth. We now have our co-directors to lead in areas of government relations; diversity, equity and inclusion; student affairs; and communications. To support the directors, each area is also forming a supporting committee to help with coordination and implementation of efforts. In addition, the USM Student Council formed committees to focus on mental health and wellbeing of students as well as a student fees committee to examine processes and best practices.

The focus of the USM Student Council since the last Board of Regents meeting has been to strengthen our organization internally as well as through continued engagement of students with the Chancellor and USM Votes efforts. We had yet another very productive session with Chancellor Perman with students from across the system as well as several meetings with Associate Vice Chancellor Nancy Shapiro to amplify the coordinated civic engagement and civic education work. In addition, we have worked with Council of University System Faculty and the Council for University System Staff (CUSF and CUSS) whose presidents attended our meeting last month to better harmonize discussions and efforts around issues impacting our communities jointly. One example that shows the power of these continued efforts was the use of USMSC to inform CUSF of election day efforts that then made it on to the CUSF agenda within 24 hours as a resolution that was subsequently passed. Additionally, the coordinated conversations to plan the joint council meeting for later this month have helped build relations and are helping us to respond together to COVID-19 issues across students, faculty and staff. In addition, we welcomed MaryPIRG to our meeting last month and many institutions are interested in continued collaboration in areas of sustainability and civic engagement.

We had unanimously favorable support for our statement of opposition to the proposed Department of Homeland Security D/S rule which USMSC views as having detrimental impacts for our entire system if passed. This statement passing unanimously and being a priority discussion further demonstrates a concern for our over 5,000 international student on F and J visas in the USM and our support for their wellbeing and security as students. This statement was submitted through the Federal Register during the open period for public comment and shared with the Chancellor and Maryland Attorney General's Office.

Our next steps in this continued effort include bridges between USMSC and the Maryland Higher Education Commission (MHEC) Student Advisory Council. These meetings have begun and will continue at our USMSC meeting this Sunday where the chair of the MHEC Student Advisory Council plans to join our meeting to discuss the forthcoming, updated, five-year State Plan for Postsecondary Education and areas including, but not limited to, adaptations to online learning environments and technology access, transfer student support and mental health and wellbeing.



## USM Student Council Report for the USM Board of Regents

November 13, 2020

Of note, the USMSC as a whole continues to express appreciation for the tremendous work being done day in and day out by leaders at the institutional and system level as well as by faculty, staff and fellow students during this time. The election was an exciting and draining time for many students, but most feel a renewed sense of hope for our shared future. Many students express, sadly, a lack of flexibility and compassion from some faculty and administrators during COVID-19 and hope these cases may continue to be addressed expeditiously. Examples would be less rigid expectations that are dependent upon one's access to technology to engage with virtual learning; considerations for many students, who, like faculty and staff, may now be absorbing care-giving responsibilities for loved ones; the overall anxiety produced by current events (pandemic, structural racism, natural disasters); and the need for communications, resources and flexibility related to grief and bereavement needs and the ability to feel supported for those seeking Americans with Disabilities Act (ADA) accommodations or who are newly diagnosed with learning disabilities.

Many student governments have identified their top efforts to be related to addressing structural racism and additional initiatives to improve diversity, equity and inclusion (DEI) across various populations including disability. Student government leaders are interested in better understanding the bigger DEI picture across the system and we are working to help provide reports to all of the student leaders. The discussions surrounding COVID-19 and DEI had significant overlap with concerns for mental health. We discussed how students may learn about resources and best spread the word about them to their constituents, an acknowledgement that tele-counseling is a wanted option, but not one feasible for everyone given a wide variety of possible limitations caused by lack of private space and not being available during the times when most services are offered and/or stable internet connectivity.

One of our largest projects of the term is to help with the student regents selection process, and we have already begun our outreach efforts and look forward to a full slate of qualified nominees.

With Respect and Appreciation,

Annie Rappeport  
**2020-2021 USMSC President**



**Statement of Opposition to the Department of Homeland Security and Immigration and Customs Enforcement's Proposed Rule on Establishing a Fixed Time Period of Admission and an Extension of Stay Procedure for Nonimmigrant Academic Students, Exchange Visitors, and Representatives of Foreign Information Media**

Public Comment from the University System of Maryland Student Council  
October 25, 2020

The University System of Maryland Student Council (USMSC) represents over 172,000 students across 12 higher education institutions and three regional centers. The USMSC represents thousands of international students who are currently on F and J one visas and who are valued members of our student community. We cannot imagine our universities without our international undergraduate and graduate students. Our care for our community members compels us to submit public comment with the following oppositional statement and specific concerns of how the new restrictions would negatively impact us.

The proposed rule “Establishing a Fixed Time Period of Admission and an Extension of Stay Procedure for Nonimmigrant Academic Students, Exchange Visitors, and Representatives of Foreign Information Media” will result in devastating consequences for international students who are part of F and J non-immigrant visa programs by introducing restrictions that misalign with the realities of completing higher education programs in the United States. The assumption by DHS that “many F, J, and I nonimmigrants would be able to complete their activities within their period of admission” is alarmingly erroneous. If approved, the proposal’s added rigidity to the D/S, which determines multiple aspects of F and J visa duration, would be a deterrent for future potential international graduate student enrollments—resulting in unprecedented loss as these enrollments enrich higher education institutions in a multitude of ways not the least of which are through tuition and fee revenue, research productivity and teaching capacity.

The [proposed modifications and specific language](#) from the DHS ruling listed in B. Summary of the Proposed Regulatory Revisions that will negatively impact current and prospective international students include the following:

- “Setting the authorized admission and extension periods for F and J non-immigrants (with limited exceptions) up to the program length, **not to exceed a 2- or 4-year period.**”  
The **limited 2-year admission** is recommended for students that are 1) from countries of State-Sponsored Terrorism, 2) countries with greater than 10% overstay rate, 3) enrolled in specific courses of national interest, 4) enrolled in unaccredited schools, and 5) schools that do not participate in E-Verify;
- “**Decreasing from 60 to 30 days** the allowed period (commonly known as the grace period) for F alien to prepare to depart from the United States after completion of a course of study or authorized period of post-completion practical training;”
- “Limiting language training students to **an aggregate 24-month** period of stay, including breaks and an annual vacation.”





Statement of Opposition to Docket ID No. ICEB-2019-0006

**We have also identified the following as some of the negative resulting consequences that will impact our students and universities should the proposed rule be approved:**

1. The United States will see a decline in international students who increase the US talent pool, improve international relations and provide substantial amounts of revenue through paying tuition, fees and costs of living expenditures while completing their degree programs.
  - a. It would specifically discourage international students from pursuing a Ph.D. in the U.S. as the proposed fixed admission time frame (4 years) is much lesser than the median Ph.D. completion time of [5.8 years](#).
2. The rule is a deterrent to international students and families who would otherwise invest thousands of dollars into the US economy and help the much-needed economic recovery of the country in light of the pandemic-caused recession.
  - a. [International students contributed \\$41 billion to the economy in 2018-2019 and supported 458,290 jobs according to the National Association of Foreign Student Advisors](#).

**In addition, the proposed rule is flawed with reasoning that is vague and misplaced:**

1. DHS provided no clear explanation of why changing to a fixed-term admission would reduce the overstay rate while the fixed-term "[business visitors](#)" visa category overstay rate seems to be consistent from 2016 to 2019.
  - a. Overstay rates in 'student and exchange visitor' visa categories have significantly fallen from [2016 to 2019](#);
  - b. It is important to note that the total number of overstays identified in the report does not equal the total number of overstays who currently remain in the United States during this reporting period. The [number is lower because foreign nationals identified as possible overstays can subsequently depart the United States, or apply to change or extend their current lawful status](#). For purposes of this report, these are still considered overstays demonstrating that the overstay data is [misguidedly interpreted](#);
  - c. The proposed rule imposes a 2-year admission restriction on students from those countries with greater than 10% overstay rates. The restriction is biased because [some countries send only a handful of students](#) and even one isolated transgressor might trigger the overstay restrictions.
2. No actual statistical evidence was provided by DHS on the threat to national security. Only a very few isolated incidents were cited. This is highly problematic and not an appropriate nor pragmatic way to change rules.



Statement of Opposition to Docket ID No. ICEB-2019-0006

- a. [Biometrics](#) of international students are already collected at the port of entry by Customs and Border Protection (CBP), so that data is already available to DHS;
- b. Immigration and Customs Enforcement (ICE), a branch of DHS, already monitors the international students in the U.S. through the Student and Exchange Visitor Information System ([SEVIS](#)) program.

Our expressed comments and information cited in the statement above should make clear why the USMSC opposes the Department of Homeland Security's proposed ruling for elimination of Duration of Status (D/S) re: Docket ID No. ICEB-2019-0006. Currently, this rule is in the proposal stage and will accept public written comments, on the [Federal eRulemaking Portal](#), until October 26<sup>th</sup>. Thank you for allowing public comments to the proposed rule and for consideration of our statement of opposition.

Sincerely,

*The USMSC Student Council, representing student leadership from:*

*Bowie State University*

*Coppin State University*

*Frostburg State University*

*Salisbury University*

*Towson University*

*University of Baltimore*

*University of Maryland, Baltimore*

*University of Maryland, Baltimore County*

*University of Maryland, College Park*

*University of Maryland Center for Environmental Science*

*University of Maryland Eastern Shore*

*University of Maryland Global Campus*

*Universities at Shady Grove*



## COUNCIL of UNIVERSITY SYSTEM FACULTY

### Board of Regents Report

November 13, 2020

Since the last report in September, CUSF has focused on several action plan items and weighed in on three major issues of concern to system faculty. The work is detailed below.

#### 1. Board of Regents Faculty Awards & Recognition Committee

This year's members are: Dr. Patricia Shields, University of Maryland College Park Campus (Retired) (UMCP) (new member); Dr. Josh Dehlinger, Towson University (TU) (Last Year Winner); Dr. Sabrina Fu, University of Maryland Global Campus (UMGC); Professor Susan Antol, University of Maryland Baltimore (UMB), and Benjamin Arah, Committee Chair, Bowie State University (BSU).

The deadline for this year's application is November 13, 2020. The members should receive the applications by November 25, 2020 to begin the review process. The five (5) Committee members will meet, virtually, to review the applications and select those to recommend to USM for consideration.

#### 2. Ed Policy Committee

The committee has two subcommittees Academic Integrity and Interdisciplinary Professional Education (IPE).

The **Academic Integrity subcommittee** is continuing work drafting USM policies. Consultation with Dr's Boughman, Lee and Bishop has brought about edits to USM obligations and potential legal concerns with the new policies. They are currently waiting on a clarification from the Attorney General's office on a few points. The committee hosted at the October CUSF meeting a presentation by James Bond, J.D. Assistant Director, Office of Student Conduct for College Park which highlighted the potential legal liability that faculty might have in handling academic integrity cases. The presentation focused on best practices that faculty can use to protect themselves from lawsuits and/or grievances. The most important take away was the need for language in the syllabus that outlines the faculty's approach to academic integrity. It also empathized the need for faculty to know and have in place the school's practices, processes and procedures so they will not operate outside of the scope of university policies exposing them to potential liability. It was decided that greater information on these issues was needed. To that end the committee is seeking advice from the International Center for Academic Integrity and their general counsel James Orr, J.D. and the Maryland Attorney General's office. It is important to note that as public institutions our universities must contain within their policies, processes and procedures due process features. The discussion will continue with the goal of providing faculty with specific best practices to prevent exposure to potential individual liability.

The **IPE subcommittee** focusing their efforts on a coordinated examination of digital badging and certificates with the William E. Kirwan Center for Academic Innovation.

**3. Faculty Concerns Committee**

Work has started on subcommittees:

The **technological subcommittee** has begun work on collecting information on faculty using webcams, legal recordings, proctoring exams online, and cyber and proprietary concerns. Their goal is to identify the issues of faculty concern and best practices. One of the actions that the committee is entertaining is a proposal asking the Board of Regents for a USM policy clarifying the legal privacy and procedural limitations on faculty use of Zoom and other conferencing technologies.

The **structural inequality subcommittee** is beginning its work by looking at our school's institutional infrastructure for determining accusations or circumstances of inequality. Further, they are beginning to identify the work that needs to be done to begin open and honest discussions and further action.

The **Covid-19 ad hoc subcommittee** has begun work on putting together a three-tier examination of faculty concerns. The first tier will look at five related issues dealing with the pandemic (e.g. mental health, working at home and family, layoffs, etc.) to provide System with feedback and potential ideas for help. The second tier will examine the processes and procedures that worked and didn't work in handling the pandemic and documenting them for the future.

Finally, the last tier will focus on the takeaways that showed how system works and how we can optimize their value going forward. **The committee will work together with CUSS and USMSC** to maximize the innovation of ideas and common concerns

**4. Rule and Membership Committee**

Has begun work on updating the bylaws by changing the method of voting to include all modes of electronic means. The discussion and vote on a new change to the bylaws is scheduled for the December Meeting.

**5. Legislative Affairs & Policy Committee**

The committee is examining the September 22, 2020 **Executive Order 13950 - Combating Race and Sex Stereotyping**. The first step began with a request from the Office of the Attorney General (OAG) to obtain legal interpretation of just what the Order meant to faculty and the university system. In summary, Executive Order 13950, defines race or sex stereotyping as ascribing character traits, values, moral and ethical codes, privileges, status, or beliefs to an entire race or sex, or to individuals because of their race or sex. It appears that main premise of the Order is to assure that diversity training and teaching of any kind could not be offered if its concepts conflicted with the order's definition. Section 10(b) specifically carves out academic instruction and permits the discussion of so-called divisive concepts, if they are presented in an "objective manner and without endorsement" This seems to permit faculty to teach about these concepts. The committee will be meeting in the next month to determine if further action is needed. Additional points of the OAG interpretation can be found in Appendix A of this report.

6. On a general matter, **CUSF passed unanimously a resolution** encouraging faculty to give students time to participate in voting and election process activities on and surrounding the November 3<sup>rd</sup> election. The resolution is found in Appendix B of this report.

Respectfully submitted, Elizabeth K. Brunn, Ms.Ed, J.D. CUSF CHAIR

## Appendix A

### Executive Order 13950 - Combating Race and Sex Stereotyping

- <https://www.whitehouse.gov/presidential-actions/executive-order-combating-race-sex-stereotyping/>.

- The Order lays out considerations that should be made.
- The Order does not prohibit government contractors from offering programming that promotes diversity and inclusion, if it does not promote “divisive concepts,” as described in the Order.
- Section 10(b) specifically carves out academic instruction and permits the discussion of so-called divisive concepts, if they are presented in an “objective manner and without endorsement” This seems to permit faculty to teach about these concepts.
- Faculty who are working on research or scholarly activities around these issues whose research is funded by federal grants should be aware that there may be certain conditions associated with future grant funding, and they should carefully review grant applications to determine if they include such conditions.
- Ultimately, faculty would need to use their campus counsel or assigned AAG to advise on applicability/effect because the analysis will be so specific depending upon what research, training, or academic curricula is involved.  
Also consider consultations with Academic Affairs and/or Sponsored Research Offices.
- There does not appear to be an official review and comment period. However, as there is with federal regulations, comments can be addressed to the president, as was done by the American Council on Education on its own behalf and on behalf of multiple other higher education groups <https://www.acenet.edu/Documents/Letter-White-House-Race-and-Sex-Stereotyping-Executive-Order-100820.pdf>).

## Appendix B

### Election Day Resolution

The Council of University System Faculty (CUSF) is responsible for considering and making recommendations on matters of systemwide professional and educational concern to the faculty and matters to which faculty bring special expertise. The Council has unanimously approved the following resolution:

#### Resolution for Participation on Election Day-November 3, 2020

*Whereas CUSF recognizes the importance of fair and free elections with high participation as part of the democratic process; and*

*Whereas Tuesday, Nov. 3, 2020 is both Election Day and a scheduled class day for the Fall 2020 academic calendar; therefore be it*

*Resolved, that instructors are encouraged to make it easier for their students to perform their civic duty through voting or working as election judges by taking one or more of the following actions as is reasonable for their course(s):*

- *Being flexible with students regarding attendance and due dates on Nov. 3, 2020;*
- *Considering alternative assignments instead of a scheduled class meeting on Nov. 3, 2020;*
- *Holding class meetings virtually instead of in person on Nov. 3, 2020;*
- *Avoiding major assignments, exams, presentations, etc. on Nov. 3, 2020.*

Approved Unanimously  
October 19, 2020



**Bowie State University**  
14000 Jericho Park Rd  
Bowie, MD 20715

**Coppin State University**  
2500 W. North Ave  
Baltimore, MD 21216

**Frostburg State University**  
101 Braddock Rd  
Frostburg, MD 21532

**Salisbury University**  
1101 Camden Ave  
Salisbury, MD 21801

**Towson University**  
8000 York Rd  
Towson, MD 21204

**University of Baltimore**  
1420 North Charles St  
Baltimore, MD 21201

**University of Maryland,  
Baltimore**  
220 N Arch St  
Baltimore, MD 21201

**University of Maryland,  
Baltimore County**  
1000 Hilltop Circle  
Baltimore, MD 21250

**University of Maryland  
Center for Environmental  
Science**  
P.O. Box 775  
Cambridge, MD 21613

**University of Maryland,  
College Park**  
7950 Baltimore Ave  
College Park, MD 20742

**University of Maryland  
Eastern Shore**  
11868 College Backbone Rd  
Princess Anne, MD 21853

**University of Maryland  
Global Campus**  
1616 McCormick Drive  
Largo, MD 20774

**University System of  
Maryland Office**  
3300 Metzert Rd  
Adelphi, MD 20783

## **Council of University System Staff (CUSS) Report**

### **Board of Regents Meeting**

November 13, 2020

This report serves as an update on the activities of the Council of University System Staff (CUSS) since the last report in September, 2020. CUSS has hosted two online meetings in that time (one in September and one in October). Despite the virtual format, the Council was greeted by guest speakers from the campus where the meeting would be held if the meeting were in person. We appreciated hearing updates about the fall semester from Dr. Briscoe, AVP of Enrollment Management at BSU in September, and from President Nowaczyk at FSU in October. In addition to these guest speakers, CUSS appreciated that Chancellor Perman joined us at our October meeting as well. The Council greatly enjoyed meeting with the Chancellor for the first time in his new role, and hearing updates from him about the USM. Next week, CUSS looks forward to the Joint Councils meeting, with CUSF and USMSC on Thursday, November 19, 2020. For the first time ever, this meeting will be held virtually. Updates from this meeting will be shared in the next report.

Last year, the Council focused on best practices in shared governance and this year, CUSS is taking that one step forward with a focus on collaboration across shared governance. In addition to planning the Joint Councils meeting with CUSF and USMSC, the Chairs of all three Councils have been meeting regularly and discussing shared challenges across constituency groups while looking for areas to collaborate. We will once again host Advocacy Day (likely virtually) again together and are exploring some other potential Joint Council committee work and/or meeting opportunities.

As mentioned in the previous report, CUSS has 5 standing committees (listed below). During the September meeting, each committee met virtually and established some action items and goals for the current academic year. A brief summary for each committee can be found below.

### **Benefits & Compensation:**

- Identifying ongoing challenges and concerns around remote work for staff
- Explore mental health resources available at each campus specifically for staff
- Explore policies around fees (particularly parking) during the pandemic
- Explore tuition remission policies to determine duplicative fees

### **Board of Regents Staff Awards & Recognition:**

- Review and establish criteria to clearly define “senior leadership” for award eligibility purposes
- Focus on increasing non-exempt nominations
- Update and finalize fair and clear review rubric
- Establish clear communication with each campus’s Board of Regents Staff Awards representative/committee

**Communications & Marketing:**

- Revisit and refresh social media presence
- Create an informational video (plus update informational handout) about CUSS
- Create a schedule for quarterly newsletter and disseminate in a timely manner

**Executive:**

- Establish position responsibilities/protocols for each Executive Committee position
- Serve as liaisons to support the work of each standing committee within CUSS by identifying liaisons to each committee and joining committee meetings regularly to stay informed

**Legislative Affairs & Policy:**

- Plan for Advocacy Day 2021 (likely in a virtual format) including information dissemination
- Review and update the Council Bylaws and put to a vote before the end of the academic year

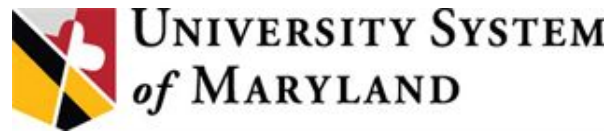
The next meeting of the Council will be the Joint Councils meeting on Thursday, November 19<sup>th</sup> in partnership with CUSF and USMSC. We look forward to hosting several leaders from the USM including: Vice-Chair Regent Gossett, Chancellor Perman, President Pines, and Patrick Hogan and Andy Clark to speak on the upcoming legislative session. Please do not hesitate to contact me directly ([lailams@umbc.edu](mailto:lailams@umbc.edu)) if you have any questions, suggestions, or concerns.

Respectfully submitted,



Dr. Laila M. Shishineh  
Chair – Council of University System Staff





## COUNCIL OF UNIVERSITY SYSTEM PRESIDENTS

November 13, 2020

The Council of University System Presidents (CUSP) continues to convene weekly to address issues related to the COVID-19 pandemic. Since its last report to the Board, CUSP met on the following dates: September 16, September 30, October 2, October 14, October 21, October 28, November 4, and November 11.

The last report focused on CUSP's planning for the launch of the fall semester. As a result of thoughtful and thorough planning, implementation of the term has proceeded since then with appropriate caution and success. Our twelve campuses and three regional centers have continued fulfillment of our academic and research missions and service to our students and employees. As needed, we've adjusted and responded as conditions have warranted.

Screening, monitoring, and testing for COVID-19 has been key to understanding any spread on our campuses. As a body, we have benefited from frequent information sharing between the presidents. This exchange is particularly important as each campus responds to local conditions, works with different local health officials, and complies with their respective county orders. CUSP has come to a consensus on the importance of testing and on testing consistently and frequently. The universities with students on campus are conducting surveillance testing with variation in rhythm and based on their particular campus needs.

CUSP worked with the Maryland Department of Health on making more efficient the notification of students with positive tests results. By participating in the health information exchange known as CRISP, which stands for the *Chesapeake Regional Information System for our Patients*, data sharing between participating institutions and the department of health expedites the time in which campuses are notified of students with positive test results. This faster notification time allows the institutions to respond to an infection and take action to mitigate spread more quickly than it would otherwise. About half of the campuses have signed on and others are working toward this end.

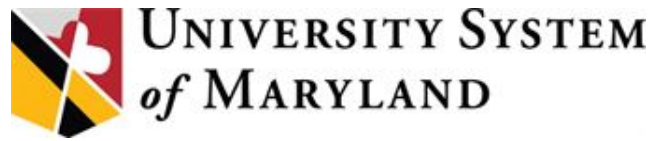
Managing the costs of the pandemic has been a key priority. As has been reported, every source of revenue—tuition and fees, auxiliary services, state appropriations, and grants and contracts—has retracted. At the same time, campuses have incurred expenses related to testing and monitoring; providing necessary personal protective equipment (PPE); safety modifications to residential and dining hall, office, and classroom facilities, suring up technology infrastructures; addressing students' technology needs; faculty training; and more. CUSP committed to protecting employees who would be impacted the hardest by salary reductions. The presidents have worked with the USM and their financial officers to explore their respective toolboxes to



address the financial impact. Every president has taken a temporary salary reduction and senior leadership on the campuses and USM office have also taken temporary cuts to varying extents.

The pandemic is far from over. We will continue to meet as necessary, as we understand the seriousness of this fall surge. Each campus is working to bring the fall semester to a safe close. CUSP is also in the process of taking stock of what has been learned and how these lessons can be applied to the spring term or other disruptive events. Key to our ability to respond has been our open communication and collaboration as a system.

Finally, CUSP took up voter engagement ahead of the election with the goal of getting students more active in the electoral process. Our campuses supported a variety of activities and resource sharing across the USM. Campuses stood up web pages and portals, organized student fora, made videos, and more. Several campuses were designated polling places. The election took place with record voting across the nation. We look forward to seeing data on the participation of USM students. We know that our hard work really begins with addressing civic engagement and civil discourse with a very divided electorate.



**DRAFT**

University System of Maryland Board of Regents  
Via Zoom  
June 19, 2020

**Closed Session Minutes**

Call to Order. Chair Linda Gooden called the meeting of the University System of Maryland Board of Regents to order at 10:30 a.m. on Friday, June 19, 2020 virtually via Zoom. Those in attendance were: Chair Gooden; Regents Attman, Fish, Gonella, Gossett, Gourdine, Johnson, Leggett, Malhotra, Mears, Neall, Needham, Pope, Rauch, Schulz, and Wood; Chancellor Perman, Vice Chancellors Herbst; Ms. Wilkerson, and AAGs Bainbridge and Langrill.

**1. Meeting with the Presidents**

As part of the presidents' performance review process, the Board met individually with Towson University President Kim Schatzel. (§3-305(b)(1))

**2. Consent Agenda**

Chair Gooden asked if there were items the Regents wished to remove from the consent agenda. Seeing none, the Regents voted to approve the consent agenda (moved by Regent Gossett; seconded by Regent Pope; one abstention from Regent Mears for item c.; motion approved), which included the following items:

- a. Board of Regents Staff Awards Recommendations (§3-305(b)(1) and (2));
- b. University of Maryland, College Park: Lease Request for the Department of Geographical Sciences (§3-305(b)(3));
- c. Salisbury University: Real Property Acquisition at 108 Pine Bluff Road (§3-305(b)(3));
- d. Ratification of the Coppin State University MOU with the Fraternal Order of Police (FOP) for Sworn Police Officers (§3-305(b)(9));
- e. Towson University: Honorary Naming Request – Dr. Julius Chapman Quadrangle (§3-305(b)(1) and (2)); and
- f. University of Maryland, College Park: Joel and Kim Feller BSOS Advising and Career Center Naming Request (§3-305(b)(1) and (2)).

