



UNIVERSITY SYSTEM OF MARYLAND

Form 3A – Certification of Health Care Provider

Adapted from U.S. Department of Labor Wage & Hour Division – FORM WH-380-E (Employee’s Serious Health Condition)

Confidential Medical Record – Maintain Separately from Personnel File

Attention Health Care Provider: Return completed form to the employee.

This certification is used to evaluate whether the employee’s condition qualifies under the federal Family and Medical Leave Act (FMLA) and/or Maryland Paid Family and Medical Leave (PFML). Approval and designation of leave are determined separately by the employer.

Section I – Employer

Either the employee or the employer may complete this section.

FMLA and PFML allow an employer to require an employee seeking leave due to a serious health condition to submit a timely, complete, and sufficient medical certification issued by the employee’s health care provider. *See 29 U.S.C. § 2613; 29 C.F.R. § 825.305 and Annotated Code of Maryland, State Personnel & Pensions Article, §9-1003, et seq.*

The employer must generally allow at least fifteen (15) calendar days for the employee to return this certification unless it is not practicable despite the employee’s diligent, good faith efforts.

Employers must maintain medical certifications as confidential medical records in files separate from personnel records in accordance with applicable law.

Employee Name: _____ Employee Job Title: _____

Employer Name: _____
(Part of the University System of Maryland)

Employee’s Regular Work Schedule: _____

Date Certification Requested:

Certification Due Date:

(at least 15 calendar days)



Job Description: Attached Not Attached

Statement of Essential Job Functions: _____

(The essential functions are determined based on the position held at the time leave was requested or began, whichever is earlier.)

Section II – Health Care Provider

Please complete all relevant parts of this section and sign the form.

For FMLA purposes, a “serious health condition” means an illness, injury, impairment, or physical or mental condition that involves inpatient care or continuing treatment by a health care provider. Definitions are provided at the end of this form.

Limit your responses to the condition(s) for which leave is requested.

Do not provide information about genetic tests, genetic services, or family medical history.

Health Care Provider Name (Print): _____

Business Address: _____

Type of Practice / Medical Specialty: _____

Telephone: _____ Fax: _____ Email (optional): _____

Part A: Medical Information

Limit your response to the medical condition(s) for which the employee is seeking FMLA leave. Your answers should be your **best estimate** based upon your medical knowledge, experience, and examination of the patient. **After completing Part A, complete Part B to provide information about the amount of leave needed.** Note: For FMLA purposes, “incapacity” means the inability to work, attend school, or perform regular daily activities due to the condition, treatment of the condition, or recovery from the condition. Do not provide information about genetic tests.

1. State the approximate date the condition started or will start _____

2. Provide your **best estimate** of how long the condition lasted or will last: _____

Employee Name: _____

3. Check the box(es) for the questions below, as applicable. For all box(es) checked, the amount of leave needed must be provided in Part B.

Inpatient Care: The patient (has been / is expected to be) admitted for an overnight stay in a hospital, hospice, or residential medical care facility on the following date(s): _____

Incapacity plus Treatment (e.g. outpatient surgery, strep throat): Due to the condition, the patient (has been / is expected to be) incapacitated for *more than* three consecutive, full calendar days from _____ (nm/dd/yyyy) to _____ (mm/dd/yyyy).

The patient (was / will be) seen on the following date(s): _____

The condition (has / has not) also resulted in a course of continuing treatment under the supervision of a health care provider (e.g. *prescription medication (other than over-the-counter) or therapy requiring special equipment*)

Pregnancy: The condition is pregnancy. Expected delivery date: _____

Chronic Conditions: (e.g. *asthma, migraine headaches*) Due to the condition, it is medically necessary for the patient to have treatment visits at least twice per year.

Permanent or Long Term Conditions: (e.g. *Alzheimer's, terminal stages of cancer*) Due to the condition, incapacity is permanent or long term and requires the continuing supervision of a health care provider (even if active treatment is not being provided).

Conditions requiring Multiple Treatments: (e.g. *chemotherapy treatments, restorative surgery*) Due to the condition, it is medically necessary for the patient to receive multiple treatments.

None of the above: If none of the above condition(s) were checked, (i.e., inpatient care, pregnancy) no additional information is needed. Go to page 4 to sign and date the form.