**3. FY 2022 Capital Budget Request; and FY 2022-2026 Five-Year Capital Improvement Program**

The Regents considered a request for the approval of the FY 2022 Capital Budget and the proposed five-year Capital Improvement Plan. The Board voted to not request new capital funding this cycle. (moved by Chair Gooden; seconded by Regent Fish; unanimously approved.) Vice Chancellor Herbst will come back to the Board with a revised CIP.

**4. Discussion about the Development of a Forecast to Estimate the Financial Impact of COVID-19**

Vice Chancellor Herbst discussed the development of a forecast to estimate the financial impact of the pandemic on the USM.

**5. Transition of a University President**

The Regents discussed transition letter for USM President Loh. (§3-103(a)(1)(i), §3-305(b)(1) and (2))

**6. Annual Review of USM Presidents**

The Regents and Chancellor discussed the performance reviews of USM Presidents. (§3-305(b)(1))

**7. USM Presidents and Chancellor Compensation**

The Regents reviewed the compensation of USM Presidents and the Chancellor. (§3-305(b)(1))

**8. Annual Review of the Chancellor's Direct Reports**

The Regents and Chancellor discussed the performance reviews of the Chancellor's direct reports. (§3-305(b)(1))

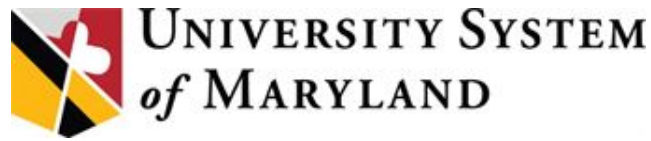
**9. Annual Review of the Director of Internal Audit**

Regent Fish discussed the annual review of the Director of Internal Audit with the Board. (§3-305(b)(1))

**10. Annual Review of the USM Chancellor**

Chair Gooden discussed the annual review of the Chancellor with the Board. (§3-305(b)(1))

The meeting adjourned at 1:48 p.m.



University System of Maryland Board of Regents  
Via Zoom  
September 18, 2020

### **Public Session Minutes**

Call to Order. Chair Linda Gooden called the meeting of the University System of Maryland Board of Regents to order at 8:30 a.m. on Friday, September 18, 2020 virtually via Zoom. Those in attendance were: Chair Gooden; Regents Attman, Bartenfelder, Edwards, Fish, Gonella, Gossett, Gourdine, Holzapfel, Johnson, Leggett, Malhotra, Mears, Neall, Pope, Rauch, Sansom, Schulz, and Wood; Presidents Anderson, Breaux, Goodwin, Hrabowski, Jarrell, Jenkins, Miyares, Interim Provost Michael Mathias (for Nowaczyk), Pines, Schatzel, Schmoke, and Wight; Chancellor Perman, Vice Chancellors Boughman, Herbst, Hogan, McDonough, Raley, and Sadowski; Ms. Mulqueen, Ms. Wilkerson, and AAGs Bainbridge and Langrill

Chair Gooden opened the meeting by thanking everyone for joining the first scheduled meeting since June, indicating that the Board continues to work to ensure the health and safety of our students, faculty, and staff. The Chair recounted items that were covered during the summer months, to include planning for the fall semester; approving revisions to the USM Sexual Misconduct policy; adopting a framework to assess diversity, inclusion, and structural racism through the USM; and, hearing from each USM president concerning their efforts to support diversity and inclusion initiatives. Chair Gooden recognized Dr. Bruce Jarrell as the next president of UMB; and, closed by recognizing our two new board members, as well as recognizing BOR Staff Award recipients.

Educational Forum: *COVID-19 Research & Innovation Task Force* Dr. Laurie Locascio, vice president for research with the University of Maryland, College Park and the University of Maryland, Baltimore, briefed the Board on the COVID-19 Research Innovation Task Force. She indicated that the mission of the taskforce is to leverage and mobilize systemwide research and innovations that will engage policymakers, business leaders, and the entrepreneurial community in addressing the COVID-19 pandemic. The main pillars that guide their work on the COVID Response Effort are convene and connect, coordinate, identify resources, and amplify. Those working in conjunction with this effort are UMB, UMCP, UMBC, USM and UMD School of Medicine.

Chancellor's Report. Chancellor Perman presented the report, congratulating the Board of Regents Staff recipients, as well as the 2020-2021 Elkins Professorships awardees. He also congratulated new student regents Nate Sansom and Aaliyah Edwards; new president of the University of Maryland, Baltimore, Dr. Bruce Jarrell; and Dr. Anne Khademian, new executive director at the Universities at Shady Grove (USG). Additionally, he bid farewell to Dr. Stewart Edelstein as he prepares to retire from being executive director at USG. And he stated that a

search committee, headed by Regent Sam Malhotra, was in place to secure the next president of the University of Maryland Global Campus, as President Javier Miyares prepares for retirement.

Dr. Perman acknowledged the numerous accomplishments and outstanding rankings at the various universities, commending all of the institutions for their tremendous commitment to community outreach and engagement.

He then turned to the COVID health pandemic, stating that it remains front and center in so many of the actions, systemwide and at individual institutions. And he assured everyone that we are rising to the COVID challenge.

Dr. Perman announced that every USM university had begun its fall semester and acknowledged how much effort this has taken. He made mention of the fact that many employees were working 6-7 days a week to keep students on track in the midst of a semester like no other; to establish policies and procedures protecting the safety of their communities; to chart a path forward that assures our fiscal health.

He then pivoted to what was being done to chart a fiscal path forward and the financial outlook. He mentioned that the University System has seen significant constriction in every one of our revenue sources: tuition and fees, auxiliary services, grants and contracts, our state appropriation. A considerable amount of money has been spent on readying the campuses for fall instruction (e.g., invested in technology infrastructure and in faculty training, etc.). Dr. Perman also made mention of costs associated with COVID testing and symptom monitoring, indicating that personal protective equipment has been provided, as well as taking steps to modify residence halls, classrooms, and offices. He pointed out that we are looking at a bottom line that's at least \$500 million short of the original FY21 budget, with the understanding that that number will grow.

Dr. Perman stated that FY21 might be the least of our worries. By all accounts, the outlook for this fiscal year is far worse than last year. Beyond that, the outlook for next fiscal year, FY22, is worse than this one. He stated that they are looking at a scenario where we do not begin our financial recovery until FY24. Therefore, it is important to plan accordingly across a multiyear horizon and commit to making some very difficult decisions (e.g., temporary pay cuts and furloughs), which has been evidenced by our universities cutting spending, deferring construction and maintenance, freezing hiring, and using their reserves.

Dr. Perman also stated that he had the opportunity to update the Senate Education, Business, and Administration Subcommittee and the House Education and Economic Development Subcommittee on our path forward this fall; the painstaking preparations undertaken to protect our students, faculty, and staff; our plan to prevent, control, contain, and manage this disease; and our financial outlook in the years ahead. He was joined by three USM presidents—Darryll Pines, Heidi Anderson, and Ron Nowaczyk.

At the conclusion of his report, he called on President Chuck Wight to give an update on what Salisbury University is doing concerning COVID-19 testing on his campus.

I. Report of Councils

- a. Council of University System Presidents (CUSP). Dr. Breaux presented the report, indicating that working in conjunction with UMB, UMBC, and UMCP, they focused on developing USM's approach to testing, screening, and monitoring for COVID-19. Additionally, the campuses engaged their local health officials to understand and develop protocols for when there are positive cases. The presidents also continue ongoing communication with their county health officials. Other areas of concern were developing safety protocols, streamlining in-person course offerings, loss of revenue and increased costs associated with the pandemic.

Additionally, they provided feedback on proposed revisions to USM policies on Sexual Harassment (VI-I.6) and the Naming of Buildings and Academic Programs (VI-4.0). They were on the frontline working to fight the decision by Immigration and Customs Enforcement to disrupt the ability of thousands of international students to continue to take online courses. And CUSP held discussions on increasing student involvement in civic engagement with respect to the fall election in response to requests from Secretary Fielder, Governor Hogan, and the Maryland Congressional Delegation.

- b. University System of Maryland Student Council (USMSC). Ms. Rappeport presented the report, indicating that the leadership team has a "listening first" servant leadership philosophy and makes it a priority to meet with individual members of the council and offer to join student government meetings throughout the term. Thus far, they have convened two Special Meetings of the USMSC in addition to their first official meeting of the term on Sunday, September 13<sup>th</sup>. The first special meeting took place on August 16<sup>th</sup> and was primarily geared towards orienting all members of the council to their shared role in shared governance as well as conducting a robust discussion on one of the USMSC's top priorities to promote education and outreach towards student civic engagement and voting. The second Special USMSC meeting was convened on September 8<sup>th</sup> and welcomed Vice Chancellor Leonard Raley and Associate Vice Chancellor and Chief of Staff Marianne Horrigan to discuss and solicit student feedback on the proposed amendments to the USM Naming Policy. Many student governments identified their top efforts related to addressing structural racism and additional initiatives to improve diversity, equity and inclusion across various populations including disability. Student government leaders are interested in better understanding the bigger picture across the system and are working to help provide reports to all of the student leaders.
- c. Council of University System Faculty (CUSF). Dr. Brunn presented the report, indicating that the goal of the Executive Committee is to have CUSF concentrate on work started in previous years, namely academic integrity; interprofessional, interinstitutional and interdisciplinary education (IPE); Online Electronic Resources; and faculty performance evaluations. In addition, the Executive Committee wants

CUSF to begin examinations of two new areas of concern: existing USM technology policies and civic engagement and civility. Finally, the Executive Committee plans to have CUSF work actively with its sister councils on matters that are of common interest to bring a new and unique perspective to our Board counsel.

The Executive Committee endorsed the workplan for approval by CUSF membership at the September 25th meeting: Education Policy Committee, Board of Regents Faculty Awards & Recognition Committee, Faculty Concerns Committee, Rule and Membership Committee, Legislative Affairs & Policy Committee and Ad Hoc Committee on Covid-19 Faculty Issues.

- d. Council of University System Staff (CUSS). Dr. Shishineh presented the report, stating that a new member orientation was held online before the start of the full Council meeting. She indicated that for the first time in the history of CUSS, the entire Executive Committee is identical to last year. They are still waiting to solidify representatives and alternates from a few campuses; however, CUSS currently has a very robust roster of staff from the 12 institutions this year. Finally, the Communications & Marketing Committee was able to publish the Summer 2020 edition of our CUSS Newsletter.
2. Consent Agenda. The Consent Agenda was presented to the regents by Chair Gooden. She asked if there were any items on the agenda that should be removed for further discussion. There were no requests to remove any items; therefore, Chair Gooden moved, and Regent Pope seconded the motion to accept the consent agenda; Regent Attman abstained from item 2.e.iii; motion was approved. The items included were:

Consent Agenda

Chair Gooden

- a. Committee of the Whole
  - i. Approval of meeting minutes from June 19, 2020 Public and Closed Sessions (action)
  - ii. Approval of meeting minutes from Special Board Meetings – Public and Closed Sessions (action)
    1. July 22, 2020
    2. August 26, 2020
    3. September 10, 2020
  - iii. Resolution on Defense Security Service (action)
- b. Committee on Education Policy and Student Life
  - i. Approval of meeting minutes from September 8, 2020 Public Session (action)
  - ii. New Academic Program Proposals (action)
    1. Coppin State University: Master of Science in Applied Molecular Biology and Biochemistry
    2. Coppin State University: Master of Science in Polymers and Materials Sciences

- 3. University of Maryland Eastern Shore: Bachelor of Arts in Digital Media Studies
      - iii. Voter Engagement Across the USM (information)
      - iv. Education Policy and Student Life Tentative Agenda, 2020-2021 (information)
  - c. Committee on Economic Development and Technology Commercialization
    - i. Approval of meeting minutes from September 10, 2020 Public Session (action)
  - d. Committee on Governance and Compensation
    - i. Review of Committee on Governance and Compensation Charter (action)
  - e. Committee on Finance
    - i. Proposal to Temporarily Suspend the Self-Support Requirement for Intercollegiate Athletics Programs during the COVID-19 Pandemic (action)
    - ii. University of Maryland, Baltimore: Increase in Project Authorization for School of Nursing Student Services Renovation and Window Replacement (action)
    - iii. University of Maryland, College Park: Amendment to Sale and Ground Lease of Property to Gilbane Development Company to Develop Graduate Student Housing, Townhomes and Access Roadways (action)
    - iv. Committee Charge (action)
  - f. Committee on Advancement
    - i. Year-to-Date Fundraising Report – June FY20 (information)
- 3. Review of Items Removed from Consent Agenda
- 4. Committee Reports
  - a. Committee on Finance Regent Attman
    - i. USM Enrollment Projections: FY 2021-2030. Regent Attman presented the report, along with an explanation of the enrollment numbers presented by Assistant Vice Chancellor of Institutional Research, Data & Analytics, Chad Muntz. Regent Attman moved for approval of the report as presented; Regent Gooden seconded the motion, and it was unanimously approved.
  - b. Committee on Education Policy and Student Life Regent Gourdine
    - i. Fall 2020: Preparation for Teaching, Learning, Campus Life, and Student Success (information). Vice Chancellor Boughman presented the report.
    - ii. Cultural Diversity Progress Reports. Assistant Vice Chancellor for Academic and Student Affairs, Dr. Lee, presented the report. Regent Gonella moved for approval of the report as presented; Regent Pope seconded the motion, and it was unanimously approved.

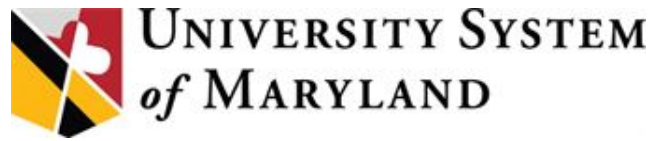


c. Committee of the Whole

Chair Gooden

- i. UMCP Athletic Program Update. Chair Gooden called on President Darryll Pines and Athletic Director Evans to provide an update on UMCP's athletic program.
  - ii. Approval of Chancellor's Authority to Issue Guidance Increasing the Annual Leave Carryover Limit under BOR VII – 7.00 – Policy on Annual Leave for Regular Nonexempt and Exempt Staff Employees (action). Regent Attman moved for approval of the Chancellor's authority to issue guidance, which allows an increase in the number of hours may be carried over to the next year; however, there would be no financial compensation involved should an employee separate from the System; Regent Gourdine seconded the motion and it was unanimously approved.
  - iii. Toward Racial Justice and Equity: Building our USM Community. The following six presidents presented information what their campus is doing to promote racial just and equity.
    1. President Chuck Wight, SU
    2. President Heidi Anderson, UMES
    3. President Darryll Pines, UMCP
    4. President Peter Goodwin, UMCES
    5. President Javier Miyares, UMGC
    6. President Freeman Hrabowski, UMBC
5. Reconvene to Closed Session. Chair Gooden read the “convene to close” statement citing the topics for the closed session and the relevant statutory authority for closing the meeting under 3-305(b) and 3-103(a)(1)(i). (Moved by Regent Fish, seconded by Regent Mears; unanimously approved.)

Meeting adjourned at 12:04 p.m.



University System of Maryland Board of Regents  
Via Zoom  
September 18, 2020

## **Closed Session Minutes**

Chair Linda Gooden called the meeting of the University System of Maryland Board of Regents to order at 12:30 p.m. on Friday, September 18, 2020 virtually via Zoom. Those in attendance were: Chair Gooden; Regents Attman, Edwards, Fish, Gonella, Gossett, Gourdine, Holzapfel, Johnson, Leggett, Malhotra, Mears, Neall, Pope, Rauch, Sansom, Schulz, and Wood; Chancellor Perman, Vice Chancellors Herbst, Hogan, McDonough; Ms. Wilkerson, and AAGs Bainbridge and Langrill.

### **I. Consent Agenda**

Chair Gooden asked if there were items the Regents wished to remove from the consent agenda. Seeing none, the Regents voted to approve the consent agenda (moved by Regent Gossett; seconded by Regent Pope; unanimously approved), which included the following items:

- a. Schedule for the Multi-Year Review of Presidents (information). (§3-103(a)(1)(i))
- b. University System of Maryland: Cisco Smartnet Maintenance Contract USM Institutions (action). (§3-305(b)(14))
- c. University of Maryland, College Park: Enterprise Resource Planning (ERP) Replacement through a Six-Year ERP Platform Subscription and Implementation (information). (§3-305(b)(14));
- d. USM Common Trust Fund Investment Performance — University System of Maryland Foundation (information). (§3-305(b)(5))

### **2. University System of Maryland: FY 2022 Operating Budget Update**

The Regents discussed the development of the proposed FY 2022 Operating Budget submission and potential adjustments to the submission. (§3-305(b)(13)).

### **3. University President Transition**

The Regents discussed the transition of the University of Maryland Global Campus President Miyares. (§3-305(b)(1)(i) and (ii)).

### **4. Review of Certain Contracts and Employment Agreements**

The Regents reviewed and discussed the employment letters for UMB President Jarrell and UMCP Health Center Director Marinopoulos under BOR Policy VII-10.00 – *Policy on Board of Regents Review of Certain Contracts and Employment Agreements*. (§3-305(b)(1)(i) and (ii)).

The meeting adjourned 1:39 p.m.



**USM Board of Regents  
Special Board Meeting  
Minutes from Public Session  
September 27, 2020  
Zoom**

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**Minutes of the Public Session**

Chair Gooden called the special meeting of the University System of Maryland Board of Regents to order in public session at 3:00 p.m. on Sunday September 27, 2020.

Those in attendance included Chair Gooden; Regents Attman, Edwards, Fish, Gonella, Gossett, Gourdine, Holzapfel, Johnson, Leggett, Malhotra, Mears, Rauch, Sansom, and Wood; Chancellor Perman; Vice Chancellors Herbst, Hogan, and McDonough; AAG Bainbridge and Langrill; and Ms. Wilkerson.

- 1. Convene to Closed Session.** Chair Gooden read the “convene to close” statement citing the topics for the closed session and the relevant statutory authority for closing the meeting under 3-305(b) and 3-103(a)(1)(i). (Moved by Regent Gossett, seconded by Regent Attman; unanimously approved.)

The meeting reconvened in closed session at 3:02 p.m.



**USM Board of Regents  
Special Board Meeting  
Minutes from Closed Session  
September 27, 2020  
Zoom**

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**Minutes of the Closed Session**

Chair Gooden called the special meeting of the University System of Maryland Board of Regents to order in closed session at 3:04 p.m. on Sunday September 27, 2020.

Those in attendance included Chair Gooden; Regents Attman, Edwards, Fish, Gonella, Gossett, Gourdine, Holzapfel, Johnson, Leggett, Malhotra, Mears, Rauch, Sansom, and Wood; Chancellor Perman; Vice Chancellors Herbst, Hogan, and McDonough; AAG Bainbridge and Langrill; and Ms. Wilkerson.

1. **Discussion Regarding the Format of Future Board Meetings.** The Regents discussed the format of future board meetings in the context of the pandemic. The Board will continue to meet remotely for the fall and will assess conditions in December in planning for the spring. (§3-103(a)(1)(i)).
2. **Transition of USM President.** The Regents approved the transition of a USM president. (moved by Regent Attman; seconded by Regent Gossett; unanimously approved). (§3-305(b)(1)).

The meeting adjourned at 4:55 p.m.



**USM Board of Regents  
Special Board Meeting  
Minutes from Public Session  
October 19, 2020  
Zoom**

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**Minutes of the Public Session**

Chair Gooden called the special meeting of the University System of Maryland Board of Regents to order in public session at 3:04 p.m. on Monday October 19, 2020.

Those in attendance included Chair Gooden; Regents Attman, Fish, Gonella, Gossett, Johnson, Leggett, Neall, Pope, Rauch, Sansom, Schulz, and Wood; Presidents Anderson, Karen Shaheed (for President Breaux), Goodwin, Hrabowski, Jarrell, Jenkins, Interim President Leak, Nowaczyk, Schatzel, Schmoke, Wight; Chancellor Perman; Vice Chancellors Boughman, Herbst, Hogan, McDonough, and Raley; AAG Bainbridge and Langrill; Ms. Mulqueen and Ms. Wilkerson.

Chair Gooden opened the meeting by saying how delighted she was to share the results of the University of Baltimore Task Force. The Task Force was convened to hear from the community and determine how UB should grow over the next 50 years. She thanked Regent Gossett for chairing the Task Force, Regent Gourdine, Vice Chancellor Raley, Ms. Hossick, the Task Force members and the UB community.

- 1. University of Baltimore Task Force Report.** Regent Gossett and Vice Chancellor Raley shared the results of the report, noting that the Task Force spent close to 2000 hours studying UB and developing recommendations. There are 46 recommendations for the institution to consider. They noted that the implementation plan must include responsibility, accountability, a clear timeline, and action. They thanked the Task Force members and the UB community. The Board asked President Schmoke to come back in 60 days with an implementation team. The Regents voted to accept the report in its entirety (Chair Gooden moved, seconded by Regent Attman; unanimously approved).
- 2. Convene to Closed Session.** Chair Gooden read the “convene to close” statement citing the topics for the closed session and the relevant statutory authority for closing the meeting under 3-305(b) and 3-103(a)(1)(i). (Moved by Regent Pope, seconded by Regent Wood; unanimously approved.)

The meeting reconvened in closed session at 3:45 p.m.



**USM Board of Regents  
Special Board Meeting  
Minutes from Closed Session  
October 19, 2020  
Zoom**

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**Minutes of the Closed Session**

Chair Gooden called the special meeting of the University System of Maryland Board of Regents to order in closed session at 4:00 p.m. on Monday October 19, 2020.

Those in attendance included Chair Gooden; Regents Attman, Fish, Gonella, Gossett, Johnson, Leggett, Neall, Pope, Rauch, Sansom, Schulz, and Wood; Chancellor Perman; AAG Bainbridge and Langrill; Ms. Wilkerson.

1. **Meeting with President Schmoke.** As part of the presidents' review process, the Board met individually with President Schmoke (§3-305(b)(1)).

The meeting adjourned at 4:17 p.m.



## BOARD OF REGENTS

### SUMMARY OF ITEM FOR ACTION, INFORMATION OR DISCUSSION

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**TOPIC:** Review of Committee Charges

**COMMITTEE:** Committee on Governance and Compensation

**DATE OF MEETING:** November 5, 2020

**SUMMARY:** Each year, the Committee on Governance and Compensation reviews and certifies the charges of each of the standing committees of the Board of Regents. The charges of each committee are attached for review.

**ALTERNATIVE(S):** The committee could choose not to review the committee charges.

**FISCAL IMPACT:** No fiscal impact.

**CHANCELLOR'S RECOMMENDATION:** The Chancellor recommends that the Committee certify the charges of each standing committee.

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COMMITTEE ACTION: Recommend approval

DATE: November 5, 2020

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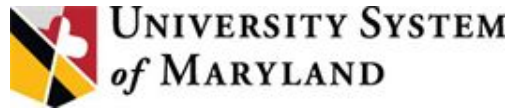
BOARD ACTION:

DATE:

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SUBMITTED BY: Denise Wilkerson; [dwilkerson@usmd.edu](mailto:dwilkerson@usmd.edu); 301-445-1906

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### **Committee on Advancement Charge**

The Committee on Advancement shall consider and report to the Board on all matters relating to the University System of Maryland's private fund-raising efforts, including policies, strategies, best practices and national standards affecting capital campaigns and ongoing fund-raising programs of individual institutions and the University System of Maryland.

This Committee shall give support to individual institutions and affiliated foundations in all development/advancement efforts, recognizing the vast majority of donors' interests lie with individual institutions, and in many cases, specific programs. This Committee shall also encourage individual institutions and affiliated foundations in seeking collaborative and joint fundraising between and among institutions and programs.

This Committee shall support efforts to bring more resources to advancement programs in order to build a thriving culture of philanthropy and engagement, which in turn improves scholarship, student access, and innovation across the USM.

This Committee shall review institutional and system-wide efforts and make recommendations to the Board regarding the enhancement of system interests through entrepreneurial and private fund-raising activities, including gifts, donations, bequests, endowment, grants, venture, cooperative agreements, and other public-private opportunities.

The Committee will encourage all system institutions to establish positive and noteworthy stewardship standards, reflected in the regular communication with donors about the intent, use, and outcomes of the application of the funds received. **This Committee will review requests related to the naming of academic programs and facilities.**

This Committee acknowledges the critical role of affiliated foundations in these efforts, and in particular good stewardship and management of funds. This Committee shall consider and report to the Board on all matters relating to System-affiliated foundations, alumni associations and similar 501 (c) (3) organizations affiliated with the USM and monitor activities to assure adequate institutional controls are in place.

**Per Regents policy, this committee shall review selected Regent's Advancement policies annually and each policy shall be reviewed at least once every four years.**

October 2020



**University System of Maryland  
Board of Regents Audit Committee  
Audit Committee Charter  
Established June 2006 and Last Revised – November 22, 2019**

**PURPOSE**

To assist the Board of Regents in fulfilling its responsibilities for overseeing the adequacy of and compliance with the internal controls, BOR Policies, risk management practices, investigative activity, governance processes, and to oversee the sufficiency and appropriateness of the financial reporting of the University System of Maryland.

**AUTHORITY**

The Audit Committee (Committee) is granted the authority to investigate any activity of the USM, and all employees are directed to cooperate as requested by ~~members-the~~ Committee Chair or Committee of the Whole of the Committee. The Committee, with the approval of the Board, is empowered to retain persons having special competence as necessary to assist the Committee in fulfilling its responsibility. It is empowered to:

- Appoint, compensate and oversee the work of the Director of Internal Audit and the public accounting firm employed by the organization to conduct the annual audit. This firm and the Director of Internal Audit will report directly to the Audit Committee.
- Resolve any disagreements between management and the auditor regarding financial reporting.
- Retain independent accountants or others qualified professionals to advise the Committee or assist in the conduct of an investigation.
- Seek any information it requires from employees--all of whom are directed to cooperate with the committee's requests--or external parties.
- Meet with USM officers, external auditors or outside counsel, as necessary.
- The committee may delegate authority to subcommittees, providing that decisions are presented to the full Committee at its next scheduled meeting.
- Review and approve the yearly internal audit plan and oversee the effectiveness of the internal audit function.

**COMPOSITION**

The Audit Committee shall comprise not less than **5** or more than **7** members. The majority of the members must be knowledgeable about financial matters and have financial literacy as a whole. The Chairman of the Board of Regents shall appoint the members of the Audit Committee, and select the Audit Committee's Chair, to serve one year terms. A majority of members of the committee shall constitute a quorum.

## MEETINGS

The Audit Committee is to meet at least four times each year, and as many more times as it deems necessary. All Committee members are expected to attend each meeting. As necessary or desirable, the Committee Chair ~~chairman~~ may request that members of management and the representatives of the independent auditor or other advisors be present at meetings of the Committee.

## RESPONSIBILITIES:

1. The Committee on Audit shall render advice and assistance to the Board of Regents in fulfilling its fiduciary responsibilities for overseeing the adequacy of and compliance with the internal controls, BOR Policies, risk management practices, investigative activity, governance processes, and to oversee the sufficiency and appropriateness of the financial reporting of the University System of Maryland.
2. This Committee shall review independent audit proposals including the scope of examination, services to be provided, reports to be rendered and fees to be charged, recommend to the Board the selection and scope of work of the independent external auditor of the University System of Maryland, review findings received there from and provide the Board with appropriate reports.
3. This Committee shall review legislative audits of the institutions of the University System and institutional responses thereto, and provide the Board with appropriate reports.
4. This Committee shall review and recommend to the Board the scope of the internal audit function. The Committee shall review the Charter of the Office of Internal Audit, its annual plan of work, its reports and administrative actions taken regarding its recommendations, and its annual report of significant audit items, and shall provide the Board with appropriate reports on the activities of that office. The Committee shall review the performance of the Director of Internal Audit and monitor the effectiveness of the internal audit function.
5. In fulfillment of these responsibilities this Committee shall foster direct communications with the external auditors on an annual basis or as otherwise deemed appropriate, and shall assure direct access from the Office of the Internal Audit, including meeting privately, at least on an annual basis, with the Director of Internal Audit.
6. This Committee shall monitor the Board's observance of the State Ethics Code as it pertains to possible conflict of interest with matters of the University System of Maryland.

7. This Committee shall assist the Board in fulfilling its responsibility to comply with Md. Education Code Ann. Section 12-104(p) review of annual financial disclosure statements—The Board of Regents shall review the annual financial disclosure statements filed by the Chancellor and the presidents of each constituent institution in accordance with Section 5-607 of the General Provisions Article.
8. The Committee shall review the annual financial disclosure statements filed by the members of the Board of Regents in accordance with Section 5-607 of the General Provisions Article.
9. The Committee shall render advice and assistance to the Board of Regents in fulfilling its responsibilities for overseeing the sufficiency and adequacy of Enterprise Risk Management of the University System of Maryland as defined in BOR Policy - VIII-20.00 Policy on Enterprise Risk Management.



Board of Regents  
Committee on Economic Development and Technology Commercialization  
September 10, 2020

Charge:

The Committee on Economic Development and Technology Commercialization shall provide strategic leadership for the USM's economic development and technology commercialization, innovation, and entrepreneurial initiatives.

Role and Responsibilities:

The Committee on Economic Development and Technology Commercialization shall consider and report or recommend to the Board of Regents on matters concerning economic development and technology commercialization, innovation and entrepreneurial initiatives, and translational research and technology transfer.

Members of the Committee on Economic Development and Technology Commercialization are appointed annually by the Chairperson of the Board. The Committee shall meet as needed, but no fewer than four times during the fiscal year.

Created in July 2011 in recognition of the increasing importance of translational research, entrepreneurship and innovation, and the supply of skilled workers in STEM fields for the State of Maryland, the Committee, working with the Vice Chancellor for Economic Development, may expect to receive information for review in order to consider, and/or act on any of the following matters:

- A. Strengthening the USM entrepreneurial ecosystem
- B. Aligning resources with market demand
- C. Leveraging USM resources through collaborations
- D. Engaging the investment community and enhance access to capital for USM affiliated startups and innovators
- E. Enhancing partnerships with industry, state, and federal entities



Board of Regents  
Committee on Finance  
September 10, 2020

BYLAWS Article X. Section 5.

Charge:

The Committee on Finance shall perform all necessary business and provide guidance to the Board to help ensure the long-term financial health and development of the University System, informed by strong fiscal and administrative policies.

Role and Responsibilities:

The Committee on Finance shall consider and report or recommend to the Board of Regents on matters concerning financial affairs; capital and operating budgets; facilities, student enrollment; investments; real property transactions; business entities; procurement contracts; human resources; tuition, fees, room and board charges; and the overall long-range financial planning for the University System.

Members of the Committee on Finance are appointed annually by the Chairperson of the Board. There shall be at least one member with financial expertise and experience. The Committee shall meet as needed, but no fewer than four times during the fiscal year. The members of the Committee may expect to receive information for review in order to consider, and/or act on any of the following matters:

- A. Establishment of the University System's five-year Capital Improvement Program (CIP) request prior to its submission to the Governor. The CIP is comprised of a prioritized list of academic projects (e.g., instruction, research), for which State bond or cash funding is requested.
- B. Establishment of the University System's five-year System Funded Construction Program (SFCP) prior to its implementation. The SFCP incorporates prioritized requests from institutions for auxiliary and self-support projects (e.g., residence halls, parking facilities).
- C. Authorization to issue debt to fund the capital program through the use of academic and auxiliary revenue bonds.
- D. Off-cycle construction or renovation projects that exceed expenditure thresholds established in Board policy and procedures.

- E. Facilities Master Plans are high level, strategic land-use and physical development plans, which help direct campus construction and improvements 10-20 years into the future. They also guide campus priorities for the annual capital budget request. Typically, a campus president will give a presentation where they describe the institution's goals on a wide range of topics related to physical renewal and expansion, including: building location decisions, renovation and replacement options, utility expansion, real property acquisition, environmental concerns, and campus and community interaction.
- F. Capital projects status report which outlines the progress of all major design and construction projects underway System-wide. Data fields include overall cost, schedule, funding sources and prior approvals, as well as the name of the project architect and primary contractor.
- G. Aggregated energy and power purchase agreements; periodic reviews of progress by the System and individual institutions toward State sustainability goals pertaining to reduction of energy and greenhouse gas emissions.
- H. Acquisition and disposition of real property.
- I. Establishment of annual operating budget including state appropriation request to the Governor.
- J. Establishment of, or changes to tuition, mandatory student fees, and residential room and board rates.
- K. Student enrollment 10-year projection prior to its submission to the Maryland Higher Education Commission.
- L. Fall student enrollment attainment for each institution.
- M. Annual reports of the finances of intercollegiate athletics for those institutions with Division I programs.
- N. Review on a regular basis certain of the University's material financial matters, including the annual audited financial statements, balance sheet management and debt strategy, review and endorsement of endowment spending rule.
- O. Reports and recommendations from the investment advisor(s) and investment manager(s) regarding the investment of the Common Trust Fund and asset performance.
- P. Establishment of business entities, public/private partnerships, and the initiatives covered under the Board's HIEDA policy.
- Q. Review dashboard metrics and monitor outcomes for organizational improvement and excellence.
- R. Establishment of, or changes to existing fiscal and administrative policies.

- S. Human resources policies for all staff employees including but not limited to recruitment, retention, administration of benefits and leave, compensation and classification, layoff, separation, and grievances. This Committee shall also consider and recommend any changes to the exempt and nonexempt staff salary structures.
- T. Awarding of contracts and entering into cooperative agreements as specified in VIII-3.0 USM Procurement Policies and Procedures. This Committee shall approve all contracts that exceed \$5 million except contracts for capital projects, sponsored research, and real property.
- U. Pursuant to Section 13-306 of the Education Article, the annual contract, and any amendments thereto, between University of Maryland, Baltimore (UMB) and University of Maryland Medical System Corporation which states all financial obligations, exchanges of services, and any other agreed relationships between them for the ensuing fiscal year concerning the University of Maryland Medical Center. Section 13-306 requires that the annual contract be submitted to the Board of Regents, upon recommendation of the UMB president, for consideration, any modification, and approval.
- V. Continue as stewards of the USM Effectiveness and Efficiency efforts including:
  - Supporting USM's strategic priorities of excellence, access and affordability, innovation, increased economic impact, and responsible fiscal stewardship.
  - Emphasizing collaboration and inter-institutional activities.
  - Fostering innovation and entrepreneurship to promote cultural changes and new operating models.
  - Promoting the optimal use of technology in support of systemwide and campus operations.
  - Reviewing and discussing periodic reporting on initiatives that promote effectiveness and efficiencies in the USM operating model, increase quality, serve more students, and optimize USM resources to reduce pressure on tuition, yield savings and cost avoidance.

## **Committee on Governance & Compensation**

### **PURPOSE**

To assist the Board of Regents in fulfilling its responsibilities for the oversight of leadership of the University System of Maryland, specifically pertaining to optimal performance of the Board and personnel matters.

### **RESPONSIBILITIES**

The Governance and Compensation Committee regularly meets six times annually and, with the approval of the BOR, is granted the authority to ensure that the Board operates according good governance principles and realizes its full potential as high performing Board. The committee is charged with reviewing matters pertaining to the organization and leadership structure of the University System of Maryland, its constituent institutions and centers and the System Office, other personnel matters such as collective bargaining agreements, compensation for individuals under BOR Policy VII-10.0 and matters pertaining to the optimal operation of the BOR.

- A. Leads the Board in evaluating its performance, including developing guidelines for Board evaluations, administering biannual Board self-assessments, coordinating periodic comprehensive reviews of the Board, and assessment of Board committees.
- B. Reviews Board Bylaws as needed and recommends changes for Board approval.
- C. Reviews the program for new Regent orientation and ongoing Board development to ensure that Regents receive appropriate education and training, including Regent Mentor program and Regent Liaison Program.
- D. Reviews and monitors compliance related to Board composition and Regent attendance.
- E. Certifies the annual review of committee charters.
- F. Defines and implements USM's philosophy for executive compensation, including
  - Periodic benchmarking and aging of peer compensation data;
  - Conducting a comprehensive review of peer data every 3 – 5 years;
  - Utilizing data to inform compensation for new presidents and chancellors; and
  - Monitor trends in compensation and maintain compensation tally sheets.
- G. Develops and implements a framework for goal setting and annual and comprehensive executive performance review, including
  - Establishing/reviewing guidelines for comprehensive performance reviews of the USM Presidents and Chancellor
  - Approving annual goals for the Chancellor and USM Presidents,
  - Reviewing annual performance assessments of the USM Presidents and Vice Chancellors,



- Conducting an annual review of the Chancellor,
  - Conduct a comprehensive review of the Presidents every 3 – 5 years and review feedback,
  - Under special circumstances, request additional performance reviews of the Chancellor and USM presidents, as appropriate
- H. Recommends to the Board appointments and compensation for an Acting or Interim Chancellor or, on the recommendation of the Chancellor, Acting or Interim Presidents in the event of vacancies.
- I. Monitors trends and opportunities for succession planning and leadership development
- J. Maintains guidelines for Chancellor and Presidents Searches.
- K. Maintains an annual calendar for the Governance and Compensation Committee
- L. Maintains a schedule for USM policy review.
- M. Reviews for information purposes contracts and appointment letters of certain personnel entered into by the USM and its institutions in accordance with Board of Regents Policy VII-10.0 Policy on Board of Regents Review of Certain Contracts and Employment Agreements.
- N. Develops the parameters for compensation and terms of appointment for President and Chancellor hires for recommendation to the Board, to permit the Board to delegate negotiation of an appointment letter to the Chancellor or, in the case of a Chancellor's hire, the Board Chair.
- O. Reviews and recommends for board approval, as appropriate, collective bargaining agreements and related reporting on collective bargaining activity in the USM.



UNIVERSITY SYSTEM  
*of* MARYLAND

BOARD OF REGENTS  
COMMITTEE ON FINANCE

September 10, 2020

Meeting via Video and Conference Call

DRAFT

Minutes of the Public Session

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Regent Attman welcomed those on the video and teleconference and called the meeting of the Finance Committee of the University System of Maryland Board of Regents to order in public session at 10:30 a.m.

Regents participating in the session included: Mr. Attman, Ms. Gooden, Mr. Gossett, Ms. Fish, Mr. Holzapfel, Mr. Neall, Mr. Pope, Mr. Rauch, and Mr. Wood. Also participating were: Chancellor Perman, Ms. Herbst, Ms. Wilkerson, Dr. Boughman, Mr. Raley, Mr. McDonough, Assistant Attorney General Bainbridge, Ms. Amyot, Mr. Colella, Mr. Danik, Mr. Lockett, Mr. Lowenthal, Mr. Pasquariello, Ms. Rehn, Ms. Schaefer, Mr. Wyden, Mr. Maginnis, Mr. Bitner, Mr. Mowbray, Mr. Beck, Ms. Denson, Mr. Eismeier, Mr. Hickey, Ms. Mann, Mr. Muntz, Mr. Page, Ms. Skolnik, Ms. Walker, Ms. West, Mr. Lurie, Ms. McMann, and other members of the USM community and the public.

1. USM Enrollment Projections: FY 2021-2030 (action)

Regent Attman offered that the enrollment projections are presented annually to the Committee for action, usually in the spring, but due to the COVID-19 pandemic and its impact on higher education, the spring projections were received for information and the decision was made to update the plans in the fall. He explained that the enrollment plans for each university reflect the near-term realities and long-term planning to provide access to quality higher education for Marylanders. The plans are used for requesting operating funds as well as planning for capital projects. He then turned to Mr. Muntz, AVC of Institutional Research, Data & Analytics Office, who provided a presentation to the committee. The presentation is available online <http://www.usmd.edu/regents/agendas/>. In response to a question regarding "how would they weather..." the declines at Coppin and UB, Mr. Danik noted that Coppin had received a good share of the CARES Act funding, which would help out the financial matters at school. Ms. Amyot replied that UB's enrollment drop was anticipated, and that the campus was within 69 students of its planned enrollment. Mr. Muntz added that overall, the institutions did not end up as negative as the projection had indicated, and that newer information was still becoming available. Regent Gooden asked that Mr. Muntz prepare an update for the Board meeting.

**The Finance Committee recommended that the Board of Regents approve the enrollment projections.**

(Regent Attman moved recommendation, seconded by Regent Pope; unanimously approved)

Vote Count = YEAs: 8 NAYs: 0 Abstentions: 0

2. Proposal to Temporarily Suspend the Self-Support Requirement for Intercollegiate Athletics Programs during the COVID-19 Pandemic (action)

Regent Attman indicated that one of the program areas that has been impacted by the pandemic and expected to have a difficult financial year ahead, is athletics. Ticket sales, student athletic fees, and conference distributions are all at some degree of risk in the institutions' athletic programs. He explained that the Board's *Reports on Intercollegiate Athletics* policy requires that institutions operate athletics on a self-support basis—using resources raised for athletics—while pointing out that the expectation is very difficult during this period due to the guaranteed contracts and fixed commitments to pay debt service. As presented, the action would suspend the requirement that athletics be self-supporting for fiscal years 2020 and 2021, and would allow institutional leadership the ability to fund athletics with resources from other self-support activities, should they decide to do so. Regent Gossett added that this action is not a long-term solution.

**The Finance Committee recommended that during fiscal years 2020 and 2021, that the Board of Regents approve a temporary suspension of the requirement that Intercollegiate Athletics be managed on a self-supporting basis, per BOR Policy V-2.10, and permit institution presidents to use funds and resources of other non-state-supported activities to cover lost or reduced revenues and/or additional expenditures resulting from the COVID-19 pandemic. An institution shall report the use of such funds to the Board as directed by the Chancellor.**

(Regent Attman moved recommendation, seconded by Regent Pope; unanimously approved)

Vote Count = YEAs: 9 NAYs: 0 Abstentions: 0

3. University of Maryland, Baltimore: Increase in Project Authorization for School of Nursing Student Services Renovation and Window Replacement (action)

Regent Attman summarized the item. The University seeks an increase in the project's authorization for the previously approved renovation of the facility. The Committee had recommended an initial project authorization last February; however, the project has now been fully designed and bids have been received by the construction manager for the full scope of work. Cost increases were attributed to several areas, including additional hazardous materials and higher trade subcontractor bids. Regent Fish inquired about the findings of hazardous materials. Mr. Mowbray responded that the renovation involved the replacement of over 100 window casings, which were lead and spray-in asbestos based.

**The Finance Committee recommended that the Board of Regents approve a new project authorization of \$8.8 million for the School of Nursing Student Services Renovation and Window Replacement as described.**

(Regent Attman moved recommendation, seconded by Regent Pope; unanimously approved)

Vote Count = YEAs: 9 NAYs: 0 Abstentions: 0

4. University of Maryland, College Park: Amendment to Sale and Ground Lease of Property to Gilbane Development Company to Develop Graduate Student Housing, Townhomes and Access Roadways (action)

Regent Attman provided a summary of the institution's request. The University is seeking approval to amend a real estate transaction that was previously approved by the Board of Regents in April of last

year. This action will reduce the acreage included in the transaction. Included in the previous approval was a Land Exchange Agreement involving a parcel of land owned by Maryland Hillel in exchange for University owned property in the Old Town neighborhood. Hillel plans to build a new, larger center but has yet to meet its fundraising goals. Because the Hillel property is not available as was envisioned when the deal was approved by the Board of Regents, it is necessary to remove that parcel from both the Sale and Ground Lease Agreements with Gilbane Development Company. As such, the Board of Regents is being asked to reduce the Sale of Land to GDC from 9.10 acres to 8.71 acres and to reduce the Ground Lease from 2.26 acres to approximately 1.74 acres. The sales price remains unchanged at \$810,000 and all other terms of the Ground Lease remain the same.

**The Finance Committee recommended that the Board of Regents amend its prior approval for the University of Maryland, College Park so as to slightly reduce the size of the for-sale property from approximately 9.1 acres to 8.71 acres. Likewise, the prior approval of the ground lease transaction should be amended to reflect a reduction in size of the leasehold parcel from approximately 2.26 acres to 1.74 acres, consistent with the University System of Maryland Policy on Acquisition and Disposition of Real Property.**

(Regent Gossett moved recommendation, seconded by Regent Pope; unanimously approved)

Vote Count = YEAs: 8 NAYs: 0 Abstentions: 1 - Regent Attman

5. Committee Charge (action)

Regent Attman summarized the item. The Board's Governance and Compensation Committee has asked that each Board committee annually review and update its charge as appropriate. Regent Attman then opened the floor to discussion. Regent Gooden recommended that as a result of the Finance Committee absorbing the E&E responsibilities, that the charge be updated to reflect this action. Regent Attman and others agreed.

**The Finance Committee recommended that the Board of Regents approve an amended committee charge with the addition of language that recognizes the Committee's stewardship of the USM's Effectiveness and Efficiency efforts.**

(Regent Attman moved recommendation, seconded by Regent Pope; unanimously approved)

Vote Count = YEAs: 9 NAYs: 0 Abstentions: 0

6. Convening Closed Session

Regent Attman read the Convene to Close Statement.

"The Open Meetings Act permits public bodies to close their meetings to the public in circumstances outlined in §3-305 of the Act and to carry out administrative functions exempted by §3-103 of the Act. The Committee on Finance will now vote to reconvene in closed session. The agenda for the public meeting today includes a written statement with a citation of the legal authority and reasons for closing the meeting and a listing of the topics to be discussed. The statement has been provided to the regents and it is posted on the USM's website."

**The Chancellor recommended that the Committee on Finance vote to reconvene in closed session.**

(Regent Attman moved recommendation, seconded by Regent Pope; unanimously approved)

Vote Count = YEAs: 9 NAYs: 0 Abstentions: 0

Regent Attman thanked everyone for joining the meeting. The public meeting was adjourned at 11:18 a.m.

Respectfully submitted,

Gary L. Attman  
Chair, Committee on Finance



UNIVERSITY SYSTEM  
*of* MARYLAND

**BOARD OF REGENTS  
COMMITTEE ON FINANCE**

September 10, 2020  
Meeting via Video Conference

**DRAFT**

Minutes of the Closed Session

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Regent Attman called the meeting of the Finance Committee of the University System of Maryland Board of Regents to order in closed session at 11:20 a.m. via video conference.

Regents participating in the session included: Mr. Attman, Ms. Gooden, Mr. Gossett, Ms. Fish, Mr. Holzapfel, Mr. Neall, Mr. Pope, Mr. Rauch, and Mr. Wood. Also participating were: Chancellor Perman, Ms. Herbst, Ms. Wilkerson, Dr. Boughman, Mr. Raley, Mr. McDonough, Assistant Attorney General Bainbridge, Ms. Denson, Mr. Eismeier, Mr. Hickey, Ms. Mann, Mr. Page, Ms. West, and Ms. McMann. Mr. Colella, Dr. Rankin, Mr. Hollingsworth, and Mr. Gallo also participated in part of the session.

1. The committee discussed the proposed FY 2022 Operating Budget submission and potential adjustments to the submission (§3-305(b)(13)).  
This item was presented for information purposes; there were no votes on this item.
2. The committee discussed the awarding of an IT networking hardware and software maintenance contract (§3-305(b)(14)). (Regent Attman moved recommendation, seconded by Regent Pope; unanimously approved)  
Vote Count = YEAs: 9      NAYs: 0      Abstentions: 0
3. The committee discussed the awarding of a new contracts for ERP services (§3-305(b)(14)).  
This item was presented for information purposes; there were no votes on this item.
4. The committee discussed the investment of the Common Trust Fund (§3-305(b)(5)).  
This item was presented for information purposes; there were no votes on this item.

The session was adjourned at 12:33 p.m.

Respectfully submitted,

Gary L. Attman  
Chair, Committee on Finance

**BOARD OF REGENTS**SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

**TOPIC:** University of Maryland, Baltimore: Renovation of Building 1 for School of Nursing Expansion at The Universities at Shady Grove

**COMMITTEE:** Finance

**DATE OF COMMITTEE MEETING:** November 5, 2020

**SUMMARY:** The University of Maryland, Baltimore (UMB) requests approval to design and construct a \$7.5 million project to expand the current program for the University of Maryland School of Nursing (UMSON) in Building 1 at The Universities at Shady Grove (USG).

UMSON currently occupies approximately 15,000 square feet in Building 1. This project will expand UMSON's space in the building to approximately 27,000 square feet. The project will also include reconfiguring some existing spaces to better serve UMSON's future program needs.

In fall 2013 and spring 2014, UMSON admitted 46 students to the Bachelor of Science in Nursing (BSN) program at the USG. Last year, UMSON indicated they had increased enrollment to 64 students each semester without additional state support. UMSON also indicated that continued expansion would not be feasible without such state support and increased space at USG. In FY 2021, USG was allocated \$1.5 million in its base budget to support UMB's nursing program through the Workforce Development Initiative. The UMSON plans to expand the entry-level BSN students from 64 to 100 over three years. In order to effectively implement this proposed growth, additional space will be needed including significant redesign of the current space.

The areas in the scope of the project include classroom space to accommodate multiple course offerings with capacity for 60 students Monday through Thursday, expanded simulation space to offset the increased demand for clinical sites, and additional faculty and staff offices/working space. For didactic course delivery, the anticipated 100 students admitted each semester will be split into two sections of 50, and the sections will run concurrently.

This project will be phased in order to maintain continuity of the current programs and to minimize the impact to instructional spaces within the building.

**ALTERNATIVE(S):** If this project were not to be implemented, the University of Maryland School of Nursing would need to address program expansion requirements on an ad-hoc basis and possibly limit program growth based on available space.

**FISCAL IMPACT:** The cost of the project is \$7.5 million. Funding will be provided as follows:

- \$3.23M in a combination of donor funds and/or debt financing to be arranged through the USM Office and to be repaid by the UMB School of Nursing
- \$1.77M in Academic Revenue Bonds from USM Capital Facilities Renewal Funds
- \$1.5M provided by USG operating funds toward the project
- \$1M in USM Unrestricted Fund Balance

**CHANCELLOR'S RECOMMENDATION:** That the Finance Committee recommend that the Board of Regents approve The Universities at Shady Grove Building 1 School of Nursing Expansion project for the University of Maryland, Baltimore as described.