4. If needed, briefly describe other appropriate medical facts related to the condition(s) for which the employee seeks FMLA leave. (e.g., *use of nebulizer, dialysis*) _____

Employee Name: _____

PART B: Amount of Leave Needed

For the medical condition(s) checked in Part A, complete all that apply. Several questions seek a response as to the frequency or duration of a condition, treatment, etc. Your answer should be your **best estimate** based upon your medical knowledge, experience, and examination of the patient. Be as specific as you can; terms such as "lifetime," "unknown," or "indeterminate" may not be sufficient to determine FMLA coverage.

5. Due to the condition, the patient (had / will have) **planned medical treatment(s)** (scheduled medical visits) (*e.g. psychotherapy, prenatal appointments*) on the following date(s): _____

6. Due to the condition, the patient (was / will be) **referred to other health care provider(s)** for evaluation or treatment(s). State the nature of such treatments: (*e.g. cardiologist, physical therapy*) _____

Provide your **best estimate** of the beginning date _____ and end date _____ or the treatment(s).

Provide your **best estimate** of the duration of the treatment(s), including any period(s) of recovery (*e.g. 3 days/week*) _____

7. Due to the condition, it is medically necessary for the employee to work a **reduced schedule**. Provide your **best estimate** of the reduced schedule the employee is able to work. From _____ to _____ the employee is able to work (*e.g., 5 hours/day, up to 25 hours a week*): _____

8. Due to the condition, the patient (was / will be) **incapacitated for a continuous period of time**, including any time for treatment(s) and/or recovery. Provide your **best estimate** of the beginning date _____ and end date _____ for the period of incapacity.

9. Due to the condition, it (was / is / will be) medically necessary for the employee to be absent from work on an **intermittent basis** (periodically), including for any episodes of incapacity i.e., episodic flare-ups. Provide your **best estimate** of how often (frequency) and how long (duration) the episodes of incapacity will likely last.

Over the next 6 months, episodes of incapacity are estimated to occur _____ times per (day / week / month) and are likely to last approximately _____ (hours/ days) per episode

Employee Name: _____

PART C: Essential Job Functions

If provided, the information in Section I may be used to answer this question. If the employer fails to provide a statement of the employee’s essential functions or a job description, answer these questions based upon the employee’s own description of the essential job functions. An employee who must be absent from work to receive medical treatment(s), such as scheduled medical visits, for a serious health condition is considered to be *not able* to perform the essential job functions of the position during the absence for treatment(s).

10. Due to the condition, the employee (was not able / is not able / will not be able) to perform *one or more* of the essential job function(s). Identify at least one essential job function the employee is not able to perform: _____

Signature of Health Care Provider: _____ Date: _____
(mm/dd/yyyy)

Employee Name: _____

DEFINITIONS OF A SERIOUS HEALTH CONDITION

(Adapted from 29 C.F.R. §§ 825.113–825.115)

Inpatient Care
<ul style="list-style-type: none">• An overnight stay in a hospital, hospice, or residential medical care facility.• Inpatient care includes any period of incapacity or any subsequent treatment in connection with the overnight stay.
Continuing Treatment by a Health Care Provider (any one or more of the following)
<p><u>Incapacity Plus Treatment:</u> A period of incapacity of more than three consecutive, full calendar days, and any subsequent treatment or period of incapacity relating to the same condition, that also involves either:</p> <ul style="list-style-type: none">• Two or more in-person visits to a health care provider for treatment within 30 days of the first day of incapacity unless extenuating circumstances exist. The first visit must be within seven days of the first day of incapacity; or,• At least one in-person visit to a health care provider for treatment within seven days of the first day of incapacity, which results in a regimen of continuing treatment under the supervision of the health care provider. For example, the health provider might prescribe a course of prescription medication or therapy requiring special equipment.
<p><u>Pregnancy:</u> Any period of incapacity due to pregnancy or for prenatal care.</p>
<p><u>Chronic Conditions:</u> Any period of incapacity due to or treatment for a chronic serious health condition, such as diabetes, asthma, migraine headaches. A chronic serious health condition is one which requires visits to a health care provider (or nurse supervised by the provider) at least twice a year and recurs over an extended period of time. A chronic condition may cause episodic rather than a continuing period of incapacity.</p>
<p><u>Permanent or Long-term Conditions:</u> A period of incapacity which is permanent or long-term due to a condition for which treatment may not be effective, but which requires the continuing supervision of a health care provider, such as Alzheimer’s disease or the terminal stages of cancer.</p>
<p><u>Conditions Requiring Multiple Treatments:</u> Restorative surgery after an accident or other injury; or, a condition that would likely result in a period of incapacity of more than three consecutive, full calendar days if the patient did not receive the treatment.</p>