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COMMITTEE RECOMMENDATION: RECOMMEND APPROVAL

DATE: 11/5/20

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BOARD ACTION:

DATE:

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SUBMITTED BY: Ellen Herbst (301) 445-1923

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### Project Cost Summary

Universities at Shady Grove - Building 1 -  
Renovation for School of Nursing Expansion

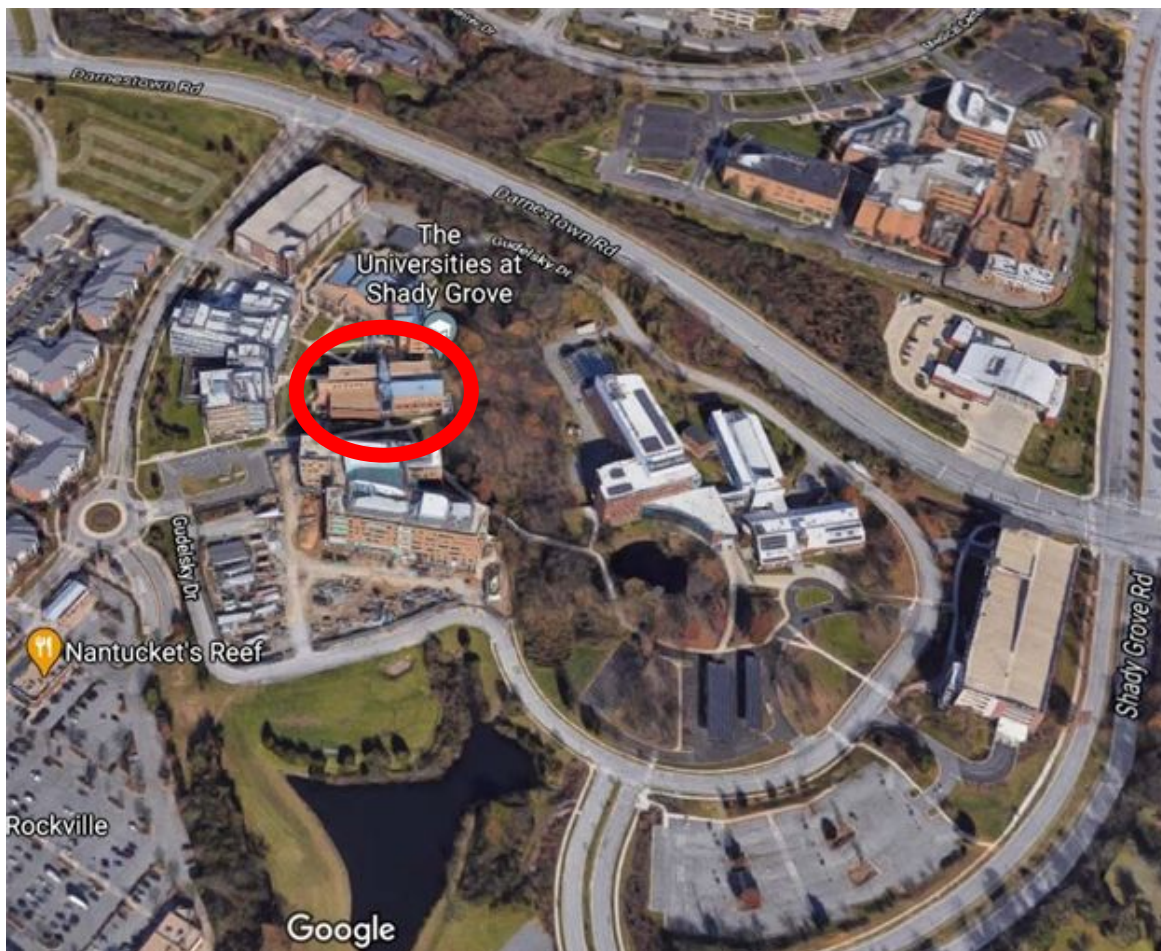
	<b>Original</b>
Date	10/13/2020
<b>Stage of Estimate</b>	<b>Budget</b>
Design/Fees	\$1,200,000
Construction Cost	\$4,435,000
Furniture, Fixtures & Equip.	\$1,200,000
Gen Contingency (10%)	\$443,500
Added Contingency (5%)	\$221,500
<b>Project Total</b>	<b>\$7,500,000</b>
Notes:	Construction Cost based on cost estimate from cost estimating consultant.
Rev date	10.13.20

Project Location Map:

University of Maryland, Baltimore:

The Universities at Shady Grove - Building 1

Renovation for School of Nursing Expansion



**BOARD OF REGENTS**SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

**TOPIC:** Towson University: Increase Authorization for New Science Complex

**COMMITTEE:** Finance

**DATE OF COMMITTEE MEETING:** November 5, 2020

**SUMMARY:** Towson University requests authorization to increase by \$8.2 million the total project cost for the New Science Complex, from \$187.8 million to \$196 million. Towson University will use its own funds for this increase.

The New Science Complex is near completion and Towson University is excited to move the Jess and Mildred Fisher College of Science and Mathematics into this new facility. The new building will meet the specific needs of the science disciplines, with infrastructure and building systems that can accommodate research and lab work and space that is configured to support group work and hands-on learning. It will serve all Towson students, as completion of at least one science course is a University requirement. This additional classroom and class lab space will enable the University to meet the high demand for enrollment in science courses, largely due to the high number of STEM and health professions majors. The additional research space will allow faculty to meet research requirements while providing opportunities for students to gain valuable experience through research assistantships. It is also important to note that when the New Science Complex opens, more than 10,000 students will take classes there each year, many to fulfill Core Curriculum requirements.

This project was originally scheduled to be completed to serve the university students in the fall of 2020. As a result of delays listed below, the University is now planning to have the building ready for classes for the Spring Semester 2021. This new move-in date is based on a very stringent work construction schedule. Several factors have driven the increased cost of this project beyond the approved budget and include:

1. Weather delays beyond the allowable weather delays provided within the contractual agreement.
2. Escalation - market conditions driving the cost up for mechanical, electrical, plumbing and other trades, overall construction labor and material market escalation within the past year.
3. COVID related cost - for the most part, these are for General Conditions imposed as a result of delays resulting from manpower availability.
4. Construction Manager added staff and acceleration - these costs are a result of acceleration to meet the academic schedule requirements of the University.

All additional costs beyond the approved budget have been vetted for validity and necessity through the UMB Service Center and with assistance from an independent cost/scheduling consultant to prove conformity with the contract documents regarding schedule and associated costs. The University seeks to acquire the necessary authorization to proceed with the work in a timely way so as to not incur additional acceleration costs to the project. Towson University, along with its partners at UMB, continues to look for reductions of scope and cost saving measures to reduce the value of this request.

TU SCI BLDG INCREASE IN AUTH

The University is therefore requesting authorization to use university funds to increase the project budget by \$8.2 million in order to replenish the contingency and maintain the current scope of work and schedule.

**ALTERNATIVE(S):** The Board could ask the University to reconsider the changes. However, Towson University has determined that not proceeding with the much-needed changes will negatively impact the schedule and the ability for the College to use the much-needed space. Moving forward with the changes is essential to completing the building for the Spring 2021 Semester.

**FISCAL IMPACT:** Towson University will use institutional plant funds for the requested increase.

**CHANCELLOR'S RECOMMENDATION:** That the Finance Committee recommend that the Board of Regents approve for Towson University an increased project authorization of \$196 million for the New Science Complex as described above.

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COMMITTEE RECOMMENDATION: RECOMMEND APPROVAL

DATE: 11/5/20

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BOARD ACTION:

DATE:

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SUBMITTED BY: Ellen Herbst (301) 445-1923

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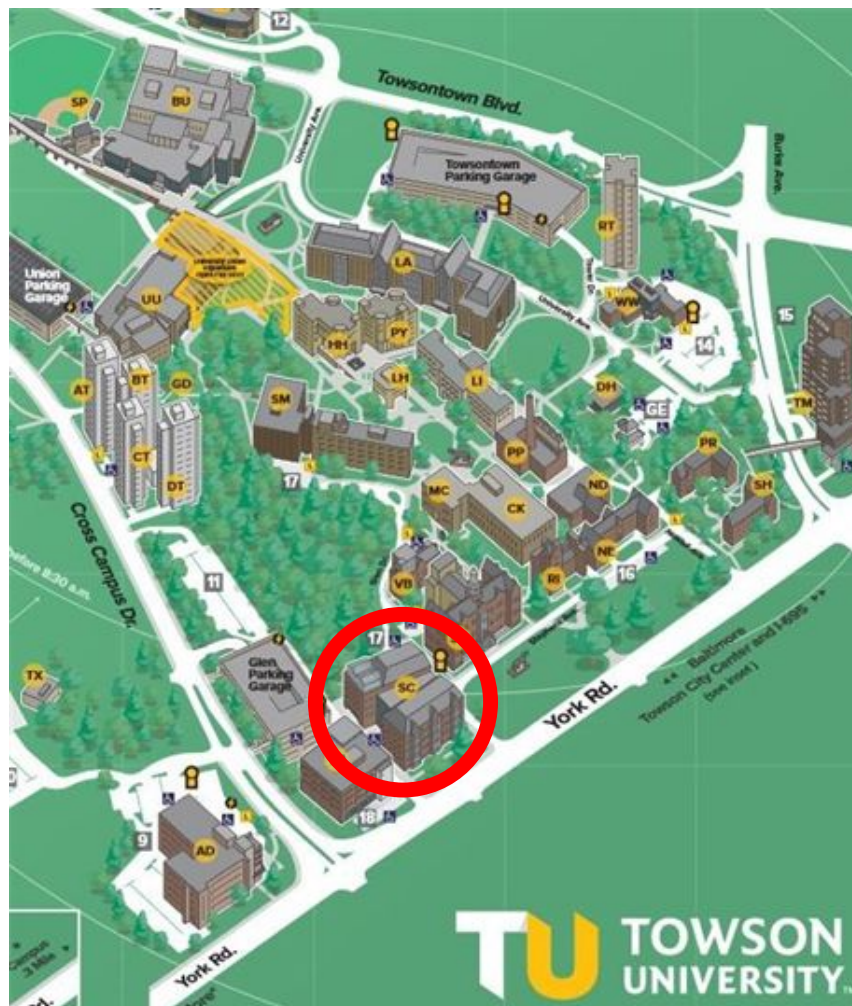
**Project Cost Summary**

Towson University - New Science Complex

	<b>Original</b>	<b>Revised</b>	<b>Current Request</b>
<b>Date</b>	4/17/2017	6/20/2018	10/19/2020
<b>Stage of Estimate</b>	<b>50% CD</b>	<b>GMP #1 -3</b>	<b>Current</b>
Design/Fees	\$14,519,000	\$14,519,000	\$14,519,000
Construction Cost*	\$153,800,000	\$155,395,441	\$172,000,000
Equipment	\$8,500,000	\$8,500,000	\$8,500,000
Contingency *	\$11,000,000	\$9,404,559	\$1,000,000
<b>Project Total</b>	<b>\$187,819,000</b>	<b>\$187,819,000</b>	<b>\$196,019,000</b>
<b>Notes: *</b>	Includes \$14M Institutional Funds	Includes \$14M Institutional Funds	<b>\$8,200,000</b>
<b>Submitted by:</b>	Cost estimate provided Whiting-Turner Contracting Co.	GMP provided by Whiting-Turner Contracting Co.	

## Project Location Map:

### Towson University: New Science Complex





**BOARD OF REGENTS**

**SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION**

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**TOPIC:** University of Maryland, Baltimore County: Real Property Transfer

**COMMITTEE:** Finance

**DATE OF COMMITTEE MEETING:** November 5, 2020

**SUMMARY:** This purpose of this item is to inform the Board of Regents that improved land containing 4.568 acres located at 900 Walker Avenue in Catonsville, has been transferred to the University System of Maryland for the use and benefit of the University of Maryland, Baltimore County (UMBC). This property was originally transferred to the University from the State in July 1965 as part of a 432-acre parcel when UMBC was established.

On December 3, 1976, the Board of Public Works approved the transfer of this +/- 4.568 acre parcel from the University back to the State of Maryland, as the site for the Catonsville District Courthouse.

With the news that a new courthouse was being built for the Catonsville District Courthouse, USM and UMBC worked with the Maryland Department of Planning and the Maryland Department of General Services for this property to be returned. The Clearinghouse process for this excess property was completed in July 2020 and the Board of Public Works approved the transfer with no financial consideration on September 2, 2020.

UMBC plans to assess this facility to determine the best plan for re-use. The building will provide the opportunity for UMBC to expand its capacity in high-demand academic programs, including but not limited to computing, cybersecurity, and engineering. This will enable UMBC to continue supporting Maryland's economy by producing additional graduates who will be ready to work in these growing fields, and to expand research.

**ALTERNATIVES:** This item is provided for information purposes.

**FISCAL IMPACT:** This item is provided for information purposes.

**CHANCELLOR'S RECOMMENDATION:** This item is provided for information purposes.

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COMMITTEE RECOMMENDATION: REPORT ACCEPTED FOR INFORMATION    DATE: 11/5/20

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BOARD ACTION:

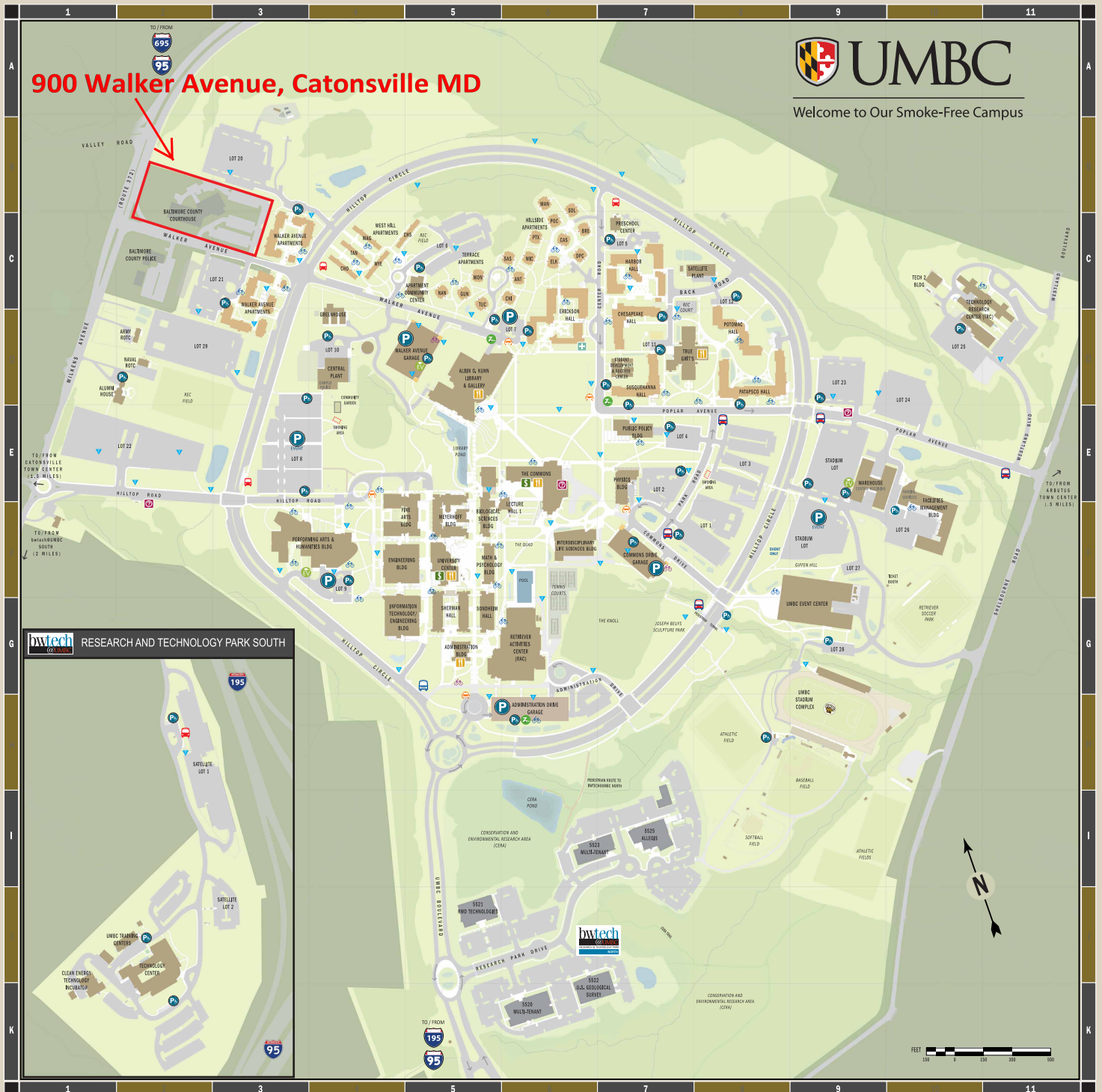
DATE:

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SUBMITTED BY: Ellen Herbst (301) 445-1923

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## CAMPUS DIRECTORY

### FREQUENT DESTINATIONS

Admissions, Graduate, 2nd Floor Administration Bldg G5  
 Admissions, Undergraduate, Ground Floor facing Pond  
 Albin O. Kuhn Library & Gallery E5  
 Black Box Theatre, 127 Performing Arts & Humanities Bldg F4  
 Bookstore, The Commons E5  
 bwtechUMBC North 1007  
 bwtechUMBC South 2  
 Center for Art, Design and Visual Culture,  
 105 Fine Arts Bldg F4F5  
 Earl and Danielle Linehan Concert Hall,  
 235 Performing Arts & Humanities Bldg F3  
 Dance Cube, 337 Performing Arts & Humanities Bldg F4  
 Library & Gallery, Albin O. Kuhn E5  
 Music Box, 151 Performing Arts & Humanities Bldg F3  
 Parking Services, 100 Facilities Management Bldg F4  
 Proscenium Theatre, 103 Performing Arts & Humanities Bldg F4  
 University Center Ballroom, 301 University Center F5

### BUILDINGS

Administration Building G5  
 Alumni House T5  
 Apartment Community Center G5  
 Army ROTC D2  
 Biological Sciences Building F5  
 Central Plant C4  
 The Commons E5  
 Engineering Building F4F5  
 Facilities Management Building E10F10  
 Fine Arts Building F4F5  
 Greenhouse C4  
 Information Technology/Engineering  
 Building ITE 2A/25  
 Interdisciplinary Life Sciences Building F4  
 Library & Gallery, Albin O. Kuhn E5  
 Mathematics & Psychology Building F5  
 Meyerhoff Chemistry Building F5  
 Naval ROTC D2

Performing Arts and Humanities Building F4  
 Physics Building E7  
 Preschool Center E7  
 Public Policy Building E7  
 Retriever Activities Center (RAC) G6  
 Satellite Utility Plant C10/C5  
 Sherman Hall C4  
 Sondheim Hall G5  
 Student Development & Success Center  
 (SDSC) C10  
 Tech 2 Building C10  
 True Girls C10  
 University Center F5  
 UMBC Stadium Complex H9  
 UMBC Event Center G9  
 Warehouse C9

### STUDENT HOUSING

Chesapeake Hall D7  
 Enchanted Hall C9  
 Harbor Hall C8  
 Potomac Hall D8  
 Sossagumna Hall D7  
 Walker Avenue Apartments C3  
 Hillside Apartments  
 Siding (SDU) B6  
 Potomac (POC) C6  
 Monahan (MAN) B6  
 Pasture (PTX) C6  
 Elk (ELK) C6  
 Deep Creek (DPC) C6  
 Casselman (CAS) C6  
 Breton (BRE) C6

West Hill Apartments  
 Chesapeake (CHC) C4  
 Wye (WYE) C4  
 Magothy (MAG) C4  
 Tanager (TAN) C4  
 Choptank (CHO) C4  
 Terrace Apartments  
 Nantuxet (NAN) C3  
 Gunpowder (GUN) C3  
 Monocacy (MON) C3  
 Sassafras (SAS) C4  
 Wicomico (WIC) C6  
 Anisquam (ANT) C6  
 Choptank (CHO) C6  
 Tuckahoe (TUC) C3

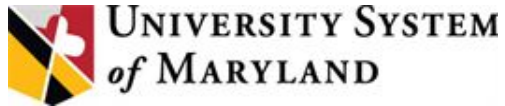
### VISITOR PARKING

Administration Drive Garage H5  
 Commons Drive Garage H7  
 Walker Avenue Garage G5  
 Lot 7 D6  
 Lot 9 F4  
**EVENT PARKING**  
 Stadium Lot E8F9  
 Lot 8 E3  
**GENERAL INFORMATION**  
 For more information call  
 410-455-1000  
 For Campus Police call  
 410-455-5555

### LEGEND

**P** Visitor Parking  
**P** Event Parking  
**P** Accessible Parking  
**P** Information Desk/Vehicular Kiosk  
**S** Dining Locations  
**S** ATM  
**+** University Health Services  
**+** Emergency Phone  
**MTA** Bus Stop Only  
**MTA** and UMBC Shuttle Bus Stop  
**UMBC** Shuttle Bus Stop Only  
**Taxi** Pickup Area  
**Bicycle** Rack  
**Bicycle** Repair Station  
**Zip** Car Location  
**EV** Charging Station





**USM BOARD OF REGENTS  
ADVANCEMENT COMMITTEE  
Meeting via video  
And conference call  
October 28, 2020**

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**DRAFT**

Minutes: public session

A meeting of the Board of Regents Committee on Advancement was held via video and teleconference October 28, 2020 at 11:00 a.m. In attendance were Regents Barry Gossett, Linda Gooden, Louis Pope, Geoff Gonella, James Holzapfel, D'Ana Johnson, and Elena Langrill from the Office of the Attorney General. From USM institutions: David Balcom and Kadeem Turnbull (UMES); Theresa Silanskis (UB); Greg Simmons and Stanyell Odom (UMBC); Cathy Sweet and Nikki Sandoval (UMGC); Stuart Clarke (UMCES); Brodie Remington (UMCP); John Short and Liz Nelson (FSU); Jayme Block (SU); Brian DeFilippis and Lori Armstrong (TU); Brent Swinton and Carla Hopkins (BSU), Larry Kushner, David Flinchbaugh, and Dana Rampolla (UMB); Ahmed El-Haggan and Kimberly Nelson (CSU); and Richee Smith Andrews (USG). From the USM office: Chancellor Jay Perman; Chief of Staff Denise Wilkerson; Vice Chancellor Leonard Raley; Associate Vice Chancellor Marianne Horrigan; Vice Chancellor Tim McDonough; Tom Gilbert, CFO, USM Foundation; Sapna Varghese, Director of Advancement; Ralph Partlow, VP & Associate General Counsel, USM Foundation; Mike Lurie, Media Relations and Web Manager; and Gina Hossick, Executive Assistant to Leonard Raley.

Chairman Gossett called the meeting to order at 11:05 a.m.

Approval of minutes (action)

The committee approved the minutes of the October 8, 2020, meeting. Regent Gossett moved the recommendation, seconded by Regent Pope; the motion was unanimously approved.

Presentation on Impact of Covid 19 on Advancement (information)

Bonnie Devlin, managing principal of Washburn and McGoldrick, a consulting firm specializing in advancement and alumni engagement, provided and discussed a PowerPoint presentation summarizing the results of the firm's national survey of higher education institutions on the effect of COVID-19 on fundraising progress and strategies. The presentation touched on what some of our peers are thinking about and how they are adapting to the new realities of social distancing, remote work, and budget constraints.

Year-to-date fundraising September FY21 (information)

At the end of the first quarter, the USM is at 27% of its goal of \$315 million. Vice presidents discussed recent major gifts and their sense of how fundraising and engagement is adapting to the current environment.

USM Quasi-Endowment Grant Requests for 2021 (action)

The committee reviewed staff recommendations to award grants to USM institutions in support of fundraising efforts. Regent Gossett noted that this program has been in existence for six years and the benefits to USM advancement programs has been significant; several vice presidents remarked on the benefits of the grants to their efforts. Regent Gossett moved recommendation, seconded by Regent Pope and unanimously approved.

USM BOR VI-4.00 – Policy on the Naming of Facilities and Programs (information)

Materials included as an information item the latest draft of the naming policy, with the changes discussed during the October 8th meeting highlighted. This policy is expected to go before the full board for approval in November.

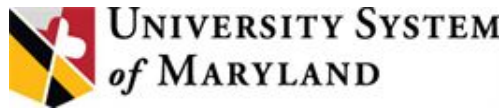
Committee on Advancement Charge (action)

The committee reviewed its charge. This year, staff have recommended one change, highlighted in the materials. This change makes it clear that the committee is charged with reviewing naming requests. Regent Gossett moved recommendation, seconded by Regent Pope and unanimously approved.

Convene to closed session

Regent Gossett read the Convene to Close Statement. Regent Gossett moved recommendation, seconded by Regent Johnson and unanimously approved.

The public meeting was adjourned at 11:50 a.m.



**USM BOARD OF REGENTS  
ADVANCEMENT COMMITTEE  
Via video conference  
October 28, 2020**

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**DRAFT**

Minutes: closed session

A meeting of the Board of Regents Committee on Advancement was held via video conference on October 28, 2020. In attendance were Regents Barry Gossett, Linda Gooden, Louis Pope, Geoff Gonella, James Holzapfel, D'Ana Johnson, and Elena Langrill from the Office of the Attorney General. From the USM office: Chancellor Jay Perman; Chief of Staff Denise Wilkerson; Vice Chancellor Leonard Raley; Associate Vice Chancellor Marianne Horrigan; Vice Chancellor Tim McDonough; Vice President John Short, Frostburg State University; Vice President Brodie Remington, University of Maryland, College Park; Ralph Partlow, VP & Associate General Counsel, USM Foundation; Mike Lurie, Media Relations and Web Manager; and Gina Hossick, Executive Assistant to Leonard Raley.

Chairman Gossett called the meeting to order at 11:50 a.m.

Naming request from The University of Maryland, College Park (action)

University of Maryland, College Park naming request: to name the university's two newest residence halls in honor of four trailblazers, each of whom played an important role in diversifying the University of Maryland, College Park: Mr. Hiram Whittle, Ms. Elaine Johnson-Coates, Mr. Pyon Su and Mr. Chunjen Constant Chen.

Regent Gossett moved recommendation, seconded by Regent Johnson and unanimously approved.

Naming requests from Frostburg State University (action)

1. Frostburg State University naming request: to name the university's former public safety building to the Adams/Wyche Multicultural Center at the Lincoln School. It is anticipated that the space shall be inclusive, historically significant, and student-centered, while housing the Office of Diversity, Equity, and Inclusion.

Regent Gossett moved recommendation, seconded by Regent Johnson and unanimously approved.

2. Frostburg State University is requesting to name the university's recently completed residence hall, Brownsville Hall. Brownsville Hall would honor a primarily African American community located in Frostburg.

Regent Gossett moved recommendation, seconded by Regent Holzapfel and unanimously approved.

Adjourned at 12:10 pm

**BOARD OF REGENTS**SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

**TOPIC:** Proposed Revisions to USM BOR VI-4.00 – Policy on the Naming of Facilities and Programs

**COMMITTEE:** Regents Advancement Committee

**DATE OF COMMITTEE MEETING:** October 28, 2020

**SUMMARY:** The Regents Advancement Committee recently recommended approving changes to the BOR VI 4.00 Policy on the Naming of Facilities and Programs. The policy in these materials include changes discussed and approved at the October 8 special meeting, as well as minor edits made to the policy after the meeting. The revised policy 1) increases the due diligence required in forwarding a name for consideration; 2) requires campuses and regional centers to formalize their own policy regarding naming's at the institutional level (those that do not go to the Regents for approval); 3) expands upon the process and considerations needed to request the removal of a name and; 4) provides in an appendix guidelines for institutions considering the removal of a name. These revisions are intended to provide deliberative and thoughtful parameters for USM institutions as they seek to name spaces or programs or if they need to revisit a particular naming.

The draft policy is included as an information item for the committee and will be voted upon at the next full board meeting.

**ALTERNATIVES(S):**

**FISCAL IMPACT:**

**CHANCELLOR'S RECOMMENDATION:**

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COMMITTEE RECOMMENDATION:	Recommend approval	DATE: 10.28.2020
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BOARD ACTION:	DATE:
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SUBMITTED BY: Leonard Raley (301) 445-1941 [raleys@usmd.edu](mailto:raleys@usmd.edu)

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## VI-4.00 – POLICY ON THE NAMING OF FACILITIES AND PROGRAMS

(Approved by the Board of Regents on January 11, 1990; amended January 24, 1991; amended April 4, 1997; revised February 15, 2013; revised December 12, 2014; revised and approved May 1, 2020; amended [DATE])

The Board of Regents of the University System of Maryland (USM) wishes to encourage opportunities for significant philanthropy to its member institutions through the naming of major facilities and programs. The Board also encourages the naming of major facilities and programs that honor scholars and other distinguished individuals who are preeminent in their field of endeavor and/or have contributed meaningfully to the USM or to any of its constituent institutions. Any such naming must undergo a high level of consideration and due diligence to ensure that the name comports with the purpose and mission of the USM and its institutions. No naming shall be permitted for any entity or individual whose public image, products, or services may conflict with such purpose and mission.

### I. Applicability

This policy shall apply to the following:

- A. **Facilities:** planned and existing buildings of all types, major new additions to existing buildings, as well as institution grounds and athletic facilities, all major outdoor areas including streets, entrances, gates, and landscape features such as quadrangles, gardens, lakes, fountains, and fields.
- B. **Programs:** colleges, schools, departments, centers, institutes, and programs, including those that are online or virtual.

**Items not covered:** interior space within facilities (laboratories, classrooms, practice rooms, lecture halls, etc.); minor landscape features such as benches or sidewalk bricks; scholarships, fellowships and chairs. Institutions shall develop their own naming policy aligned with Board of Regents policy, for these items. In cases where there may be some question regarding the need for Board of Regents' approval, the Chancellor will determine which naming opportunities require approval.

### II. Philanthropic Naming of Facilities

Requests made to the Board of Regents to name a new facility or renovated existing facility must comply with the following guidelines:

- A. The proposed gift should contribute significantly to the realization or completion of a facility or the enhancement of a facility's usefulness to the university.

B. All requests should demonstrate that the institution has maximized the potential of fundraising in association with facility naming. To receive best consideration, the Board recommends the following:

1. For institutions considered high research activity or special focus in the Carnegie classification and with annual research expenditures of \$60 million or more as reported in the National Science Foundation's Higher Education Research and Development survey (University of Maryland, Baltimore; University of Maryland, Baltimore County; and University of Maryland, College Park), the present value of the gift should be an amount equal to or greater than 15 percent of the cost to construct or substantially renovate the building proposed for naming.
2. For all other institutions, the present value of the gift should be an amount equal to or greater than 7.5 percent of the cost to construct or substantially renovate the building proposed for naming.

The naming of existing buildings not targeted for substantial renovation will be considered on a case-by-case basis. The underlying principle of such naming should be to honor a significant gift or history of significant giving to the institution.

- C. Gifts made to fund the direct costs of construction or renovation, or to establish an endowment in support of maintenance or program costs, are encouraged and will receive more favorable consideration.
- D. The building to be named should be approved for construction or renovation in the Capital Improvement Plan.
- E. If a naming opportunity is being considered for a set period of time (naming rights to an athletic field, for example), the cost of installing and removing the name should be a consideration, and plans accounting for those costs should be included in the request to the Board.
- F. The gift may be in cash or in the form of a legally binding pledge, provided however, that if in the form of a pledge, it should be paid in full within five years. A portion of the gift may be in the form of an irrevocable trust or bequest, provided that the donor is age 75 or older. If a bequest, there must be a legally binding pledge backing up the bequest. The Board of Regents may consider exceptions to these gift provisions as listed in this item if a strong rationale is provided.

In some cases, an institution may wish to leverage donor funds to help move a building project forward in the capital projects queue. Such gifts must meet different criteria than those required for naming a building. Please refer to Policy [VI-4.20 - GUIDELINES REGARDING THE EFFECT OF DONOR FUNDING AND OTHER EXTERNAL FUNDING ON THE PRIORITIZATION OF STATE-FUNDED CAPITAL PROJECTS](#) for details regarding moving a building forward in the capital projects queue.

### III. Philanthropic Naming of Programs

Requests made to the Board of Regents to name a program must comply with the following guidelines:

- A. The named gift levels for schools, colleges, departments, centers, institutes, and programs will be established on a case-by-case basis. Endowed gifts are strongly encouraged.
- B. Generally, the endowment established through the gift should generate 10 to 20 percent of the unit's operating budget on an annual basis, depending on the size of the unit.
- C. Gift terms required to name a program are the same as those set forth for facilities, as described above.

### IV. Honorific Naming

In those cases where facility and program naming is honorific, they should be named for scholars and other distinguished individuals who are preeminent in their field of endeavor and/or have contributed meaningfully to the USM or to any of its constituent institutions. Although significant philanthropy made over a donor's lifetime may constitute a valid rationale for an honorific naming, honorific naming should not be used to circumvent the requirements of gift-related naming policies. The following guidelines apply to honorific naming requests:

- A. No campus facility or program will be named for individuals employed by or formally affiliated with the USM or the State of Maryland, unless and until one year has passed since the individual's USM or State employment or affiliation has ceased.
- B. The Board will consider exceptions to Section IV.A. under the following circumstances:
  - 1. If an individual has completed 10 years of service to the USM and is currently serving in a position of reduced responsibility (i.e. from institution president to faculty status).
  - 2. If there are health issues or special family circumstances.

### V. Naming Resulting from Fundraising Appeals

On occasion, fundraising appeals are organized to honor an individual via the naming of a program or facility. In such cases, the total funds raised should conform with the gift minimums and terms described in Section II or Section III, as applicable.

The guidelines set forth in Section IV, Honorific Naming, shall also apply. Institutions launching such efforts should seek approval from the Board of Regents before launching a public campaign. Institutions should clearly describe in associated fundraising materials any prerequisites that are related to or limit the naming opportunity.

Upon completion of the fundraising appeal, institutions shall report to the Board of Regents that the conditions described in the request were met before the naming is announced to the general public.

## VI. Process and Procedures

The USM Vice Chancellor for Advancement should be notified of possible facility or program naming discussions as early in the process as possible. All requests shall be approved by, and submitted through, the president of the requesting institution. A naming that involves a regional center shall be submitted via the executive director of the regional center in consultation with the president of the administrative (coordinating) institution, and the USM vice chancellor for administration and finance and the senior vice chancellor for academic and student affairs (on behalf of the chancellor). Naming requests involving multiple institutions should be submitted jointly by the appropriate presidents. In the case of a naming at the USM level, the request should be submitted by the chair of the Board of Regents.

Requests should be submitted six weeks prior to the full board meeting at which the request will be considered. Exceptions to the timeline may be considered by the Chancellor and the Board of Regents. Requests will be reviewed within the USM Office of the Chancellor before being submitted for review by the Board of Regents Committee on Advancement. The Committee on Advancement will then 1) decline the request, 2) request additional information or clarification, or 3) recommend approval by the full Board.

In making requests for naming of facilities or programs, the following information is to be submitted:

A. A detailed request in letter or memo form that should provide:

1. The namesake's name and relationship to the USM or institution, if applicable.
2. A detailed report demonstrating that the namesake's background has been thoroughly considered; that the naming honors the values and mission of the institution; and that any controversies, if they exist, have been examined and judged to be immaterial to the naming.
3. The gift amount and terms, including but not limited to any costs associated with the gift, if applicable.

B. For honorific naming, a clear rationale for the request, including a description of the honoree's accomplishments and contributions to the institution or USM, how the naming will reflect positively on the institution and/or the USM, and, if applicable, a justification for an exception to the provisions described in Section IV, Honorific Naming, above.

C. For a naming related to **launching** a fundraising appeal, a letter or memo outlining:

1. The namesake's name and relationship to the USM or institution.
2. The amount of funds raised in gifts and pledges and expected cash realized, including but not limited to any costs associated with the campaign.
3. A rationale for the honorific naming, as described in Section IV.



4. As noted in Section V, institutions shall report to the Board of Regents regarding the completion of the campaign and fulfillment of the conditions of the request before the naming is announced to the general public.
- D. As applicable, the overall cost of the facility construction or renovation or the overall budget of the program to be supported. If the gift represents partial or total funding of the construction, remodeling, or renovation, the following information must be included:
1. Relationship of the project to the institution's long-range plans;
  2. Source and status of capital budget funds needed in addition to the gift;
  3. A timetable for project implementation;
  4. Operating budget implications, and sources of funds.
- E. The proposed name of the facility or program and, if applicable, the current name of the facility or program.
- F. A copy of the gift contract and/or pledge agreement, if applicable.
- G. A biographical profile of the prospective donor or recipient of an honorific naming.

Requests involving negotiations with donors or honorific naming will be held in the strictest confidence. Exceptions will be considered if the requesting institution has specific reasons to believe that public input is necessary to move forward with a naming.

## VII. Public Announcement

No public announcement of a philanthropic or honorific naming should be made prior to Board of Regents' approval. Public announcements should be scheduled in coordination with the Chancellor's Office to ensure proper representation from the USM Office and Board of Regents. In cases where a gift is funding new construction or substantial renovation, the Board encourages institutions to consider having 50% of the gift in hand before a public announcement is made. Public announcements regarding honorific naming will include the rationale for the naming, including background regarding the individual and how the naming reflects positively on the institution and the USM.

## VIII. Removal of Name from a Facility or Program

As naming authority for facilities and academic programs lies with the Board of Regents, so does the authority and responsibility to remove a name.

- A. Gift-related naming. In the case of a gift-related naming, the Board of Regents reserves the right to remove names from facilities and programs when the gift remains unpaid beyond the five-year limit. Should this occur, the Board of Regents may name an area of the facility or

seek another appropriate naming opportunity that would be proportionate to the value of the gift received.

- B. Useful life. The naming of a facility or program follows the facility or program for its useful life unless otherwise determined by the Board of Regents.
- C. Controversial or Changed Circumstances. If a previously approved naming violates the standards or values of the USM and its constituent institutions, compromises the public trust or reputation of an institution, or is contrary to applicable law, the Board of Regents may remove a name. Removal of a name should be rare, and the case for removal must be compelling and well researched. Requests for removing a name shall be submitted by the institution's president, and in the case of multiple institutions, jointly by the appropriate presidents. A naming that involves a regional center shall be submitted via the executive director of the regional center in consultation with the president of the administrative (coordinating) institution, and the USM vice chancellor for administration and finance and the senior vice chancellor for academic and student affairs (on behalf of the chancellor). Requests shall include the following elements:
  - 1. A detailed narrative describing the institution's process in considering the name removal. (Appendix A provides guidelines.)
  - 2. A listing of key considerations examined in making the decision to request a removal. (See Appendix A.)
  - 3. Consideration of any legal issues and costs associated with removing a name.
  - 4. Evidence of meaningful community input in considering the renaming.

Renaming of an institution must follow VI-2.00-Policy on Recommendations to Change the Name or Status of an Institution, which requires approval of the Governor and the General Assembly.

As with naming requests, requests to remove a name will be reviewed by the Board of Regents Committee on Advancement, which will 1) deny the request, 2) seek additional information, or 3) recommend the request for approval by the full Board of Regents.

IX. USM institutions and regional centers shall establish policies and procedures for all naming requests, including those not requiring Board of Regents' approval. Policies and procedures shall also be established for the removal of names or renaming.

X. USM institutions shall provide an annual report to the Board of Regents on all namings, including those resulting from realized gifts, and the form of recognition.

## NEW SECTION – APPENDIX A – NEW SECTION

### Appendix A

#### Guidelines on Renaming and Removal of Names at USM Institutions and Regional Centers

Naming of a facility or academic program is one of the highest honors an individual or organization can receive from a university, and the Board of Regents is aware of its great responsibility to ensure that such recognition honors its history, mission, and values. These guidelines are provided as a resource for institutions and regional centers to develop their own policies and procedures related to naming and renaming of facilities and programs. In general, naming recognitions have been awarded for the following:

- To honor individuals by recognizing exceptional contributions shaping the university.
- To commemorate university history and traditions.
- To honor long-term and significant financial contributions to the university.
- To honor financial contributions to support the structure or program being named.

Removal of a name should be rare, and those making such a request should understand that their case must be compelling and well researched. Removal of a name should not erase an important aspect of the university's past, and where possible, education about and reinterpretation of the name in order for the university community to deepen its understanding about its history may be a reasonable alternative to removal.

#### Considerations for Renaming or Removal of a Name

1. **The research and rationale of the original naming process.** Whenever available, the documents and discussions making the case for the original naming should be considered, as well as the rigor of the review process. Were those making the naming decision aware of the negative or controversial aspects of the namesake? Did the namesake's positive contributions outweigh those factors in the view of those authorizing the original naming?
2. **Clearly documented research about the prevalence and persistence of the namesake's objectionable behavior.** New research and reinterpretations about prominent figures can reveal behaviors and factors not known or emphasized at the time of the naming. In this case, consideration should be given to the centrality of the offensive behavior to the namesake's life as a whole, and whether the behavior was consistent with conventions of the time. The historical record of the subject's behavior should be substantial and unambiguous and made publicly available.
3. **The past and current effect of the namesake's behavior.** The individual's behavior and how it aligns with the educational mission and inclusive values of the university should be a factor. Did the namesake's action(s) cause hurt to individuals or groups that would have been avoided or corrected by contemporary peers? Does the use of the name undermine the ability of a significant number of individuals or groups to engage in, or feel a sense of belonging to, the university community? Is there a strong case that current values and standards have changed so appreciably as to make the name objectionable to the broader university or community?
4. **The namesake's relationship to the university.** Consideration should be given as to whether the namesake had an objectively significant and noteworthy role in the history of the

university. Legal or other commitments the university has made to any donors (and their heirs) in connection with the name in question and the legal and financial implications must also be considered.

5. **University community input.** The voices and views of the entire university community should be a factor in considering the naming request. A request to remove a name is likely to elicit strong opinions; it is essential that different perspectives are given respectful consideration. In cases where multiple institutions share a facility or academic program, input should be considered from every constituency.
6. **Possibilities for mitigation and interpretation.** In some cases, providing historical context and a reinterpretation of a name can be an opportunity to educate the university community about important aspects of its past. Consideration may be given as to whether the harm can be mitigated, and historical knowledge preserved, by recognizing and addressing the individual's wrongful behavior in a prominent and permanent way in conjunction with retaining the name.

### Procedures

1. Students, faculty, staff or alumni desiring the removal of a name or a renaming should submit a request to the Office of the President. The request should include:
  - a. A letter providing a rationale for the request (it is recommended that the requestors review and respond to the considerations outlined above).
  - b. A petition of support signed by members of the university community. The President may impose a signature threshold in order to consider the petition. Alternatively, the President may determine that requests should come via resolution of the university's shared governance bodies.
2. The President will review the request for factual accuracy and relevance and determine if the request should undergo a formal review. The President may ask for additional information from the requestor(s) before moving forward with a review.
3. If the request undergoes formal review, the President may form a special committee. This committee may include faculty with relevant expertise, senior administrators, student leadership, and alumni or volunteer representation. This committee should be given a charge to:
  - a. Embrace the role of the university as a training ground for citizens and future leaders and be true to the university mission.
  - b. Ensure meaningful outreach to, and engagement with, the entire university community.
  - c. Understand and respect that the entire university community is its constituency, including those with different viewpoints from those making the request.
  - d. Apply intellectual rigor that will bring context, a respect for tradition balanced with regard for discovery and changing viewpoints, and a perspective that such decisions must serve the university for the long term, not just a particular moment.

The committee may include other elements in its charge as appropriate.

4. The committee will review the request using the considerations listed above as a guide; it may choose to include additional considerations. The committee will present findings to the President.
5. Upon review of the committee's findings, the President will determine the appropriate action. If the President determines that removal of a name or renaming of a facility or academic program is appropriate, the President will submit a formal request to the Board of Regents. Renaming requests must follow the Board of Regents Policy VI-4.00 – Policy on Naming of Facilities and Academic Programs.

6. If the requested action is to change the name of an institution, the request must follow VI-2.00-Policy on Recommendations to Change the Name or Status of an Institution, which requires approval of the Governor and the General Assembly.



## BOARD OF REGENTS

### SUMMARY OF ITEM FOR ACTION, INFORMATION OR DISCUSSION

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**TOPIC:** Quasi endowment grants

**COMMITTEE:** Advancement Committee

**DATE OF MEETING:** October 28, 2020

**SUMMARY:** The Quasi-Endowment Fund, initiated in FY15, was established with \$50 million committed by USM institutions and the USM Office. Spendable income from this quasi-endowment funds two components: a competitive grant program administered through the USM Office of Advancement, and direct funding of institution fundraising programs. The USM Office has reviewed and recommended grants for 2021 as summarized in the chart titled 2021 USM Quasi Endowment Grant Requests and Recommendations.

**ALTERNATIVE(S):**

**FISCAL IMPACT:**

**CHANCELLOR'S RECOMMENDATION:**

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COMMITTEE ACTION:	Recommend approval	DATE: 10.28.2020
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BOARD ACTION:	DATE:
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SUBMITTED BY: Leonard Raley, Vice Chancellor for Advancement, [rale@usmd.edu](mailto:rale@usmd.edu)  
301-445-1941

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**USM Quasi-Endowment Grant Program  
2021 Grant Requests and  
Recommendations**

In FY15, the USM and its institutions established a \$50 million quasi-endowment in support of endowment-building at each USM institution. The USM Office administers a grant program funded by its \$10 million commitment to this quasi-endowment. Approximately \$425,000 in funds are available through this competitive grant process. USM staff makes funding recommendations, which are reviewed and approved by the Board of Regents Advancement Committee.

INSTITUTION	DESCRIPTION	AMOUNT REQUESTED	STAFF RECOMMENDATION
Bowie State University	Support for 1) an endowed fund 1:1 matching-gift incentive to support the endowment building campaign; 2) virtual events for the Nelson Wells society (planned giving recognition); 3) digital engagement.	\$75,000	\$58,500
Coppin State University	Support for digital storytelling with a major gifts focus; communications for funding opportunities for endowment	\$72,000	\$55,500
Frostburg State University	Support for communications and for student gift officers.	\$72,500	\$55,500
Salisbury University	Continued support for an additional major gift officer during the three-year public phase of We Are SU: The Campaign for Salisbury University. SU and the Salisbury University Foundation, Inc. (SUF) will match this amount with \$45,000 to cover the remainder of the salary, benefits, and expenses associated with this position.	\$45,000	\$40,000
Towson University	Continued support for a prospect discovery and engagement system through the vendor Gravyty. The use of this product will greatly assist Towson's 10 major gift officers in identifying and engaging with prospects, in particular those who may give to endowment.	\$25,000	\$20,000
University of Baltimore	Continued support for a Communications Specialist position that will support its forthcoming campaign, "You Know Us." The position will allow the Advancement Office to craft sophisticated messaging about UB, support major gift officers, and coordinate with communications efforts across the university. The university will fund a portion of benefits costs and intends to fund the position in its entirety at the end of three years.	\$75,000	\$65,000
University of Maryland, College Park	Continued support for a new software solution and platform to better award, steward, manage and report on donor-funded accounts. This will greatly improve advancement operations, campus partnerships, and donor cultivation efforts and fundamentally transform the way advancement collaborates works with key campus stakeholders.	\$70,000	\$55,000
University of Maryland, Baltimore	Content writer; part time gift officer, test message platform.	\$68,500	\$53,500
The Universities at Shady Grove	Support for a consultant to increase capacity during the transition to new leadership and to continue fundraising in support of its 20th anniversary.	\$36,000	\$22,000
<b>TOTAL REQUESTED</b>		<b>\$539,000</b>	
<b>TOTAL AWARDED</b>			<b>\$425,000</b>



## BOARD OF REGENTS

### SUMMARY OF ITEM FOR ACTION, INFORMATION OR DISCUSSION

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**TOPIC:** Committee Charge

**COMMITTEE:** Advancement Committee

**DATE OF MEETING:** October 28, 2020

**SUMMARY:** Regents on the Committee on Advancement will review and discuss the committee charge and recommend approval of any necessary changes. See yellow highlighted areas.

**ALTERNATIVE(S):**

**FISCAL IMPACT:**

**CHANCELLOR'S RECOMMENDATION:**

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COMMITTEE ACTION:	Recommend approval	DATE: 10.28.2020
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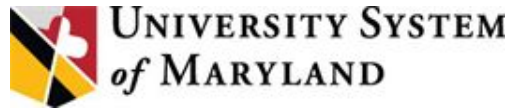
BOARD ACTION:	DATE:
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SUBMITTED BY: Leonard Raley, Vice Chancellor for Advancement, [raleys@usmd.edu](mailto:raleys@usmd.edu)  
301-445-1941

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### **Committee on Advancement Charge**

The Committee on Advancement shall consider and report to the Board on all matters relating to the University System of Maryland's private fund-raising efforts, including policies, strategies, best practices and national standards affecting capital campaigns and ongoing fund-raising programs of individual institutions and the University System of Maryland.

This Committee shall give support to individual institutions and affiliated foundations in all development/advancement efforts, recognizing the vast majority of donors' interests lie with individual institutions, and in many cases, specific programs. This Committee shall also encourage individual institutions and affiliated foundations in seeking collaborative and joint fundraising between and among institutions and programs.

This Committee shall support efforts to bring more resources to advancement programs in order to build a thriving culture of philanthropy and engagement, which in turn improves scholarship, student access, and innovation across the USM.

This Committee shall review institutional and system-wide efforts and make recommendations to the Board regarding the enhancement of system interests through entrepreneurial and private fund-raising activities, including gifts, donations, bequests, endowment, grants, venture, cooperative agreements, and other public-private opportunities.

The Committee will encourage all system institutions to establish positive and noteworthy stewardship standards, reflected in the regular communication with donors about the intent, use, and outcomes of the application of the funds received. **This Committee will review requests related to the naming of academic programs and facilities.**

This Committee acknowledges the critical role of affiliated foundations in these efforts, and in particular good stewardship and management of funds. This Committee shall consider and report to the Board on all matters relating to System-affiliated foundations, alumni associations and similar 501 (c) (3) organizations affiliated with the USM and monitor activities to assure adequate institutional controls are in place.

**Per Regents policy, this committee shall review selected Regent's Advancement policies annually and each policy shall be reviewed at least once every four years.**



**BOARD OF REGENTS  
COMMITTEE ON AUDIT**  
DRAFT - Minutes from Open Session  
October 30, 2020

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Ms. Fish called the meeting of the Committee on Audit of the University System of Maryland Board of Regents to order at approximately 10:03 a.m. This meeting was conducted via videoconference.

Regents in attendance included: Ms. Fish (Chair), Mr. Bartenfelder (phone), Ms. Gooden, Mr. Gossett, Mr. Pope, Mr. Wood, and Ms. Edwards (student regent – phone). Also present were: USM Staff – Chancellor Perman, Mr. Brown, Ms. Denson, Mr. Eismeier, Mr. Hayes (phone), Ms. Herbst, Mr. Lurie, Mr. Mosca, Mr. Page, Ms. White and Ms. Wilkerson; Office of the Attorney General -- Ms. Langrill; CliftonLarsonAllen LLP (USM's Independent Auditor) – Ms. Bowman and Mr. Gauthier.

The following agenda items were discussed:

1. FY 2020 Audit Committee Objectives (Information & Discussion)

The Committee reviewed its Annual Work Plan FY 2020.

2. Information & Discussion – Review of BOR Policies

The Committee reviewed its Charter and BOR Bylaws pertaining to the Audit Committee. Charter modifications included clarifying which committee members may request actions, clarifying what types of professionals may be retained by the committee, and incorporating the use of gender-neutral language. [Moved by Ms. Gooden, seconded by Mr. Pope, unanimously approved.]

3. Information & Discussion – FYE 2020 - System Wide Draft Financials, Balance Sheet & Statement of Changes (affiliated foundations are not included)

USM's Controller presented FY 2020 draft financial statements. The financial statements reflect the preliminary financial position and the results of operations of the University System of Maryland for the year ended June 30, 2020 as prepared on the accrual basis of accounting. The draft statements also provided a comparison of performance to the prior year's results. The final audited financial statements for the University System of Maryland will be available in December.

4. Information & Discussion - Review Presidents, Chancellor and Board of Regents annual financial disclosure compliance with §12-104(p)

USM's Director of Internal Audit presented the results of his review of calendar year 2019 financial disclosure statements submitted by the University Presidents, the Chancellor, and the Board of Regents. This review was performed in accordance with Md. Education Code Ann. §12-104(p), the Board of Regents Bylaws, and the Board of Regents Committee on Audit's Charter. This review did not identify any disclosures that appeared inappropriate.

5. Completed Office of Legislative Audit Activity (Information & Discussion)

USM's Director of Internal Audit summarized the Office of Legislative Audits' reports on Towson University and Frostburg State University.

6. Information & Discussion – Follow Up of Action Items from Prior Meetings

USM's Director of Internal Audit provided a status update of action items from the June 3, 2020 audit committee meeting. Presentation materials also included earlier action items and their statuses.

7. Convene to Closed Session

Ms. Fish read aloud and referenced the Open Meetings Act Subtitle 5, §3-305(b) which permits public bodies to close their meetings to the public in special circumstances.  
[Moved by Mr. Pope, seconded by Mr. Gossett; unanimously approved.]

The closed session commenced at approximately 11:15 a.m.



**BOARD OF REGENTS  
COMMITTEE ON AUDIT**

DRAFT - Minutes from Closed Session  
October 30, 2020

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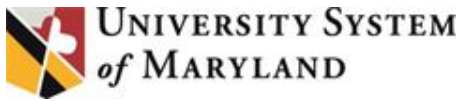
Ms. Fish read aloud and referenced the Open Meetings Act Subtitle 5, §3-305(b) which permits public bodies to close their meetings to the public in special circumstances. [Moved by Mr. Pope, seconded by Mr. Gossett; unanimously approved.] The closed session commenced at approximately 11:15 a.m. This meeting was conducted via videoconference.

Regents in attendance included: Ms. Fish (Chair), Mr. Bartenfelder (phone), Ms. Gooden, Mr. Gossett, Mr. Pope, Mr. Wood, and Ms. Edwards (phone). Also present were: USM Staff – Chancellor Perman, Mr. Brown, Ms. Denson, Mr. Eismeier, Ms. Herbst, Mr. Mosca, Mr. Page, Ms. White and Ms. Wilkerson; Office of the Attorney General -- Ms. Langrill; CliftonLarsonAllen LLP (USM's Independent Auditor) – Ms. Bowman and Mr. Gauthier.

The following agenda items were discussed:

1. The Vice President for Legal Affairs and General Counsel of the University of Maryland, College Park provided an update on an investigation. (§3-305(b)(12)).
2. The Assistant Vice Chancellor for IT and Interim CIO of the University System of Maryland provided an update of USM's IT Security Environment. (§3-305(b)(10)).
3. USM's Director of Internal Audit presented an update of the Office of Legislative Audits' activity currently in process. (§3-305(b)(13)).
4. USM's Director of Internal Audit provided a status update of reported criminal allegations received by Internal Audit. (§3-305(b)(12)).
5. USM's Director of Internal Audit provided an update of the Office of Internal Audit's Audit Plan of Activity for Calendar Year 2020. (§3-103(a)(1)(i)).

Closed session adjourned at 12:30 p.m.



**BOARD OF REGENTS**

SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

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**TOPIC:** Policy Revisions: Committee on Audit Charter

**COMMITTEE:** Audit

**DATE OF COMMITTEE MEETING:** October 30, 2020

**SUMMARY:**

Attached is the Committee on Audit's Charter (Attachment A). The Committee's recommended changes to its Charter are highlighted in red.

attachment

**FISCAL IMPACT:** none

**CHANCELLOR'S RECOMMENDATION:** Approve

COMMITTEE ACTION: Approved

DATE:  
10/30/2019

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BOARD ACTION:

DATE:

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SUBMITTED BY: David Mosca

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## Attachment A

**University System of Maryland  
Board of Regents Audit Committee  
Audit Committee Charter  
Established June 2006 and Last Revised – November 22, 2019**

### PURPOSE

To assist the Board of Regents in fulfilling its responsibilities for overseeing the adequacy of and compliance with the internal controls, BOR Policies, risk management practices, investigative activity, governance processes, and to oversee the sufficiency and appropriateness of the financial reporting of the University System of Maryland.

### AUTHORITY

The Audit Committee (Committee) is granted the authority to investigate any activity of the USM, and all employees are directed to cooperate as requested by ~~members the~~ Committee Chair or Committee of the Whole of the Committee. The Committee, with the approval of the Board, is empowered to retain persons having special competence as necessary to assist the Committee in fulfilling its responsibility. It is empowered to:

- Appoint, compensate and oversee the work of the Director of Internal Audit and the public accounting firm employed by the organization to conduct the annual audit. This firm and the Director of Internal Audit will report directly to the Audit Committee.
- Resolve any disagreements between management and the auditor regarding financial reporting.
- Retain independent accountants or others qualified professionals to advise the Committee or assist in the conduct of an investigation.
- Seek any information it requires from employees--all of whom are directed to cooperate with the committee's requests--or external parties.
- Meet with USM officers, external auditors or outside counsel, as necessary.
- The committee may delegate authority to subcommittees, providing that decisions are presented to the full Committee at its next scheduled meeting.
- Review and approve the yearly internal audit plan and oversee the effectiveness of the internal audit function.

### COMPOSITION

The Audit Committee shall comprise not less than **5** or more than **7** members. The majority of the members must be knowledgeable about financial matters and have financial literacy as a whole. The Chairman of the Board of Regents shall appoint the members of the Audit Committee, and select the Audit Committee's Chair, to serve one year terms. A majority of members of the committee shall constitute a quorum.

## MEETINGS

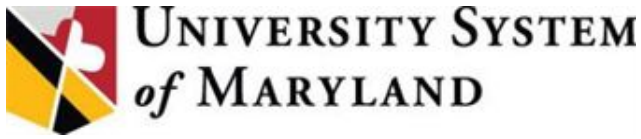
The Audit Committee is to meet at least four times each year, and as many more times as it deems necessary. All Committee members are expected to attend each meeting. As necessary or desirable, the Committee Chair ~~chairman~~ may request that members of management and the representatives of the independent auditor or other advisors be present at meetings of the Committee.

## RESPONSIBILITIES:

1. The Committee on Audit shall render advice and assistance to the Board of Regents in fulfilling its fiduciary responsibilities for overseeing the adequacy of and compliance with the internal controls, BOR Policies, risk management practices, investigative activity, governance processes, and to oversee the sufficiency and appropriateness of the financial reporting of the University System of Maryland.
2. This Committee shall review independent audit proposals including the scope of examination, services to be provided, reports to be rendered and fees to be charged, recommend to the Board the selection and scope of work of the independent external auditor of the University System of Maryland, review findings received there from and provide the Board with appropriate reports.
3. This Committee shall review legislative audits of the institutions of the University System and institutional responses thereto, and provide the Board with appropriate reports.
4. This Committee shall review and recommend to the Board the scope of the internal audit function. The Committee shall review the Charter of the Office of Internal Audit, its annual plan of work, its reports and administrative actions taken regarding its recommendations, and its annual report of significant audit items, and shall provide the Board with appropriate reports on the activities of that office. The Committee shall review the performance of the Director of Internal Audit and monitor the effectiveness of the internal audit function.
5. In fulfillment of these responsibilities this Committee shall foster direct communications with the external auditors on an annual basis or as otherwise deemed appropriate, and shall assure direct access from the Office of the Internal Audit, including meeting privately, at least on an annual basis, with the Director of Internal Audit.
6. This Committee shall monitor the Board's observance of the State Ethics Code as it pertains to possible conflict of interest with matters of the University System of Maryland.

7. This Committee shall assist the Board in fulfilling its responsibility to comply with Md. Education Code Ann. Section 12-104(p) review of annual financial disclosure statements—The Board of Regents shall review the annual financial disclosure statements filed by the Chancellor and the presidents of each constituent institution in accordance with Section 5-607 of the General Provisions Article.
8. The Committee shall review the annual financial disclosure statements filed by the members of the Board of Regents in accordance with Section 5-607 of the General Provisions Article.
9. The Committee shall render advice and assistance to the Board of Regents in fulfilling its responsibilities for overseeing the sufficiency and adequacy of Enterprise Risk Management of the University System of Maryland as defined in BOR Policy - VIII-20.00 Policy on Enterprise Risk Management.





The Board of Regents Committee on Economic Development and Technology Commercialization

Minutes of the Public Session

November 5, 2020

The Committee on Economic Development and Technology Commercialization of the University System of Maryland (USM) Board of Regents met in public session on 12:30 pm on Thursday, November 5, 2020 via Zoom. Regent Leggett called the meeting to order at 12:33 pm.

Regents present were Mr. Isiah Leggett, Chair, Ms. Linda Gooden, Ms. Ellen Fish, Mr. Sam Malhotra, Ms. Meredith Mears, Mr. Gray Attman, Mr. Nathaniel L. Sansom, and Ms. Kelly Schulz. USM personnel present were J. Perman, T. Sadowski, L. Ryan, J. Boughman, D. Wilkerson, E. Herbst, T. McDonough, E. Langrill. Others present were S. Sheppard and A. Miscenich.

Mr. Barry P. Gossett, and Mr. Robert Rauch, Vice Chair.

**Featured Start-Up: CoapTech (Information Item)**

Vice Chancellor Sadowski presented Dr. Steven Tropello, Founder and CMO of CoapTech. Dr. Tropello explained his background and current roles, including being a practicing clinician at the University of Maryland. He explained an initial interaction with a patient in 2012 that spurred the idea for their medical device to help emergency care clinicians to be able to place feeding tubes. They have received 4 NIH grants and had first in human testing due to working in Canada in 2018 before US FDA clearance in 2019 to enable commercial launch. Mr. Attman asked if the technology is patented, to which Dr. Tropello responded that they didn't receive their official patent until 2019, which was 6 years after submitting the provisional, and they have close to a dozen patents granted or in process. Dr. Tropello explained that ultrasound is ubiquitous, and they use ultrasound, which means they will be able to implement broadly. There are many other procedures in addition to gastroenterologies that they would like to expand into. They limited who they worked with initially, but they are now at their larger product launch phase. The PUMA-G system is adult-driven, but they will be adapting it for pediatric purposes, too. They have been hiring local personnel to continue to expand the team. He thought that COVID might have sidelined them, but they have been able to launch 5 sites completely virtually across the country.

Regent Leggett asked about comparison of what is offered now versus what it is in place in the market. Dr. Tropello explained that clinicians like him on the front lines who are not gastroenterologists cannot use the current technology to place feeding tubes because they aren't specialists. So, patients are kept waiting. This offers a safe way for front-line clinicians to do it themselves, which also allows for cost savings. Regent Leggett asked if there is objection from specialists. Dr. Tropello said there have been objections, but they have used the science and data to convince people, and it's working. He explained that the gastroenterologist at one of their hospitals actually prefers this to happen. Additionally, there are ways that gastroenterologists can spend their time more valuably, which results in long-term value.

for them. Regent Fish asked if it has gotten to a point where insurance companies will require it to be done via PUMA as opposed to other ways. Dr. Tropello says there is a path to that, yes, and they are collecting more information to make that case. Chancellor Perman commented that he is a pediatric gastroenterologist, and he is optimistic about uses for children and asked about age ranges. Dr. Tropello answered that an NIH grant is working toward the goal of having a device to treat patients of 5 kilograms to 30 kilograms FDA cleared in the next year and a half and that they are primarily working with radiologists and surgeons, who do most pediatric feeding tubes today. Regent Gooden said that technology and cost savings are compelling and asked to which other areas they will expand. Dr. Tropello answered chest, bladder, and vascular applications could occur down the line. Regent Sansom asked about FDA approval versus clearance and what class device they are. Dr. Tropello said there was discussion of getting approved as a class II, since that would be the pinnacle, but it's not a priority right now.

#### **UMB Innovation and Economic Impact (Information Item)**

Presenters were Jim Hughes, Senior Vice President and Chief Enterprise and Economic Development Officer at University of Maryland, Baltimore and Mary Morris, Director of the Baltimore Fund. Vice Chancellor Sadowski said he was glad to be part of the advisory board in guiding money allocated through the Center for Maryland Advanced Ventures (CMAV). Mr. Hughes commented that UMB is doing research work with over 300 business as we speak, including all of the major biomedical players in the industry. Mr. Hughes explained that they have had many activities related to COVID. He added that another UMB startup, Breethe, received FDA clearance 10 days ago and will begin sales. Regent Attman asked that the Rolling Stone article be sent around. Mr. Hughes explained that the Medical Device Development Fund is drawn from CMAV funding. Regent Leggett asked for an explanation of CMAV. Mr. Hughes explained that as part of MPowering the State, the state provides \$4M per year to CMAV to support commercialization and economic development, which they have integrated into UM Ventures, with Mr. Hughes as the Director, reporting to both Presidents. CMAV primarily focuses on UMB but partners with UMCP and others as well. Mr. Hughes said UMB has invested in 10 companies, with 4 exits so far and returns re-invested into economic development. Harpoon, Breethe, and SurgiGYN were acquired. Regent Attman expressed that he is glad Mr. McDonough is on the call because this is the message USM investments in biotechnology generally should be shared. Mr. Hughes commented that winning companies like Harpoon and Breethe spur several other startups after and generate wealth for local investors. Mr. Hughes said they have teamed with a donor to the School of Medicine to reinvest some returns to provide a 3D printing so that early and rapid prototyping can take place.

Mr. Hughes explained that through CMAV, UMB is taking on a larger economic development role. Ms. Morris explained that the SBDC and IP Law Clinic supports UMB but also many community entrepreneurs. Ms. Morris explained that Anchor Ventures takes place in partnership with Johns Hopkins University and UMBC to serve the Baltimore region. She also added that the Maryland Department of Commerce has provided support for Anchor Ventures for FY 21. Ms. Morris explained that the Baltimore Fund can provide lease subsidies to help USM affiliated startups locate in Baltimore City and traditional economic development conditional grants to more established companies based on job creation. Ms. Morris described several other success stories. Vice Chancellor Sadowski said this is a great use of resources and important for Baltimore.

#### **Maryland New Venture Fellows for Cybersecurity via UMBC (Information Item)**

Megan Wahler, Director of Entrepreneurial Services, bwtech@UMBC Research and Technology Park, presented. Ms. Wahler explained that they applied for a grant for the Economic Development Agency with a proposal to address the issue of lack of startup talent leads, as Regent Attman mentioned. She explained that she had been at Johns Hopkins University for 6 years and in coming to UMBC saw great potential for UMBC to help companies start here grow here, and stay here. She explained that partnership with USM allows UMBC to work across the System with technologists and business talent waiting to be developed, pairing them with experienced entrepreneurs as mentors. Ms. Wahler explained that 8 technologists are participating in the match event this afternoon and a dozen fellows who want to participate. All of these are from across the System. They will expand even further in the next years with federal agencies, for example. Vice Chancellor Sadowski said Gregg Smith is a corollary to Bill Niland in the cyber field. Ms. Wahler explained that the MD Department of Commerce and Baltimore County have been supportive and provided part of the match required for the grant. DataTribe is a private sector organization nurturing startups at a later stage and are looking forward to supporting teams as they develop further. Ms. Wahler explained that this will really be a group effort. She explained that much funding will be going to graduate student stipends to bring down barriers and competition with other activities they could undertake. The program will mirror different accelerators and culminate in three teams being selected to attend the top cybersecurity conference and a pitch event there. Ms. Wahler said they also wanted to put their thumb on the scale of diversity, and because of the diverse population, they will have more than 40% of participants identify as minorities and/or people of color. They are also looking for people who have had different life experiences and can benefit from a program like this as springboard. Regent Gooden said this is incredibly important initiative. Vice Chancellor Sadowski commented that the grant was a very competitive national process, and they are proud that UMBC was able to secure it.

#### **USM Office of Economic Development Update (Information Item)**

Tom Sadowski, Vice Chancellor for Economic Development, presented. He referenced \$28M in funding from ARL and commented that there will be more funding and partnership announcements forthcoming. He commented that the office is working on legislation and being ready for any federal funding that will be available in the future. Industry partnerships and workforce development continue to be important and have not been slowed due to COVID. More emphasis on catalytic and early investment is to come, perhaps tapping into philanthropic resources and others, before the level of the Momentum Fund. Vice Chancellor Sadowski commented the Mr. Hughes showed the great work at UMB in regard to technology transfer, and he and Ms. Ryan are working to expand that activity to comprehensive universities, in partnership with others.

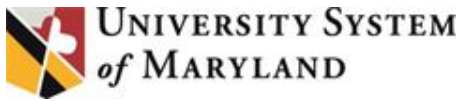
Vice Chancellor Sadowski provided an update on the COVID Research & Innovation Task Force, including the COVID I-Corps program, launching this Friday with 10 teams in the cohort. There is also exploration in partnership with the Department of Commerce in terms of bioproduction and vaccine development workforce development. A public health communications challenge is another initiative, with great help from Vice Chancellor McDonough. Regent Leggett commented that Montgomery County should be a good partner, and Vice Chancellor Sadowski said they have been in touch but is happy to connect with specific contacts if suggested. Lindsay Ryan, Venture Development Director, presented information about industry partnerships across the USM.

Secretary Schulz added that support from USM for the Maryland Marketing Partnership has been great. Their innovation uncovered campaign focuses on marketing the top 20 companies, which will be announced soon. She applauded the collaboration among so many different stakeholder, which is further developing this great ecosystem and moving the needle in a positive direction, which they anticipate more of with increased marketing through the campaign.

The meeting ended at 2:10pm.

Respectfully submitted,

Isiah Leggett, Chair  
Committee on Economic Development  
and Technology Commercialization



## BOARD OF REGENTS

### SUMMARY OF ITEM FOR ACTION, INFORMATION OR DISCUSSION

**TOPIC:** Review of Presidents', Chancellor's and Regents' Financial Disclosure Forms

**COMMITTEE:** Audit

**DATE OF BOARD OF REGENTS MEETING:** October 30, 2020

In accordance with Md. Education Code Ann. §12-104(p), the Board of Regents (BOR) Bylaws and the BOR Committee on Audit's Charter, the Office of Internal Audit has completed its review of calendar year 2019 financial disclosure statements from the University Presidents (Attachment A), the Chancellor (Attachment A) and the Board of Regents (Attachment B). This review did not identify any disclosures that appeared inappropriate.

The following subjects make up the reporting requirements, which are also summarized attachments A and B. There are no sections I through W.

**Schedule A: Real Property (This section is not required to be completed by the Regents)**

You must disclose:

1. Property owned directly, both commercial and residential
2. Property leased or rented as a tenant, both commercial and residential
3. A place of residence without formal agreement, if you provided any monetary contributions to the household
4. Property owned jointly or through a partnership, limited liability partnership, or limited company in which you held an interest

**Schedule B: Securities (This section is not required to be completed by the Regents)**

You must disclose:

1. Shares of stock you own directly or as a part of an Individual Retirement Account (IRA), including a Roth IRA
2. Bonds issued by corporate entities
3. Mutual funds and exchange-traded funds (ETFs), ONLY IF they consist primarily of holdings and stock interests in a specific sector regulated by your governmental unit

**Schedule C: Ownership in Business Entities**

You must disclose ownership in a:

1. Corporation
2. Partnership
3. Limited liability partnership (LLP) (Limited Liability Partnership)
4. Limited liability company (LLC) (Limited Liability Company)
5. Sole proprietorship

### **Schedule D: Gifts**

You must disclose gifts with a value of more than \$20, or multiple gifts from the same donor if the gifts had a cumulative value of \$100 or more. Include gifts from:

1. A regulated lobbyist;
2. An entity engaged in activity regulated or controlled by the State;
3. An entity that otherwise did business with the State

### **Schedule E: Debts and Liabilities**

You must disclose:

1. Debts you owe to entities, if they did business by sales, purchases, contract or lease of at least \$5,000 with your governmental unit during the reporting period.
  - Typical debts to report are installment loans, mortgages, car loans or other time-fixed liabilities owed to financial institutions such as banks, credit unions, mortgage companies and similar entities
  - Other reportable debts could include those owed to other entities, including merchants, contractors, etc.
2. Debts you owe to entities if the entity was regulated by your governmental unit *Example: Department of Labor, Licensing, and Regulation (DLLR) filers must disclose mortgages owed to financial institutions regulated by the Commissioner of Financial Regulation as that Office is within DLLR.*
3. Debts you owe to regulated lobbyists
4. Debts your spouse owes, ONLY IF you were involved in the transaction that gave rise to the debt
5. Debts your dependent children owe, ONLY IF you were involved in the transaction that gave rise to the debt

### **Schedule F: Employment and Offices Held**

You must disclose:

1. Any outside employment where you earned a salary, WHETHER OR NOT your employer did business with the State
2. Any unsalaried positions you held, such as an officer or director of a for-profit or not-for-profit organization, but ONLY IF the entity did business with the State

### **Schedule G: Spouse**

You must report each place of salaried employment held by your spouse during the reporting period, WHETHER OR NOT your spouse's employer did business with the State. You must also report unsalaried offices, directorships, or similar positions for your spouse with any entity that did business with the State. You must also report any solely or partially owned business from which your spouse earned income.

Lobbying Disclosure: If your spouse was a regulated lobbyist with the State during the reporting period, you must also identify each client that engaged your spouse for lobbying purposes.

**Schedule H: Dependent Children**

You must report each place of salaried employment held by your dependent children during the reporting period, subject to the conditions below. You must also report unsalaried offices, directorships, or similar positions for your dependent children with any entity that did business with the State. You must also report any solely or partially owned business from which your children earned income.

The statement may not include a minor child's employment or business interests unless the employment or business interests are with:

1. The State;
2. An entity regulated by your governmental unit;
3. An entity that has contracts in excess of \$10,000 with your governmental unit

**Schedule X: Other**

Schedule X is an optional schedule if you have other interests or transactions that have not been disclosed on the previous schedules and which you feel should be disclosed. This is also the chance to add more explanation or clarification to any of your responses on other schedules.

If you served as a member of a State board or commission during the reporting period, please list the name of that board or commission.

(Attachments)

**FISCAL IMPACT:** Uncertain

**CHANCELLOR'S & COMMITTEE ON AUDIT'S RECOMMENDATION:**

BOARD ACTION            none

DATE:

SUBMITTED BY: COMMITTEE ON AUDIT

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**The University System of Maryland**  
**Office of Internal Audit**  
**Summarized Review of State Ethics Commission Financial Disclosures - USM Presidents & Chancellor**  
**Calendar Year 2019**

		Disclosure Sections								
		A	B	C	D	E	F	G	H	X
		Property - Mortgage	Securities	Business Ownership	Gifts	Debts & Liabilities	Employment and Offices Held	Spouse	Dependent Children	Other
Dr. Aminta H. Breau		Y	Y	N/A	N/A	N/A	Y	N/A	N/A	N/A
Dr. Kim Schatzel		Y	Y	N/A	Y	N/A	Y	Y	Y	N/A
Dr. Mickey Burnim		Y	Y	Y	Y	Y	N/A	N/A	N/A	N/A
Dr. Evelyn Thompson		Y	N/A	N/A	Y	Y	N/A	N/A	N/A	N/A
Dr. Ronald Nowaczyk		Y	N/A	N/A	N/A	N/A	Y	Y	N/A	N/A
Dr. Charles Wight		Y	Y	Y	N/A	Y	N/A	Y	N/A	Y
Hon. Kurt Schmoke		Y	Y	N/A	N/A	Y	N/A	Y	N/A	Y
Dr. Jay Perman		Y	N/A	N/A	N/A	Y	Y	N/A	N	Y
Dr. Freeman Hrabowski		Y	Y	N/A	N/A	Y	Y	Y	N/A	Y
Dr. Wallace Loh		Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Dr. Heidi Anderson		Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Mr. Javier Miyares		Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Dr. Peter Goodwin		Y	Y	N/A	N/A	N/A	Y	Y	N/A	Y
Dr. Bruce Jarrell		Y	Y	N/A	N/A	N/A	Y	N/A	N/A	N/A
Dr. Darryll Pines		Y	Y	Y	N/A	Y	Y	Y	N/A	N/A
Dr. Anthony Jenkins		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Dr. Robert L. Caret		Y	Y	Y	N/A	Y	N/A	N/A	N/A	Y

Y = Included a Disclosure(s)  
N/A = No Disclosure was Made

Auditor's Note - There were no inappropriate conflicts of interests or inappropriate disclosures identified in any of the forms reviewed.  
Auditor's Note 2 - There are no sections I - W in the Financial Disclosure Form



**The University System of Maryland**  
**Office of Internal Audit**  
**Summarized Review of State Ethics Commission Financial Disclosures - USM Presidents**  
**Calendar Year 2019**

		Disclosure Sections								
		A	B	C	D	E	F	G	H	X
		Property - Mortgage	Securities	Business Ownership	Gifts	Debts & Liabilities	Employment and Offices Held	Spouse	Dependent Children	Other
D'Ana E. Johnson		N/A	Y	N/A	N/A	N/A	N/A	Y	N/A	N/A
Linda R. Gooden		N/A	Y	N/A	N/A	N/A	Y	N/A	N/A	N/A
Gary Lee Attman		Y	Y	N/A	N/A	Y	Y	Y	N/A	N/A
Andrew J. Bartenfelder		Y	Y	Y	N/A	Y	Y	N/A	N/A	N/A
Ellen Rafferty Fish		N/A	N/A	N/A	N/A	N/A	Y	Y	N/A	N/A
Goefrey J. Gonella		N/A	N/A	Y	N/A	N/A	Y	Y	N/A	Y
Barry P. Gosset		N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Michelle Gourdine		N/A	N/A	N/A	N/A	N/A	Y	Y	N/A	N/A
James N. Holzapfel		N/A	N/A	N/A	N/A	N/A	Y	N/A	N/A	N/A
Isiah Leggett		Y	Y	Y	N/A	N/A	N/A	N/A	N/A	Y
Sam Molhotra		N/A	N/A	N/A	N/A	N/A	Y	Y	N/A	N/A
Meredith Mears		N/A	N/A	N/A	N/A	N/A	Y	Y	N/A	N/A
Robert R. Neall		Y	Y	Y	N/A	Y	Y	Y	N/A	Y
Louis M. Pope		N/A	N/A	Y	N/A	Y	Y	N/A	N/A	N/A
Robert D. Rauch		Y	Y	Y	N/A	Y	Y	Y	N/A	N/A
Kelly M. Schulz		Y	N/A	N/A	N/A	N/A	N/A	Y	N/A	N/A
Drew M. Needham		N/A	N/A	N/A	N/A	N/A	Y	Y	N/A	N/A
William T. Wood		N/A	N/A	N/A	N/A	N/A	Y	N/A	N/A	N/A

Y = Included a Disclosure(s)  
N/A = No Disclosure was Made

Auditor's Note - There were no inappropriate conflicts of interests or inappropriate disclosures identified in any of the forms reviewed.  
Auditor's Note 2 - There are no sections I - W in the Financial Disclosure Form

**BOARD OF REGENTS**SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

**TOPIC:** University System of Maryland: Amendment to the Forty-Second Bond Resolution—Auxiliary Facility and Tuition Revenue Bonds and Associated Conversion of Cash-Funded Projects to Revenue Bond Funding

**COMMITTEE:** Finance

**DATE OF COMMITTEE MEETING:** November 5, 2020

**SUMMARY:** The Board of Regents has previously adopted forty-two bond resolutions, with amendments, authorizing the issuance of University System of Maryland Auxiliary Facility and Tuition Revenue Bonds. The proposed amendment to the Forty-Second Resolution authorizes the issuance of Revenue Bonds to finance an additional \$88 million, bringing the total Resolution to \$192,661,103 of academic and auxiliary facilities projects.

The System, at June 30, 2020, through either the Chancellor's approval of projects between \$1 million and \$5 million, or the Board's approval for projects in excess of \$5 million, has more than \$300 million of unspent cash-funded capital project authorizations. The spending on such previously approved cash-funded capital projects would represent a significant draw-down of reserves at the same time when approximately \$170 million of additional use of fund balances to offset reduction in operating revenues is being planned. As a part of an effort to protect cash and reserve levels longer, the institutions have identified a small number of projects that are suitable for revenue bond funding.

The purpose of this amendment is to convert projects previously authorized for cash funding to debt funding in an effort to conserve cash and fund balance, and better balance the use of fund balance in the financial challenges arising from the pandemic, with the use of debt. Both the University of Maryland, Baltimore and University of Maryland, College Park have proposed projects to be included.

Additionally, we anticipate that spending will begin on these projects prior to the bonds being issued. This amendment will allow the USM to spend cash and then reimburse itself using bond proceeds once issued.

**BOND COUNSEL:** Miles & Stockbridge P.C.

**ALTERNATIVE(S):** The reserves held by the System would be depleted rapidly, potentially placing the USM at risk of negative credit ratings and violating the financial ratio policies implemented by the Board of Regents.

**FISCAL IMPACT:** Issuance of an additional \$88,000,000 of bonds would result in debt service of approximately \$7,011,000 per year for 20 years at 5.0%.

**CHANCELLOR'S RECOMMENDATION:** That the Finance Committee recommend that the Board of Regents approve the Amendment to the Forty-Second Bond Resolution and the associated conversion of cash-funding of selected capital projects to revenue bond funding, and the authority to spend cash immediately if needed to be reimbursed from the proceeds of the next bond issue, anticipated for February 2021.

COMMITTEE RECOMMENDATION: RECOMMEND APPROVAL

DATE: 11/5/20

BOARD ACTION:

DATE:

SUBMITTED BY: Ellen Herbst (301) 445-1923

**AMENDMENT TO**

**FORTY-SECOND BOND RESOLUTION**

**OF THE BOARD OF REGENTS OF THE**

**UNIVERSITY SYSTEM OF MARYLAND**

**AMENDMENT TO  
FORTY-SECOND BOND RESOLUTION  
OF THE BOARD OF REGENTS OF THE  
UNIVERSITY SYSTEM OF MARYLAND**

**RECITALS**

**WHEREAS, pursuant to Title 19 of the Education Article of the Annotated Code of Maryland (2018 Replacement Volume and 2018 Supplement) (“Title 19”), the University of Maryland System (the “System”) is authorized to issue bonds for the purpose of financing or refinancing all or any part of the costs of the acquisition, construction, reconstruction, equipment, maintenance, repair, renovation and operation of one or more "projects", as such term is defined in Title 19, of the System;**

**WHEREAS, pursuant to the authority provided in Title 19, and pursuant to a Resolution of the System adopted on May 3, 1989, the System approved the Indenture (as hereinafter defined) providing for the issuance of one or more series of bonds from time to time for the purposes described in Title 19;**

**WHEREAS, pursuant to the authority provided in Title 19, and pursuant to a Resolution of the System adopted June 14, 1995, the System approved the Supplemental Indenture (as hereinafter defined) supplementing and amending the Original Indenture in furtherance of the purposes described in Title 19;**

**WHEREAS, pursuant to the authority provided in Title 19, the Indenture and the Forty-Second Bond Resolution of the Board of Regents of the University System of Maryland adopted on June 19, 2020 (the “Forty-Second Bond Resolution”), the System authorized the issuance and sale of up to \$104,493,000 aggregate principal amount of its University System of Maryland Auxiliary Facility and Tuition Revenue Bonds on one or more Issuance Dates (as defined in the Forty-Second Bond Resolution) in one or more series from time to time, subject to the terms and conditions of the Forty-Second Bond Resolution and the Indenture and secured by and payable from the Trust Estate pledged under the Indenture;**

**WHEREAS, as permitted by Section 5.04 of the Forty-Second Bond Resolution, the System has determined to amend the Forty-Second Bond Resolution to add an additional Auxiliary Facility Project as a Project for which the Forty-Second Bond Resolutions Bonds may be used and to increase the principal amount of Forty-Second Resolution Bonds authorized thereby;**

**WHEREAS, the System desires that this Forty-Second Bond Resolution serve and constitute as a declaration of official intent within the meaning of, and for the purposes set forth in Section 1.150-2 of the Income Tax Regulations prescribed by the U.S. Treasury Department.**

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS OF THE UNIVERSITY OF MARYLAND SYSTEM THAT:**

## **ARTICLE I**

### **DEFINITIONS**

**Except as otherwise provided herein, all capitalized terms contained in the Indenture and the Forty-Second Bond Resolution when used in this Amendment shall have the same meaning herein as set forth in the Indenture and the Forty-Second Bond Resolution.**

## **ARTICLE II**

### **AMENDMENTS TO FORTY-SECOND BOND RESOLUTION**

**Section 2.01. Increase in Principal Amount of Forty-Second Resolution Bonds Authorized. The Forty-Second Bond Resolution is hereby amended by deleting the number “\$104,493,000” and inserting in lieu thereof “\$192,661,103” in each place in which such numbers and words appear.**

**Section 2.02. Additional Auxiliary Facilities Project Authorized.** The following "auxiliary facility" projects and "academic facility" projects are hereby added as "projects" authorized by Section 2.04 of the Forty-Second Bond Resolution and the proceeds from the issuance and sale of the Forty-Second Resolution Bonds shall be used for the purposes of financing or refinancing the cost of any one or more of the following projects (see also Exhibit A):

**University of Maryland College Park, auxiliary**

**North Campus Dining Hall**

**New Residence Hall**

**University of Maryland College Park, academic**

**Chemistry Building Wings 2, 3, 5**

**Public Policy Building**

**Physics Building**

**University of Maryland Baltimore**

**Various Facilities Renewal Projects**

**Universities at Shady Grove**

**UMB School of Nursing**

**Section 2.03. Declaration of Official Intent.**

The System reasonably expects to pay costs permitted by this amendment to the Forty-Second Bond Resolution with respect to the Projects described in Section 2.04 prior to the issuance of the Forty-Second Resolution Bonds and reasonably expects that certain proceeds of the Forty-Second Resolution Bonds will be used to reimburse the System all or a portion of such prior expenditures paid by the System. Because the System intends that the interest on the Forty-Second Resolution Bonds will be excludable from the gross income of the holder for purposes of federal income taxation, the System intends that this Amendment to Forty-Second Bond Resolution shall be and constitute a declaration of official intent within the meaning of Section 1.150-2 of the Income Tax Regulations prescribed by the U.S. Treasury Department. The Bonds issued as a result of this amendment will be issued in a total principal amount not to exceed \$50,000,000.

### **ARTICLE III**

#### **EFFECTIVE DATE**

**Section 3.01. Effective Date.** This Amendment shall be effective on the date of its adoption by the Board of Regents

**ADOPTED, this 13<sup>th</sup> day of November, 2020.**

**University System of Maryland**  
**Amendment to 42nd Bond Resolution**  
**Additional Projects Authorized**

**Exhibit A**

**UMCP**

**Academic Projects**

Chem Building	\$ 7,760,000
Public Policy Building	23,550,000
Physics Building	3,360,000
Subtotal Academic	<u>34,670,000</u>

**Auxiliary Projects**

Dining Hall	25,000,000
Residence Hall	15,000,000
Subtotal Auxiliary	<u>40,000,000</u>

Total UMCP	<u><u>\$ 74,670,000</u></u>
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**UMB**

**Facilities Renewal**

19-352 620 W.LEXINGTON 1ST FL.	\$ 614,513
Campus Wide Shelter in Place	443,893
SOP Replace Windows	530,508
AHB/SON Replace Fire Pumps & Controllers	527,212
19-366 DAVIDGE HALL ROOF REPAI	1,785,848
Complete Peak Shave Upgrade - owner requested	270,000
19-314 HSHSL Roof Renewal	935,450
13-007 IHV:AE SERV.BLDG.EVALUA	781,945
16-394 108 N Greene -Heating & Exhaust System Replacement	746,154
17-365 HSF I Roof Replacement	742,600
MSTF Roof Renewal	735,776
18-348 EHS ANNEX VOLATILE BLDG	630,240
18-348 EHS ANNEX VOLATILE BLDG	465,686
18-372 BRB 5th-6th FL.SANITARY	456,454
19-311 SSW West Roof Renewal	326,341
SOP Roof Renewal	275,483
Subtotal Facilities Renewal	<u>10,268,103</u>

<b>USG/UMB School of Nursing</b>	<u>3,230,000</u>
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Total UMB/USG	<u><u>\$ 13,498,103</u></u>
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Total Projects Authorized in Amendment to the 42nd Resolution	<u><u>\$ 88,168,103</u></u>
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**BOARD OF REGENTS**SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

**TOPIC:** Fall 2020 Enrollment Update and FY 2021 Estimated FTE Report

**COMMITTEE:** Finance

**DATE OF COMMITTEE MEETING:** November 5, 2020

**SUMMARY:** This annual report provides an overview of the fall undergraduate, graduate and first-professional students for the University System and each institution. Typically, it is the first enrollment update for the fall semester and fiscal year. However, due to the COVID-19 Pandemic and its impact on higher education, the Board received an early enrollment outlook in September, when it reviewed and approved the FY 2021-FY 2030 enrollment projections.

In total, Fall 2020 enrollment decreased (-1,235) for a preliminary total of 170,979 students. The FY 2021 total of 128,946 full-time equivalent (FTE) students was estimated to be 1,232 FTE lower than last fiscal year. While these numbers are below Fall 2019 and FY 2020 figures, the enrollment picture has improved since September. Comparing this enrollment update with the enrollment projections, both enrollment (+1,167) and estimated FTE (+1,615) improved.

The report highlights other trends, compares Fall 2020 enrollment to national trends, and provides data about enrollment over the past 10 years.

**ALTERNATIVE(S):** This item is presented for information purposes.

**FISCAL IMPACT:** This item is presented for information purposes.

**CHANCELLOR'S RECOMMENDATION:** This item is presented for information purposes.

COMMITTEE RECOMMENDATION: REPORT ACCEPTED FOR INFORMATION      DATE: 11/5/20

BOARD ACTION:

DATE:

SUBMITTED BY: Ellen Herbst (301) 445-1923



UNIVERSITY SYSTEM  
*of* MARYLAND

**FALL 2020  
ENROLLMENT UPDATE  
AND  
FY 2021 ESTIMATED FTE  
REPORT**

**Office of Institutional Research, Data & Analytics  
Administration and Finance  
University System of Maryland Office  
November 2020**

## Fall 2020 Enrollment Update & FY 2021 FTE Estimate

### Enrollment Report Background

The purpose of this annual report is to provide the Board of Regents with the updated fall headcount enrollment and full-time equivalent (FTE) enrollment estimate for the current fiscal year. The data are compiled from mandatory Maryland Higher Education Commission (MHEC) preliminary enrollment and the University System of Maryland (USM) credit hour collections. Due to the COVID-19 Pandemic, the enrollment projections were approved earlier in the fall semester, as opposed to when the projections are usually approved, in the spring. This is the first opportunity to compare campus' projected fiscal year FTE, as submitted in the budget request and enrollment projections, to an updated estimated annualized fiscal year FTE based on the credit hours achieved in the fall semester.

The COVID-19 Pandemic continues to disrupt the historic enrollment patterns and campus plans. Based on the fall semester, this report summarizes updated enrollment information and comparisons made to the recent the enrollment projections and previous Fall 2019 semester. For additional information, please contact Chad Muntz, Assistant Vice Chancellor of Institutional Research, Data & Analytics, via e-mail at [cmuntz@usmd.edu](mailto:cmuntz@usmd.edu) or Laura Walker, Senior Data Analyst, via e-mail at [alwalker@usmd.edu](mailto:alwalker@usmd.edu).

### Enrollment Highlights and Trends

The University System of Maryland total enrollment decreased for the second straight year. Although the COVID-19 Pandemic caused significant disruption and uncertainty, the total enrollment is higher than the enrollment projections approved at the beginning of Fall 2020.

- Preliminary Fall 2020 headcount enrollment of 170,979 was down by -1,235 students compared to Fall 2019. However, the enrollment decrease would have been worse without UMGC's increase. The National Student Clearinghouse (NSC) reported a 7% enrollment increase at primarily online institutions. (See Table A, Appendix Tables 1 & 5).
- The estimated FY 2021 FTE of 128,946 was a decrease of -1,232 compared to FY 2020. Excluding UMGC, USM's FTE estimate of 93,381 was a decrease of -1,584 FTE compared to FY 2020. (See Table B).
- First-time, full-time students contributed to the overall enrollment decrease with fewer (-866) beginning in Fall 2020 (13,150) than in Fall 2019 (14,016). Bowie (+160) and UB (+22) enrolled more new first-time students, and UMCP (-8) maintained the size of its 5,300+ cohort. However, all other institutions enrolled fewer first-time, full-time students, and this proved to be consistent with the national public four-year sector -12% enrollment decrease for first-time students as reported by the NSC. (See Tables 3).
- Across the system, undergraduate enrollment was slightly lower (-588). Within this enrollment changes, more students (+1,832) enrolled part-time, which was better than national trends. However, fewer students (-2,420) were full-time and this was consistent with the national trends. (See Table 2).
- Graduate enrollment was down -441 students, which was in the opposite direction of the national trend (+4%). Most of the decrease was with full-time graduate students (See Table 2).
- Total enrollment of 11,244 at the USM's Historically Black Institutions (HBIs) decreased (-537) compared to Fall 2019, and this was also consistent with the national enrollment trend for HBIs. (See Tables 4 & 5).

## Fall 2020 Enrollment Update &amp; FY 2021 FTE Estimate

## Fall 2020 Enrollment vs Fall 2020 Enrollment Projections

As mentioned, the USM submitted to the Board of Regents a ten-year enrollment projection in Fall 2020 instead of Spring 2020. Based on information provided by the universities, Table A compares the Fall 2020 enrollment to the projections as well as the Fall 2019 actual enrollment.

**Table A. The University System of Maryland  
Fall 2020 Enrollment Compared to Enrollment Projections**

	Fall 2019 Actual	Fall 2020 Enrollment Projection	Fall 2020 Actual Enrollment	Change Over	
				Fall 2020 Projection	Fall 2020 Actual
				Fall 20 Actual - Fall 20 Projection	Fall 20 Actual - Fall 19 Actual
BSU	6,171	6,171	6,250	79	79
CSU	2,724	2,231	2,348	117	-376
FSU	5,178	4,851	4,503	-348	-675
SU	8,617	8,260	8,124	-136	-493
TU	22,709	21,673	21,917	244	-792
UB	4,476	4,000	4,169	169	-307
UMB	6,827	7,059	7,137	78	310
UMBC	13,602	13,240	13,497	257	-105
UMCP	40,743	40,000	40,709	709	-34
UMES	2,886	2,648	2,646	-2	-240
UMGC	58,281	59,679	59,679	0	1,398
USM	172,214	169,812	170,979	1,167	-1,235

Source--USM Enrollment Projections; MHEC EIS and S-7 updated 10-9-20

Initially, before the COVID-19 Pandemic, the total enrollment at USM was expected to be lower than Fall 2019. Following the global pandemic shutdown during the Spring 2020 semester, the enrollment outlook was more negative, expecting fewer students due to in-person restrictions. However, enrollment outlook steadily improved since last spring. Currently, the USM is down -1,235 students compared to Fall 2019, and this may improve after UMG's final enrollment numbers are known.

Across the USM, and for almost all institutions, enrollment was higher than the Fall 2020 projections, due to late registrations. The largest campus enrollment increases compared to the Fall 2020 enrollment projections provided at the beginning of the term were at the research institutions, UMCP (+709) and UMBC (+257), partially attributable to maintaining the same size of the first-time cohort (Table 1 & 3). In contrast, two of the USM comprehensive institutions located outside of the Baltimore-Washington corridor experienced enrollment decreases compared to the fall projections, Frostburg (-348) and Salisbury (-136), partially attributable to decreases in the first-time population (Table 1 & Table 3). In summary, it can be reasonably concluded that first-time enrollment changes contributed to the actual enrollment changes at each institution in Fall 2020.

## Fall 2020 Enrollment Update &amp; FY 2021 FTE Estimate

**FY 2021 Full-Time Equivalent (FTE) Student Estimate**

Full-time equivalent (FTE) students were calculated from the actual Fall 2020 credit hour enrollment of the students. The table below provides an estimated FY 2021 FTE for each USM institution. This annualized FTE estimate used a conservative methodology that calculated the proportion of spring to fall credit hours by level for each institution for the recent five fiscal years. The USM estimate was then compared with each institution's submitted Fall 2021 projections and the FY 2020 actuals. This methodology assumed that Spring 2021 will have enrollment following the same historic patterns and will not be further disrupted by the COVID-19 Pandemic.

**Table B. The University System of Maryland  
FY 2021 USM FTE Estimate**

	Change Over				
	FY 2020 Actual FTE	FY 2021 Enrollment Projection	FY 2021 Annualized <u>ESTIMATED FTE</u>	FY 2021 Enrollment Projection	FY 2020 Actual
			Per Fall 2020 Credit Hour Enrollment	FY20 Estimate- FY Projection	FY 21 Estimate - FY 20 Actual
BSU	5,084	5,084	5,150	66	66
CSU	2,125	1,681	1,875	194	-250
FSU	4,012	3,954	3,773	-181	-239
SU	7,710	7,330	7,312	-18	-398
TU	18,732	17,890	18,182	292	-550
UB	2,931	2,647	2,773	126	-158
UMB	6,859	6,964	6,964	0	105
UMBC	11,068	10,650	11,020	370	-48
UMCP	33,776	33,100	33,861	761	85
UMES	2,668	2,466	2,471	5	-197
UMGC	35,213	35,565	35,565	0	352
USM	130,178	127,331	128,946	1,615	-1,232

Estimated FTE updated from Fall 2020 actual credit hours of enrollment and USM/Campus estimates

FY 2021 FTE Estimate assumes Spring 2021 enrollment will be similar to pre-Covid19 patterns

FY 2021 Projected FTE is from the Enrollment Projections Approved by the Board in September 2020

Source--Credit Hours of Enrollment by Term/Level

Like the Fall 2020 headcount improvement, the total credit hours generated reflected more course enrollment than projected. The FY 2021 FTE Estimate is expected to be about 1,200 less than FY 2020 actual FTE and more than 1,600 FTE higher than the FY 2021 Projected FTE. UMGH (+352), UMCP (+85) and Bowie (+66) are on track to increase FTE compared to Fall 2019, and almost all institutions are on track to meet or exceed the projected FTE included in the Fall 2020 Enrollment Projections. Finally, this FTE estimate mirrors the institutional enrollment patterns of full-time and part-time students (see Table 5).

## Fall 2020 Enrollment Update & FY 2021 FTE Estimate

### Reference

*COVID-19: Stay Informed.* (2020, October 15). National Student Clearinghouse Research Center.  
Retrieved at <https://nscresearchcenter.org/stay-informed/>

**TABLE 1**  
**UNIVERSITY SYSTEM OF MARYLAND**  
**CHANGES IN HEADCOUNT ENROLLMENT\***  
**FALL 2019-2020**

Fall 2019/2020 Headcount Change			
	Fall 2020 Headcount	Δ N from 2019	% Change from 2019
Bowie State University	6,250	79	1.3%
Coppin State University	2,348	(376)	-13.8%
Frostburg State University	4,503	(675)	-13.0%
Salisbury University	8,124	(493)	-5.7%
Towson University	21,917	(792)	-3.5%
University of Baltimore	4,169	(307)	-6.9%
University of Maryland, Baltimore	7,137	310	4.5%
University of Maryland, Baltimore County	13,497	(105)	-0.8%
University of Maryland, College Park	40,709	(34)	-0.1%
University of Maryland Eastern Shore	2,646	(240)	-8.3%
University of Maryland Global Campus*	59,679	1,398	2.4%
<b>USM Total</b>	<b>170,979</b>	<b>(1,235)</b>	<b>-0.7%</b>

Source: MHEC EIS (2011-2019) MHEC S-7 (2020)

**TABLE 1b**  
**UNIVERSITY SYSTEM OF MARYLAND**  
**CHANGES IN HEADCOUNT ENROLLMENT**  
**EXCLUDING UMGC\***  
**Fall 2019-2020**

Fall 2019/2020 Headcount Change			
	Fall 2020 Headcount	Δ N from 2019	% Change from 2019
Bowie State University	6,250	79	1.3%
Coppin State University	2,348	(376)	-13.8%
Frostburg State University	4,503	(675)	-13.0%
Salisbury University	8,124	(493)	-5.7%
Towson University	21,917	(792)	-3.5%
University of Baltimore	4,169	(307)	-6.9%
University of Maryland, Baltimore	7,137	310	4.5%
University of Maryland, Baltimore County	13,497	(105)	-0.8%
University of Maryland, College Park	40,709	(34)	-0.1%
University of Maryland Eastern Shore	2,646	(240)	-8.3%
<b>USM Total</b>	<b>111,300</b>	<b>(2,633)</b>	<b>-3.9%</b>

Source: MHEC EIS (2011-2019) MHEC S-7 (2020)

\*\*Beginning in FY 2015, all UMGC online courses are administered and counted as stateside. Beginning in FY 2016, upon approval by the Middle States Commission on Higher Education for a status change of the overseas locations, all UMGC courses, irrespective of geographic location and instructional modality, are reported as a single, worldwide figure for the institution as a whole. Beginning in FY 2017, all UMCP Freshmen Connection Spring admits who attend the Fall semester are included in the Fall headcount.

**TABLE 2**  
**ENROLLMENT BY STUDENT LEVEL AND STATUS\***  
**Fall 2011-2020**

Student Level & Status	Fall									
	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
<b>Undergraduates</b>										
<b>Full-Time: N</b>	78,693	79,384	79,654	82,667	83,179	85,092	86,361	86,685	85,234	82,814
<b>%</b>	50.5%	51.0%	52.0%	51.0%	50.6%	49.5%	49.3%	49.1%	49.5%	48.4%
<b>Part-Time: N</b>	32,562	32,290	31,446	37,628	39,656	45,306	46,881	48,441	47,151	48,983
<b>%</b>	20.9%	20.8%	20.5%	23.2%	24.1%	26.3%	26.8%	27.5%	27.4%	28.6%
<b>Total: N</b>	111,255	111,674	111,100	120,295	122,835	130,398	133,242	135,126	132,385	131,797
<b>%</b>	71.4%	71.8%	72.5%	74.3%	74.7%	75.8%	76.1%	76.6%	76.9%	77.1%
<b>Graduate/First-Professional</b>										
<b>Full-Time: N</b>	17,603	17,920	17,678	17,739	17,734	17,731	17,653	17,653	17,337	16,896
<b>%</b>	11.3%	11.5%	11.5%	11.0%	10.8%	10.3%	10.1%	10.0%	10.1%	9.9%
<b>Part-Time: N</b>	26,913	26,009	24,540	23,966	23,930	23,867	24,281	23,644	22,492	22,286
<b>%</b>	17.3%	16.7%	16.0%	14.8%	14.5%	13.9%	13.9%	13.4%	13.1%	13.0%
<b>Total: N</b>	44,516	43,929	42,218	41,705	41,664	41,598	41,934	41,297	39,829	39,182
<b>%</b>	28.6%	28.2%	27.5%	25.7%	25.3%	24.2%	23.9%	23.4%	23.1%	22.9%
<b>All Students</b>										
<b>Total</b>	<b>155,771</b>	<b>155,603</b>	<b>153,318</b>	<b>162,000</b>	<b>164,499</b>	<b>171,996</b>	<b>175,176</b>	<b>176,423</b>	<b>172,214</b>	<b>170,979</b>

Source: MHEC EIS (2011-2019) MHEC S-7 (2020)

Note: Percentages are % of total headcount for each fall term.

\*Beginning in FY 2015, all UMGC online courses are administered and counted as stateside. Beginning in FY 2016, upon approval by the Middle States Commission on Higher Education for a status change of the overseas locations, all UMGC courses, irrespective of geographic location and instructional modality, are reported as a single, worldwide figure for the institution as a whole. Beginning in FY 2017, all UMCP Freshmen Connection Spring admits who attend the Fall semester are included in the Fall headcount.



**TABLE 3**  
**TRENDS IN ENROLLMENT OF FIRST-TIME FULL-TIME UNDERGRADUATES\***  
**Fall 2011-2020**

First-Time Full-Time Undergraduates

<b>Institution</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>One-Year % Change</b>	<b>Five-Year % Change</b>
BSU	573	477	625	594	559	958	1,075	898	801	961	20.0%	71.9%
CSU	478	425	353	267	242	383	383	389	429	291	-32.2%	20.2%
FSU	825	814	889	957	931	829	774	735	739	628	-15.0%	-32.5%
SU	1,246	1,230	1,241	1,144	1,186	1,328	1,326	1,285	1,467	1,214	-17.2%	2.4%
TU	2,536	2,463	2,747	2,711	2,708	2,750	2,735	2,990	2,789	2,380	-14.7%	-12.1%
UB	155	215	236	226	137	138	107	76	40	62	55.0%	-54.7%
UMBC	1,416	1,547	1,653	1,616	1,543	1,518	1,759	1,777	1,692	1,652	-2.4%	7.1%
UMCP	3,989	3,893	4,011	4,128	3,934	4,543	5,178	6,021	5,326	5,318	-0.2%	35.2%
UMES	748	882	604	756	1,011	698	560	501	508	465	-8.5%	-54.0%
UMGC	158	157	87	175	149	192	131	132	225	179	-20.4%	20.1%
<b>USM</b>	<b>12,124</b>	<b>12,103</b>	<b>12,446</b>	<b>12,574</b>	<b>12,400</b>	<b>13,337</b>	<b>14,028</b>	<b>14,804</b>	<b>14,016</b>	<b>13,150</b>	<b>-6.2%</b>	<b>6.0%</b>
<b>MD H.S. Grads**</b>	67,579	68,046**	67,601**	65,968**	64,586**	63,747**	62,010**	63,485**	62,688**	64,127**		

Source: MHEC Preliminary Opening Fall Enrollment (2020) and EIS (2011-2019) Public and non-public high school graduates data -WICHE

\*\*The 2012-2020 actual Maryland high school graduates is currently not available; WICHE estimates used.

\*Beginning in FY 2015, all UMGC online courses are administered and counted as stateside. Beginning in FY 2016, upon approval by the Middle States Commission on Higher Education for a status change of the overseas locations, all UMGC courses, irrespective of geographic location and instructional modality, are reported as a single, worldwide figure for the institution as a whole. Beginning in FY 2017, all UMCP Freshmen Connection Spring admits who attend the Fall semester are included in the Fall headcount.

**TABLE 4**  
**HISTORICALLY BLACK INSTITUTIONS**  
**ENROLLMENT TRENDS**  
**Fall 2011-2020**

Year	Undergraduate	Graduate	Total	% Change Total
Fall 2011	11,609	2,321	13,930	0.1%
Fall 2012	11,168	2,319	13,487	-3.2%
Fall 2013	10,808	2,356	13,164	-2.4%
Fall 2014	10,710	2,397	13,107	-0.4%
Fall 2015	10,725	2,278	13,003	-0.8%
Fall 2016	10,495	2,017	12,512	-3.8%
Fall 2017	10,555	1,976	12,531	0.2%
Fall 2018	10,267	1,984	12,251	-2.2%
Fall 2019	9,943	1,838	11,781	-3.8%
Fall 2020	9,531	1,713	11,244	-8.2%

Source: MHEC EIS (2011-2019) MHEC S-7 (2020)

TABLE 5 ENROLLMENT TRENDS BY INSTITUTION* Fall 2011-2020							
Institution	Undergraduates		Graduates/First Prof.		Total Headcount	Annual % Change	% of USM
	Full-Time	Part-Time	Full-Time	Part-Time			
Bowie State University							
Fall 2011	3,669	783	402	754	5,608	0.5%	4%
Fall 2012	3,493	790	396	742	5,421	-3.3%	3%
Fall 2013	3,521	837	453	750	5,561	2.6%	4%
Fall 2014	3,675	781	513	726	5,695	2.4%	4%
Fall 2015	3,533	782	474	641	5,430	-4.7%	3%
Fall 2016	3,939	772	412	546	5,669	4.4%	3%
Fall 2017	4,389	798	409	552	6,148	8.4%	4%
Fall 2018	4,421	887	463	549	6,320	2.8%	4%
Fall 2019	4,329	898	476	468	6,171	-2.4%	4%
Fall 2020	4,429	925	444	452	6,250	1.3%	4%
Coppin State University							
Fall 2011	2,368	927	155	363	3,813	0.3%	2%
Fall 2012	2,442	685	142	343	3,612	-5.3%	2%
Fall 2013	2,251	669	133	330	3,383	-6.3%	2%
Fall 2014	2,046	638	151	298	3,133	-7.4%	2%
Fall 2015	2,007	661	137	303	3,108	-0.8%	2%
Fall 2016	1,888	619	133	299	2,939	-5.4%	2%
Fall 2017	1,854	653	150	236	2,893	-1.6%	2%
Fall 2018	1,765	597	121	255	2,738	-5.4%	2%
Fall 2019	1,804	579	113	228	2,724	-0.5%	2%
Fall 2020	1,606	502	74	166	2,348	-13.8%	1%
Frostburg State University							
Fall 2011	4,372	359	234	464	5,429	-0.7%	3%
Fall 2012	4,253	378	264	526	5,421	-0.1%	3%
Fall 2013	4,192	511	216	554	5,473	1.0%	4%
Fall 2014	4,228	687	209	521	5,645	3.1%	3%
Fall 2015	4,176	785	238	557	5,756	2.0%	3%
Fall 2016	4,141	743	243	549	5,676	-1.4%	3%
Fall 2017	3,849	876	176	495	5,396	-4.9%	3%
Fall 2018	3,805	833	205	451	5,294	-1.9%	3%
Fall 2019	3,522	907	236	513	5,178	-2.2%	3%
Fall 2020	3,096	668	246	493	4,503	-13.0%	3%

TABLE 5 ENROLLMENT TRENDS BY INSTITUTION* Fall 2011-2020							
Institution	Undergraduates		Graduates/First Prof.		Total Headcount	Annual % Change	% of USM
	Full-Time	Part-Time	Full-Time	Part-Time			
Salisbury University							
Fall 2011	7,304	588	298	416	8,606	2.5%	6%
Fall 2012	7,323	646	288	400	8,657	0.6%	6%
Fall 2013	7,374	630	291	348	8,643	-0.2%	6%
Fall 2014	7,350	647	354	419	8,770	1.5%	5%
Fall 2015	7,148	701	403	419	8,671	-1.1%	5%
Fall 2016	7,250	611	489	398	8,748	0.9%	5%
Fall 2017	7,191	591	520	412	8,714	-0.4%	5%
Fall 2018	7,081	569	516	401	8,567	-1.7%	5%
Fall 2019	7,090	596	530	401	8,617	0.6%	5%
Fall 2020	6,621	529	540	434	8,124	-5.7%	5%
Towson University							
Fall 2011	15,590	1,927	1,266	2,681	21,464	-1.7%	14%
Fall 2012	15,852	2,136	1,200	2,772	21,960	2.3%	14%
Fall 2013	16,588	2,191	1,198	2,522	22,499	2.5%	15%
Fall 2014	16,575	2,232	1,115	2,363	22,285	-1.0%	14%
Fall 2015	16,768	2,281	1,078	2,157	22,284	0.0%	14%
Fall 2016	16,893	2,305	1,081	2,064	22,343	0.3%	13%
Fall 2017	17,106	2,490	1,068	2,041	22,705	1.6%	13%
Fall 2018	17,350	2,468	1,035	2,070	22,923	1.0%	13%
Fall 2019	17,209	2,410	1,017	2,073	22,709	-0.9%	13%
Fall 2020	16,238	2,492	1,058	2,129	21,917	-3.5%	13%
University of Baltimore							
Fall 2011	1,944	1,313	1,456	1,693	6,406	-1.5%	4%
Fall 2012	2,012	1,414	1,446	1,686	6,558	2.4%	4%
Fall 2013	2,061	1,465	1,396	1,596	6,518	-0.6%	4%
Fall 2014	2,089	1,396	1,295	1,642	6,422	-1.5%	4%
Fall 2015	2,056	1,288	1,235	1,650	6,229	-3.0%	4%
Fall 2016	1,995	1,227	1,153	1,608	5,983	-3.9%	3%
Fall 2017	1,716	1,233	1,084	1,532	5,565	-7.0%	3%
Fall 2018	1,470	1,099	1,039	1,433	5,041	-9.4%	3%
Fall 2019	1,192	905	997	1,382	4,476	-11.2%	3%
Fall 2020	1,050	849	1,049	1,221	4,169	-6.9%	2%

TABLE 5 ENROLLMENT TRENDS BY INSTITUTION* Fall 2011-2020							
Institution	Undergraduates		Graduates/First Prof.		Total Headcount	Annual % Change	% of USM
	Full-Time	Part-Time	Full-Time	Part-Time			
University of Maryland, Baltimore							
Fall 2011	509	222	4,518	1,144	6,393	0.7%	4%
Fall 2012	559	169	4,544	1,096	6,368	-0.4%	4%
Fall 2013	549	197	4,479	1,059	6,284	-1.3%	4%
Fall 2014	571	221	4,392	1,092	6,276	-0.1%	4%
Fall 2015	620	246	4,325	1,138	6,329	0.8%	4%
Fall 2016	704	201	4,463	1,114	6,482	2.4%	4%
Fall 2017	718	211	4,514	1,260	6,703	3.4%	4%
Fall 2018	702	207	4,500	1,368	6,777	1.1%	4%
Fall 2019	695	183	4,399	1,550	6,827	0.7%	4%
Fall 2020	707	191	4,372	1,867	7,137	4.5%	4%
University of Maryland Baltimore County							
Fall 2011	9,051	1,522	1,136	1,490	13,199	2.4%	8%
Fall 2012	9,371	1,582	1,134	1,550	13,637	3.3%	9%
Fall 2013	9,508	1,628	1,191	1,581	13,908	2.0%	9%
Fall 2014	9,653	1,726	1,189	1,411	13,979	0.5%	9%
Fall 2015	9,592	1,651	1,160	1,436	13,839	-1.0%	8%
Fall 2016	9,484	1,658	1,167	1,331	13,640	-1.4%	8%
Fall 2017	9,543	1,691	1,126	1,302	13,662	0.2%	8%
Fall 2018	9,623	1,637	1,205	1,302	13,767	0.8%	8%
Fall 2019	9,436	1,624	1,257	1,285	13,602	-1.2%	8%
Fall 2020	9,220	1,712	1,216	1,349	13,497	-0.8%	8%
University of Maryland, College Park							
Fall 2011	24,697	2,129	7,536	3,269	37,631	0.0%	24%
Fall 2012	24,486	2,052	7,788	2,921	37,247	-1.0%	24%
Fall 2013	24,522	2,136	7,677	2,937	37,272	0.1%	24%
Fall 2014	25,027	2,029	7,911	2,643	37,610	0.9%	23%
Fall 2015	25,410	2,033	8,091	2,606	38,140	1.4%	23%
Fall 2016	26,350	2,122	8,094	2,517	39,083	2.5%	23%
Fall 2017	27,708	2,160	8,107	2,546	40,521	3.7%	23%
Fall 2018	28,501	2,261	8,102	2,336	41,200	1.7%	23%
Fall 2019	28,390	2,121	7,877	2,355	40,743	-1.1%	24%
Fall 2020	28,160	2,715	7,460	2,374	40,709	-0.1%	24%

**TABLE 5**  
**ENROLLMENT TRENDS BY INSTITUTION\***  
**Fall 2011-2020**

Institution	Undergraduates		Graduates/First Prof.		Total Headcount	Annual % Change	% of USM
	Full-Time	Part-Time	Full-Time	Part-Time			
University of Maryland Eastern Shore							
Fall 2011	3,536	326	365	282	4,509	-0.7%	3%
Fall 2012	3,449	309	441	255	4,454	-1.2%	3%
Fall 2013	3,171	359	430	260	4,220	-5.3%	3%
Fall 2014	3,192	378	442	267	4,279	1.4%	3%
Fall 2015	3,291	451	485	238	4,465	4.3%	3%
Fall 2016	2,918	359	397	230	3,904	-12.6%	2%
Fall 2017	2,573	288	414	215	3,490	-10.6%	2%
Fall 2018	2,360	237	370	226	3,193	-8.5%	2%
Fall 2019	2,095	238	345	208	2,886	-9.6%	2%
Fall 2020	1,834	235	350	227	2,646	-8.3%	2%
University of Maryland Global Campus - Stateside							
Fall 2011	5,653	22,466	237	14,357	42,713	7.9%	27%
Fall 2012	6,144	22,129	277	13,718	42,268	-1.0%	27%
Fall 2013	5,917	20,823	214	12,603	39,557	-6.4%	26%
Fall 2014	8,261	26,893	168	12,584	47,906	21.1%	30%
Fall 2015	8,578	28,777	108	12,785	50,248	4.9%	31%
Fall 2016	9,530	34,689	99	13,211	57,529	14.5%	33%
Fall 2017	9,714	35,890	85	13,690	59,379	3.2%	34%
Fall 2018	9,607	37,646	97	13,253	60,603	2.1%	34%
Fall 2019	9,472	36,690	90	12,029	58,281	-3.8%	34%
Fall 2020	9,853	38,165	87	11,574	59,679	2.4%	35%
University System of Maryland - Totals (Stateside)							
Fall 2011	78,693	32,562	17,603	26,913	155,771	2.1%	100%
Fall 2012	79,384	32,290	17,920	26,009	155,603	-0.1%	100%
Fall 2013	79,654	31,446	17,678	24,540	153,318	-1.5%	100%
Fall 2014	82,667	37,628	17,739	23,966	162,000	5.7%	100%
Fall 2015	83,179	39,656	17,734	23,930	164,499	1.5%	100%
Fall 2016	85,092	45,306	17,731	23,867	171,996	4.6%	100%
Fall 2017	86,361	46,881	17,653	24,281	175,176	1.8%	100%
Fall 2018	86,685	48,441	17,653	23,644	176,423	0.7%	100%
Fall 2019	85,234	47,151	17,337	22,492	172,214	-2.4%	100%
Fall 2020	82,814	48,983	16,896	22,286	170,979	-0.7%	100%

\*Beginning in FY 2015, all UMGC online courses are administered and counted as stateside. Beginning in FY 2016, upon approval by the Middle States Commission on Higher Education for a status change of the overseas locations, all UMGC courses, irrespective of geographic location and instructional modality, are reported as a single, worldwide figure for the institution as a whole. Beginning in FY 2017, all UMCP Freshmen Connection Spring admits who attend the Fall semester are included in the Fall headcount.

**BOARD OF REGENTS**SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

**TOPIC:** Revision to the Bylaws of the Board of Regents of the University System of Maryland and Establish a new Standing Committee on Intercollegiate Athletics and Student-Athlete Health and Welfare

**COMMITTEE:** Committee of the Whole

**DATE OF MEETING:** November 13, 2020

**SUMMARY:** The Board of Regents has responsibility for the establishment of policy for all areas of activity and operation of the institutions of the University System of Maryland, including intercollegiate athletics.

Since the last revision to the Board's Policy on Reports on Intercollegiate Athletics in 2012, a workgroup comprised of Regents and supported by USM Office staff has been convening several times annually to review information provided under the policy; meet with institution presidents, athletic directors, and their staff in order to understand approaches, institutional practices, and policies relating to intercollegiate athletics; and to consider Board policy needs that are relevant to intercollegiate athletics.

Over the last several years, the health and welfare of student-athletes has become an increasing focus of the Board. The addition of the proposed standing committee will provide the members with an opportunity to engage in dialogue on specific issues relevant to student-athletes, develop and recommend policy for the full Board's consideration, while at the same time providing an avenue for public input.

This item would formalize the establishment of a new standing Committee on Intercollegiate Athletics and Student-Athlete Health and Welfare. Over the next several months, a committee charter will be developed. The Chancellor will return to the Board of in April 2021 with a proposed charter for the new standing committee, which would start its work beginning with the reporting cycle for fiscal year 2022.

**ALTERNATIVES:** The Board could expand or otherwise modify the description and responsibilities of the current Workgroup.

**FISCAL IMPACT:** There is no fiscal impact associated with this item.

**CHANCELLOR'S RECOMMENDATION:** That the Board of Regents dissolve the Workgroup on Intercollegiate Athletics, effective June 30, 2021; amend Article X of the Bylaws; and approve the creation of a new standing Committee on Intercollegiate Athletics and Student-Athlete Health and Welfare, effective July 1, 2021. The Chancellor shall develop and present a proposed charter for the new standing committee to the Board when it meets in April 2021.

COMMITTEE RECOMMENDATION:

DATE:

BOARD ACTION:

DATE:

SUBMITTED BY: Ellen Herbst (301) 445-1923



## BOARD OF REGENTS

SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

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**TOPIC:** UMGC President Search Update

**COMMITTEE:** Committee of the Whole

**DATE OF MEETING:** November 13, 2020

**SUMMARY:** Regent Malhotra will provide the Board with an update on the UMGC president search.

**ALTERNATIVE(S):** The board can choose to not discuss this matter.

**FISCAL IMPACT:** TBD.

**CHANCELLOR'S RECOMMENDATION:** This is an information item.

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COMMITTEE ACTION:	DATE: November 13, 2020
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BOARD ACTION:	DATE:
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SUBMITTED BY: Denise Wilkerson, [dwilkerson@usmd.edu](mailto:dwilkerson@usmd.edu), 410-576-5734

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**BOARD OF REGENTS**

SUMMARY OF ITEM FOR ACTION,  
INFORMATION OR DISCUSSION

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**TOPIC:** Convening Closed Session

**COMMITTEE:** Committee of the Whole

**DATE OF MEETING:** November 13, 2020

**SUMMARY:** The Open Meetings Act permits public bodies to close their meetings to the public in special circumstances outlined in §3-305 of the Act and to carry out administrative functions exempted by §3-103 of the Act. The Board of Regents will now vote to reconvene in closed session. As required by law, the vote on the closing of the session will be recorded. A written statement of the reason(s) for closing the meeting, including a citation of the authority under §3-305 and a listing of the topics to be discussed, is available for public review.

It is possible that an issue could arise during a closed session that the Board determines should be discussed in open session or added to the closed session agenda for discussion. In that event, the Board would reconvene in open session to discuss the open session topic or to vote to reconvene in closed session to discuss the additional closed session topic.

**ALTERNATIVE(S):** No alternative is suggested.

**FISCAL IMPACT:** There is no fiscal impact

**CHANCELLOR'S RECOMMENDATION:** The Chancellor recommends that the BOR vote to reconvene in closed session.

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COMMITTEE ACTION:

DATE:

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BOARD ACTION:

DATE:

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SUBMITTED BY: Denise Wilkerson, [dwilkerson@usmd.edu](mailto:dwilkerson@usmd.edu), 301-445-1906

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STATEMENT REGARDING CLOSING A MEETING  
OF THE USM BOARD OF REGENTS

Date: November 13, 2020  
Time: Approximately 10:30 a.m.  
Location: Zoom

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STATUTORY AUTHORITY TO CLOSE A SESSION

Md. Code, General Provisions Article §3-305(b):

- (1) To discuss:
  - [X] (i) The appointment, employment, assignment, promotion, discipline, demotion, compensation, removal, resignation, or performance evaluation of appointees, employees, or officials over whom it has jurisdiction; or
  - [X] (ii) Any other personnel matter that affects one or more specific individuals.
- (2) [X] To protect the privacy or reputation of individuals with respect to a matter that is not related to public business.
- (3) [ ] To consider the acquisition of real property for a public purpose and matters directly related thereto.
- (4) [ ] To consider a preliminary matter that concerns the proposal for a business or industrial organization to locate, expand, or remain in the State.
- (5) [ ] To consider the investment of public funds.
- (6) [ ] To consider the marketing of public securities.
- (7) [ ] To consult with counsel to obtain legal advice on a legal matter.
- (8) [ ] To consult with staff, consultants, or other individuals about pending or potential litigation.
- (9) [X] To conduct collective bargaining negotiations or consider matters that relate to the negotiations.

## FORM OF STATEMENT FOR CLOSING A MEETING

PAGE TWO

- (10) [ ] To discuss public security, if the public body determines that public discussions would constitute a risk to the public or public security, including:
- (i) the deployment of fire and police services and staff; and
  - (ii) the development and implementation of emergency plans.
- (11) [ ] To prepare, administer or grade a scholastic, licensing, or qualifying examination.
- (12) [ ] To conduct or discuss an investigative proceeding on actual or possible criminal conduct.
- (13) [ ] To comply with a specific constitutional, statutory, or judicially imposed requirement that prevents public disclosures about a particular proceeding or matter.
- (14) [X] Before a contract is awarded or bids are opened, to discuss a matter directly related to a negotiation strategy or the contents of a bid or proposal, if public discussion or disclosure would adversely impact the ability of the public body to participate in the competitive bidding or proposal process.
- (15) [ ] To discuss cybersecurity, if the public body determines that public discussion would constitute a risk to:
- (i) security assessments or deployments relating to information resources technology;
  - (ii) network security information, including information that is:
    1. related to passwords, personal identification numbers, access codes, encryption, or other components of the security system of a governmental entity;
    2. collected, assembled, or maintained by or for a governmental entity to prevent, detect, or investigate criminal activity; or
    3. related to an assessment, made by or for a governmental entity or maintained by a governmental entity, of the vulnerability of a network to criminal activity; or
  - (iii) deployments or implementation of security personnel, critical infrastructure, or security devices.

Md. Code, General Provisions Article §3-103(a)(1)(i):

- [X] Administrative Matters

TOPICS TO BE DISCUSSED:

1. Meeting with Presidents Breaux and Wight as part of their performance reviews;
2. Consideration of three naming requests by two separate institutions;
3. Ratification of MOU between USM institution and the MCEA for Nonexempt Employees;
4. To consider the awarding of new contracts for ERP services and implementation consultants;
5. Discussion of two specific personnel matters at two USM institution; and
6. USM communication strategy regarding collective bargaining matters.

REASON FOR CLOSING:

1. To maintain confidentiality of personnel and personal information regarding specific employees' performance evaluations (§3-305(b)(1));
2. To maintain confidentiality of personal and personnel related information concerning two individuals who are proposed to have buildings named after them at an institution (§3-305(b)(1)(i) and (2));
3. To maintain confidentiality regarding collective bargaining negotiations at USM institutions (§3-305(b)(9));
4. To maintain confidentiality of discussions of bid proposals prior to BOR approval and the awarding of the new contracts (§3-305(b)(14)); and
5. To maintain confidentiality of two specific personnel matters at two USM institution (§3-305(b)(1))
6. To handle an administrative matter concerning USM communication strategy regarding collective bargaining matters (§3-103(a)(1)(i)).